## Penguin Pollution in $B^0 \rightarrow J/\psi K^0$ and $B^0_s \rightarrow J/\psi \phi$ Decays

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GK Workshop 2014 Bad Liebenzell



## **Indirect Search**

#### Indirect Search for New Physics

#### Standard Model



$$B_s - \bar{B}_s$$
 mixing phase  $\phi_s = -2\beta_s$ 



$$\phi_{\mathcal{S}} = -2\beta_{\mathcal{S}} + \phi_{\mathcal{S}}^{\mathsf{NP}}$$

## What is Penguin Pollution?

In the SM

$$\begin{array}{lll} \mathcal{A}_{CP}(B_{s} \rightarrow J/\psi\phi)(t) & = & \frac{\Gamma(\bar{B}_{s} \rightarrow J/\psi\phi) - \Gamma(B_{s} \rightarrow J/\psi\phi)}{\Gamma(\bar{B}_{s} \rightarrow J/\psi\phi) + \Gamma(B_{s} \rightarrow J/\psi\phi)} \\ & = & \sin(\phi_{s})\sin(\Delta m_{s}t) \end{array}$$

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$$A(B_s 
ightarrow J/\psi \phi) \propto t_f + \epsilon p_f$$
  $\epsilon = 0.02$ 

Include  $\mathcal{O}(\epsilon)$  and possible NP

 $A_{CP}(B_s \rightarrow J/\psi\phi)(t) = \sin(\Delta m_s t)\sin(\phi_s + \Delta \phi_s + \phi_s^{NP})$ 

 $\Rightarrow$  Disentangle hadronic phase shift  $\Delta \phi_s$  and NP contributions  $\phi_s^{NP}$ 

## Penguin Pollution in the $B_d - \bar{B}_d$ Mixing Phase

#### Standard Model



Include  $\mathcal{O}(\epsilon)$  and/or NP

 $A_{CP}(B_d \rightarrow J/\psi K^0)(t) = \sin(\Delta m_d t) \sin(\phi_d + \Delta \phi_d + \phi_d^{NP})$ 

Unitary CKM matrix:

$$V_{CKM}V_{CKM}^{\dagger}=1$$

Leads to Unitarity triangle



$$A_{CP}(t) = \sin(\phi + \Delta \phi) \sin(\Delta m t)$$

$$\begin{array}{c|c} \phi \\ \hline B^0 \to J/\psi K^0 & \phi_d = 2\beta \\ B^0_s \to J/\psi \phi & \phi_s = -2\beta_s \end{array}$$



- Penguin pollution parametrically suppressed by  $\epsilon \equiv \left| \frac{V_{us}V_{ub}}{V_{cs}V_{cb}} \right| = 0.02$
- Hadronic matrix element non-perturbative
   ⇒ penguin pollution could be very large
- In the past, different estimates for penguin pollution

#### Previous works use flavor symmetries:

- Most renown: Isospin  $d \leftrightarrow u$
- U-spin  $d \leftrightarrow s$
- SU(3) flavor symmetry  $u \leftrightarrow d \leftrightarrow s$

Control penguin in

$$B_d 
ightarrow J/\psi K^0$$
 by  $B_d 
ightarrow J/\psi \pi^0$ .

## Overview: Experimental and Theoretical Precision

| $\Delta S_{J/\psi K^0} = S_{J/\psi K^0} - \sin \phi_d \qquad S_{J/\psi K^0} = \sin \left( \phi_d + \Delta \phi_d  ight)$ |  |  |                          |  |  |
|--|--|--|--------------------------|--|--|
| HFAG 2014  | $\sigma_{\mathcal{S}_{J/\psi K^0}}=0.02$ | $\sigma_{\phi_{d}}=$ 0.8°                |                          |  |  |
| Author   | $\Delta \mathcal{S}_{J/\psi K^0}$        | $\Delta \phi_{d}$                        | Method                   |  |  |
| Fleischer 2014   | $-0.01 \pm 0.01$                         | $-1.0^\circ\pm0.7^\circ$                 | SU(3) flavor             |  |  |
| Jung 2012  | $ \Delta {\cal S}  \lesssim 0.01$        | $ \Delta \phi_{d}  \lesssim 0.8^{\circ}$ | SU(3) flavor             |  |  |
| Ciuchini <i>et al.</i> 2011  | $\textbf{0.00} \pm \textbf{0.02}$        | $0.0^\circ\pm1.6^\circ$                  | U-spin                   |  |  |
| Faller <i>et al.</i> 2009  | [-0.05, -0.01]                           | [ <b>−3.9</b> , <b>−0.8</b> ]°           | U-spin                   |  |  |
| Boos <i>et al.</i> 2004  | $-(2\pm 2)\cdot 10^{-4}$                 | $0.0^{\circ} \pm 0.0^{\circ}$            | perturbative corrections |  |  |



