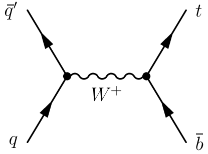
Measurement of the single top t-channel cross section at CMS

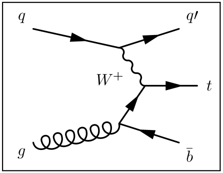
GK Workshop Bad Liebenzell 2012

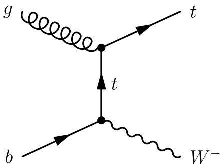
Steffen Röcker | 10.10.2012

INSTITUT FÜR EXPERIMENTELLE KERNPHYSIK

Single top production





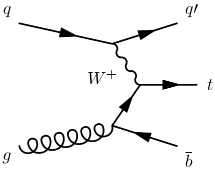


Production channel	*s*-channel	**t-channel**	*tW*
Cross section [pb]			
$p\bar{p}$ $\sqrt{s} = 1.96$ TeV	1.0	2.3	0.3
pp $\sqrt{s} = 7$ TeV	4.6 ± 0.2	$\mathbf{64.6^{+2.6}_{-1.9}}$	15.7 ± 1.2
		(Kidonakis)	

- Virtuality of the involved W boson $\rightarrow$ three different production mechanisms
- *t*-channel and *tW* cross sections largely enhanced at LHC due to gluon splitting
- *t*-channel and *tW* depend on b-quark PDF (up to 4% $\Delta\sigma$)
- Largest cross section at Tevatron and LHC: *t*-channel

Single top - history and motivation

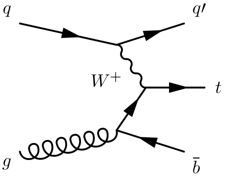
- Single top quark production first discovered in 2009 at Tevatron by CDF and DØ
- Discovery in s+t-channel after long and difficult search
- Rediscovery of t-channel in 2011 at LHC with first data
- Can now be studied in detail at LHC

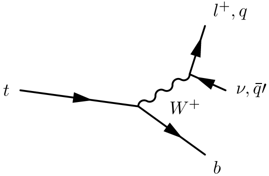


Interesting properties:

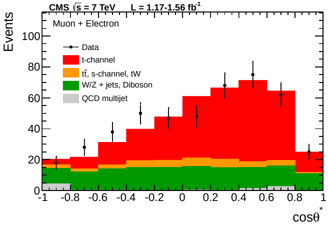
- Allows direct measurement of CKM matrix element $|V_{tb}|$
- Sensitive to b quark PDF
- Wtb coupling enables tests of V–A structure, anomalous couplings
- Allows study of top quark polarization
- Background for Higgs/SUSY and search for new physics (4th generation, H^+, W')

Top quark decay

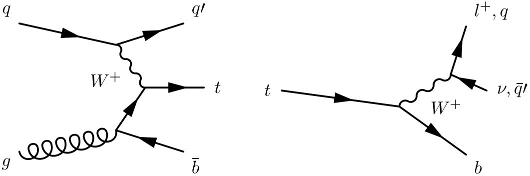




- Top quark decays immediately due to high mass / large width
- Top quark decays into *W* boson and *b* quark (SM: BR $\approx$ 100%)
- W boson from top-quark decay further decays into charged lepton and neutrino (BR $\approx$ 32%), here only muon and electron channel
- Spin information passed to decay products



Event selection



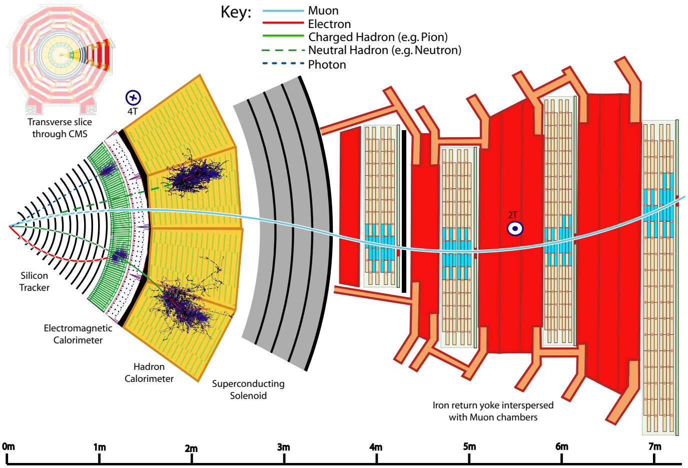
- Muon (electron+b-jet) trigger $\rightarrow$ data set 1.17/fb (1.56/fb)
- 1 isolated muon (electron) with $p_T > 20(30)$ GeV/c and $|\eta| < 2.1$ (2.5)
- Veto electrons (muons) and loose muons (electrons) in muon (electron) decay channel
- MTW > 50 GeV/c^2 ($E_T^{miss} > 35$ GeV/c^2) to suppress QCD

Jets

	2	3	4
0 b-tag	control region (W+light enriched)		
1 b-tag	Signal Region		$t\bar{t}$ enriched
2 b-tag			

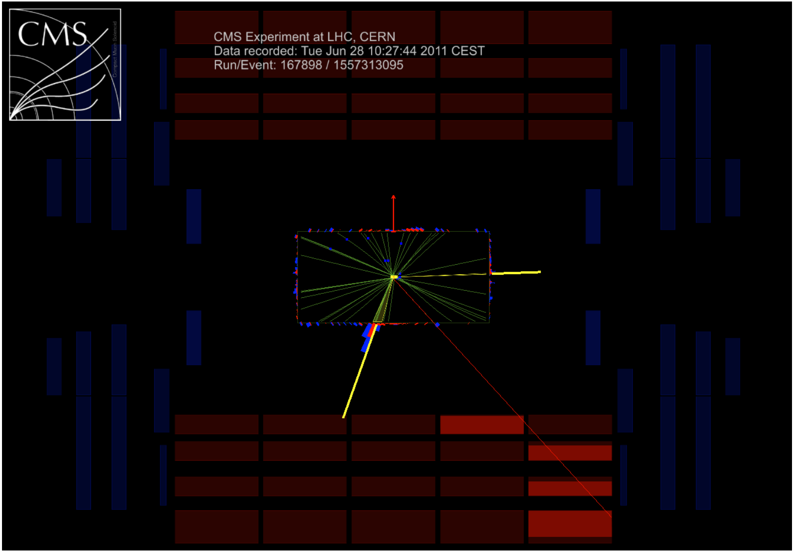
- 2,3 or 4 jets with $p_T > 30$ GeV/c and $|\eta| < 4.5$
- 0, 1 or ≥ 2 jets with b-tag (0.1% mistag rate)

CMS detector

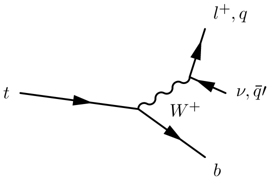


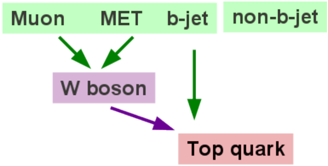
- Single top analyses need information from all detector subsystems to reconstruct (forward) jets, leptons, and missing transverse energy (E_T^{miss})

Event Display - $\rho - z$ plane



Top quark reconstruction





- Reconstructed from detector: jets, leptons, E_T^{miss}
- Top quark candidate reconstructed from W boson and *b*-tagged jet
- W boson from lepton and E_T^{miss} : $p_{z,\nu}$ from E_T^{miss} by constraint on W boson mass
  - Two real solutions: Choose the one with smallest $|p_{z,\nu}|$
  - Imaginary solution: Minimal variation of E_T^{miss} so that $M_T^W = M_W$
- Assign *b*-tagged jet to top quark decay
  - Assignment of top quark correct in approx. 88% of cases (MC studies)

Backgrounds

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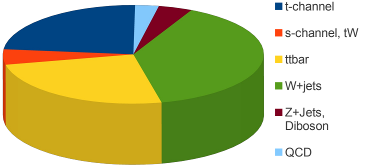
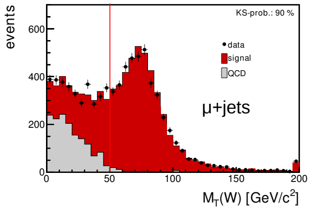
- Contribution from background processes after selection:
  - Single Top: *s*-channel, *tW*
  - W+jets
  - Top quark pair production $t\bar{t}$
  - Z+jets
  - Diboson (WW, WZ, ZZ)
  - QCD multijet

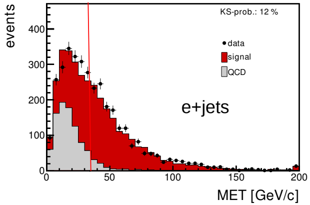


- Main backgrounds: W+jets and top quark pair production $t\bar{t}$
- QCD multijet background difficult to model, MC statistics very small $\rightarrow$ data driven estimation