## **Our Strategy**

We rely on field-theoretic methods only

- Exploit the heaviness of the  $J/\psi$  mass  $m_{\psi} = 3.1 \text{ GeV} \gg \Lambda_{QCD}$
- Factorization of hard and soft scales
- 1/N<sub>c</sub> expansion

## Hamiltonian

$$\mathcal{H}^{\Delta B=1} = \sum_{q\in\{u,c\}} \lambda_q \left( C_0 Q_0^q + C_8 Q_8^q + \sum_{i=3}^6 C_i Q_i 
ight)$$

 $\lambda_{q} = V_{qb}V_{qs}^{*}$ 

Color octet and singlet operators

$$Q^q_0 \equiv (ar{s}b)_{V-A}(ar{q}q)_{V-A} \qquad Q^q_8 \equiv (ar{s}T^ab)_{V-A}(ar{q}T^aq)_{V-A}$$

Generic *B* decay amplitude:

$$A(B \to f) = \lambda_c t_f + \lambda_u \rho_f$$

Terms  $\propto \lambda_u = V_{ub}V_{us}^*$  lead to the penguin pollution.

## What Contributes to the Penguin Pollution $p_f$ ?



## Penguin Pollution by Tree-level Operator Insertion

If we can describe the up quark penguin by an effective theory...

... the description of the process simplifies.



#### Investigate the Infrared Structure - Soft Divergences



#### Infrared Structure - Collinear Divergences



or are infrared-safe if considered in a physical gauge.

Philipp Frings (KIT)

Penguin Pollution

## Effective Description is Possible

#### Conclusion

- Soft divergences factorize
- Collinear divergences cancel or factorize
- $\Rightarrow$  Up quark penguin can be described by an effective vertex!



Only write down operators, that contribute significantly:

$$\mathcal{H}_{eff} = \lambda_{c} \left( C_{0} Q_{0} + C_{8} (Q_{8V} - Q_{8A}) \right) + \lambda_{u} (C_{8}^{u} + C_{8}^{t}) Q_{8V} + \mathcal{O} \left( \frac{\Lambda_{QCD}^{2}}{q^{2}} \right)$$

Penguin pollution is dominated by Q<sub>8V</sub> = (b̄T<sup>a</sup>s)<sub>(V-A)</sub>(c̄T<sup>a</sup>c)<sub>V</sub>
Only few operators contribute

Decay amplitude

$$\begin{array}{ll} \boldsymbol{A}_{f} &= \lambda_{c} \boldsymbol{t}_{f} &+ \lambda_{u} \boldsymbol{p}_{f} \\ &= \lambda_{c} \left\langle f \right| \boldsymbol{C}_{0} \boldsymbol{Q}_{0} + \boldsymbol{C}_{8} (\boldsymbol{Q}_{8V} - \boldsymbol{Q}_{8A}) \left| \boldsymbol{B} \right\rangle &+ \lambda_{u} \left\langle f \right| (\boldsymbol{C}_{8}^{u} + \boldsymbol{C}_{8}^{t}) \boldsymbol{Q}_{8V} \left| \boldsymbol{B} \right\rangle \end{array}$$

#### Three relevant matrix elements only:

$$V_0 \equiv \langle f | Q_0 | B 
angle, \qquad V_8 \equiv \langle f | Q_{8V} | B 
angle, \qquad A_8 \equiv \langle f | Q_{8A} | B 
angle.$$

## $1/N_c$ Expansion

For example:  $B^0 
ightarrow J/\psi K^0$ 

$$V_{0} = \left\langle J/\psi K^{0} \right| Q_{0} \left| B^{0} \right\rangle = 2f_{\psi}m_{B}p_{cm}F_{1}^{BK}\left(1 + \mathcal{O}\left(\frac{1}{N_{c}^{2}}\right)\right)$$

#### $1/N_c$ expansion

• Octet matrix elements are suppressed by  $\mathcal{O}\left(\frac{1}{N_c}\right)$  w.r.t. singlet  $V_0$ 

Set the limits:

$$egin{array}{rcl} |V_8| &\leq V_0/3 \ |A_8| &\leq V_0/3 \end{array}$$

Parametrization of the hadronic phase shift

$$\tan(\Delta\phi) \propto \mathsf{Re}\left(\frac{\rho_f}{t_f}\right) = \mathsf{Re}\left(\frac{(C_8^{\mu} + C_8^t)V_8}{C_0V_0 + C_8(V_8 - A_8)}\right)$$

Scan for largest value of  $\Delta \phi$  for:

$$egin{aligned} V_0 &= 2 f_\psi m_B p_{cm} F_1^{BK} \ &|V_8| &\leq V_0/3 \ &|A_8| &\leq V_0/3 \end{aligned}$$

## **Results**

#### Our preliminary results:

$$egin{array}{rcl} |\Delta \phi_d| &\leq & 0.56^\circ \pm 0.02^\circ \ |\Delta \phi_s^{\|}| &\leq & 0.75^\circ \pm 0.09^\circ & ext{ for } & A_{\|} \end{array}$$

Uncertainties from

- Experimental input ( $Br(B \rightarrow f)$ , CKM) are small for  $\Delta \phi$
- Operator product expansion (OPE) are small.