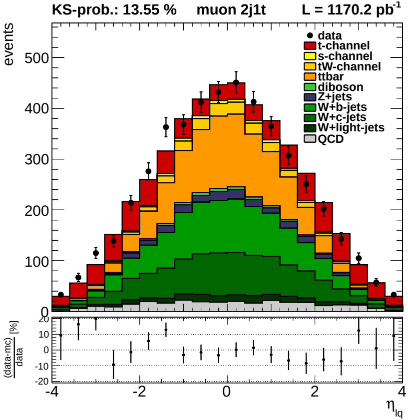
Data driven background estimation

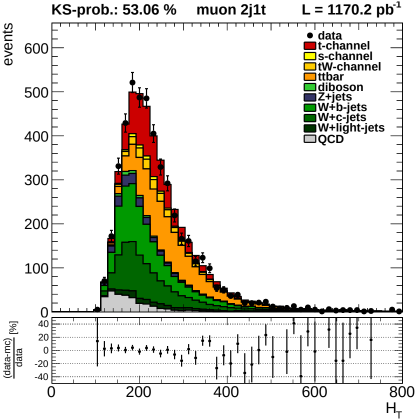
- QCD multijet distribution extracted from orthogonal data set:
- Muon channel:
  - Invert relative isolation cut
- Electron channel:
  - Anti-Electron ID
    (2 out of 3 criteria must not be fulfilled)
- Orthogonal selection has been checked in MC
- Fit to transverse mass of W boson (MTW) / E_T^{miss} before cut to extract shape and rate $F(x) = a \cdot S(x) + b \cdot B(x)$





Discriminating variables





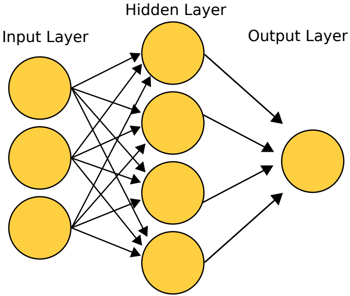
- Pseudorapidity of light quark mostly in forward region
- Other variables alone: not much separation power
- $\rightarrow$ Use a multivariate technique

Neural network

- Artificial neural networks (NN) modeled after biological neural networks
- Multiple nodes with nonlinear activation function in three or more layers, each node connected to every node in the next layer with specific weight
- The network learns by minimizing an error function and changing the weights (Supervised learning, backpropagation)

NeuroBayes:

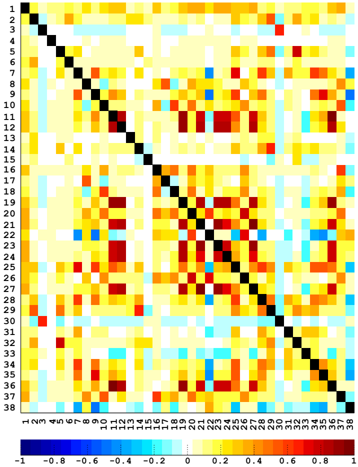
- 3-layer feedforward network
- Robust preprocessing of input variables (Decorrelation, transformation to Gaussian)
- Spline-fit to variables to be robust against statistical fluctuations or noise



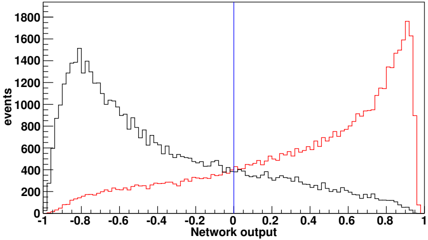
Neural network - input variables

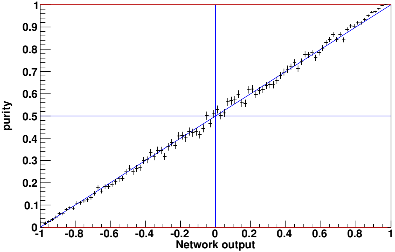
- Detailed studies of multiple variables
- Only use well modeled variables,
  i.e. those with good KS test values in control region
- Network rejects variables with low significance
- 37 variables in muon channel
  38 variables in electron channel
- Most important variables: light quark η, H_T, $M_{jet1,jet2}$

correlation matrix of input variables



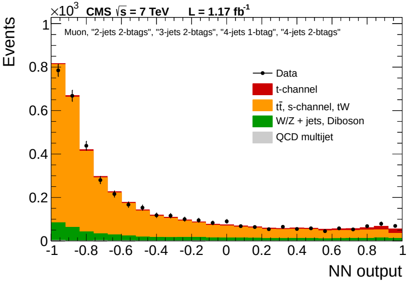
Neural network - training

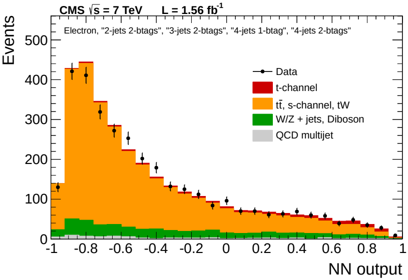




- Signal/background ratio 50:50 (t-channel vs $t\bar{t}$, W+jets, Z+jets)
- Network can separate **signal** and **background**
- Purity increases with discriminator output