Our preliminary conservative results:

$$\begin{array}{lll} |\Delta\phi_d| &\leq & 0.83^\circ \pm 0.03^\circ \\ |\Delta\phi_s^{\parallel}| &\leq & 1.12^\circ \pm 0.16^\circ \end{array}$$

Biggest uncertainty due to  $1/N_c$  counting.

Conservative:  $|V_8| \le V_0/2$ 

## CP Violation Observables in $B^0 \rightarrow J/\psi \pi^0$

Experimental results:

|            | $\mathcal{S}_{J/\psi\pi^0}$ | $C_{J/\psi\pi^0}$ |
|------------|-----------------------------|-------------------|
| BaBar 2008 | $-1.23\pm0.21$              | $-0.20\pm0.19$    |
| Belle 2007 | $-0.65\pm0.22$              | $-0.08\pm0.17$    |

#### Our preliminary results:

$$egin{aligned} -0.83 \pm 0.02 &\leq S_{J/\psi\pi^0} \leq -0.49 \pm 0.03 \ -0.23 \pm 0.01 &\leq C_{J/\psi\pi^0} \leq 0.23 \pm 0.01 \end{aligned}$$

#### $\rightarrow$ Belle favored

Penguin Pollution

### Summary

- OPE gives a limit for the size of the penguin pollution.
- No long-distance enhanced up quark penguins
- Matrix elements are the dominant source of uncertainty
- Belle's measurement of  $S_{J/\psi\pi^0}$  is theoretically favored

| HFAG 2014           | $\sigma_{\mathcal{S}_{J/\psi K^0}}=$ 0.02 | $\sigma_{\phi_d} = 0.8^\circ$        |              |
|---------------------|---|--------------------------------------|--------------|
| Author              | $\Delta \mathcal{S}_{J/\psi K^0}$         | $\Delta \phi_{d}$                    | Method       |
| PF et al. (prelim.) | $ \Delta S  < 0.02$                       | $ \Delta \phi_d  < 0.9^\circ$        | OPE          |
| Fleischer 2014      | $-0.01\pm0.01$                            | $-1.0^\circ\pm0.7^\circ$             | SU(3) flavor |
| Jung 2012           | $ \Delta {\cal S}  \lesssim 0.01$         | $ \Delta \phi_d  \lesssim 0.8^\circ$ | SU(3) flavor |
|                     |   |                                      |              |

$$|\Delta \mathcal{S}^{\parallel}_{J/\psi\phi}| \leq 0.02 \qquad |\Delta \phi^{\parallel}_{m{s}}| \leq 1.2^{\circ}$$

#### Our preliminary conservative results:

# $egin{aligned} -0.89 \pm 0.01 &\leq S_{J/\psi\pi^0} \leq -0.38 \pm 0.03 \ -0.34 \pm 0.01 &\leq C_{J/\psi\pi^0} \leq 0.34 \pm 0.01 \end{aligned}$

$$\begin{array}{rcl} C_0 \equiv & C_1 + \frac{1}{N_c} C_2 & = 0.13 \\ C_8 \equiv & 2C_2 & = 2.2 \end{array}$$

Important operators:

$$\begin{array}{lll} Q_0 &\equiv& (\bar{s}b)_{V-A}(\bar{c}c)_{V-A}\\ Q_{8V} &\equiv& (\bar{s}T^ab)_{V-A}(\bar{c}T^ac)_V\\ Q_{8A} &\equiv& (\bar{s}T^ab)_{V-A}(\bar{c}T^ac)_A \end{array}$$

Biggest uncertainty due to  $1/N_c$  counting because of

$$\tan(\Delta\phi) \approx 2\epsilon \sin(\gamma) \operatorname{Re}\left(\frac{p_f}{t_f}\right) \qquad \quad \epsilon \equiv \left|\frac{V_{us}V_{ub}}{V_{cs}V_{cb}}\right|$$

Does the  $1/N_c$  expansion work?

$$\frac{BR(B^0 \to J/\psi K^0)|_{fact.}}{BR(B^0 \to J/\psi K^0)|_{exp.}} = 0.24 \Rightarrow 0.06 |V_0| \le |V_8 - A_8| \le 0.19 |V_0|$$