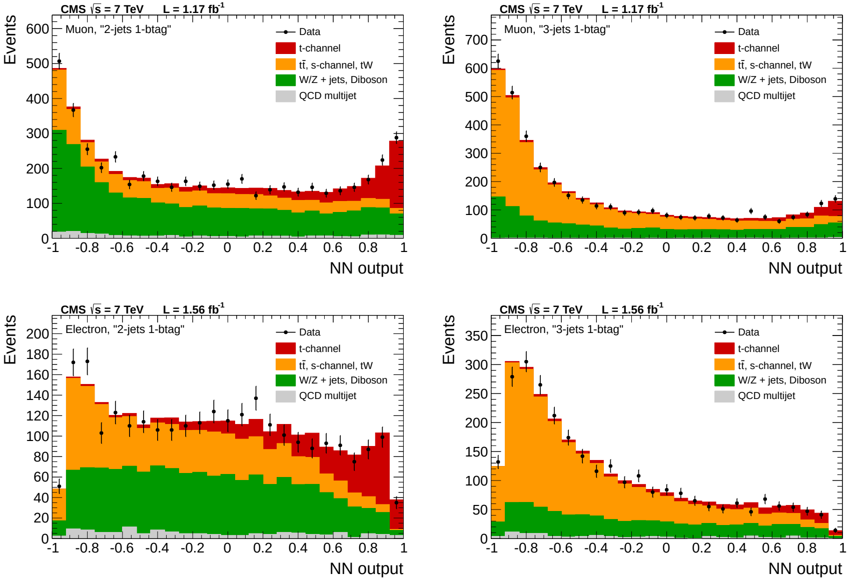
Neural network - discriminator in background region





- Discriminator output well modeled in $t\bar{t}$ enriched background region

Neural network - discriminator in signal region



Statistical inference

- Bayesian method

$$p(\mu|\mathrm{data}) \propto \int p'(\mathrm{data}|\mu, \vec{\theta}) \cdot \pi(\mu)\pi(\vec{\theta})\, \mathrm{d}\vec{\theta}$$

- Impact of systematic effects marginalized as nuisance parameters (JER, JES, b-tagging, ...)
- Influence of theoretical uncertainties studied separately, not marginalized (Renormalization/factorization (Q^2 scale), matching, PDF, different signal generator)
- Integration via Markov Chain Monte Carlo (MCMC)
- Statistical framework: `http://www.theta-framework.org`
- Cross section for electrons, muons:

$$\sigma_{t\text{-ch.}} = 69.7^{+7.2}_{-7.0}\ \text{(stat. + syst. + lum.)} \pm 3.6\ \text{(theor.) pb} \qquad \text{(muons)}$$

$$\sigma_{t\text{-ch.}} = 65.1^{+9.2}_{-8.9}\ \text{(stat. + syst. + lum.)} \pm 3.5\ \text{(theor.) pb} \qquad \text{(electrons)}$$

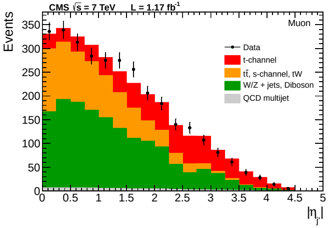
- and combined:

$$\sigma_{t\text{-ch.}} = 68.1 \pm 4.1\ \text{(stat.)} \pm 3.4\ \text{(syst.)}^{+3.3}_{-4.3}\ \text{(theor.)} \pm 1.5\ \text{(lum.) pb}$$

Combination

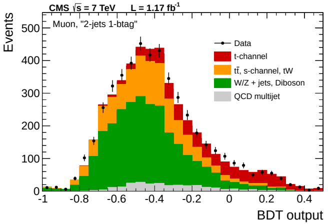
This measurement is combined with two other measurements:

- Light quark η analysis (Napoli)
  - Template fit to light quark η
  - W+jets background data driven
  - One analysis bin (2 jets 1 tag)



- BDT analysis (Aachen)
  - MVA analysis (BDT)
  - Bayesian method
  - Multiple analysis bins

  } same as NN



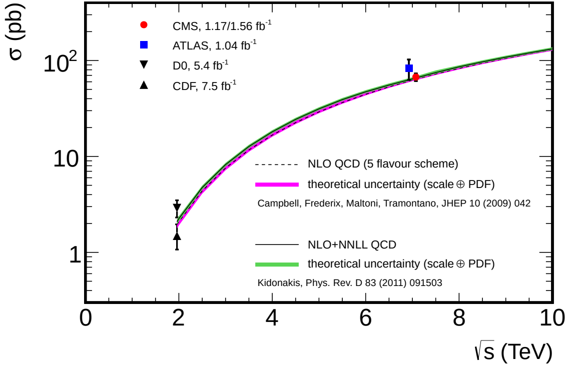
- All three analyses employ the same selection
- Correlation is estimated by dicing toys

Combination - Result

- Combining all three analyses with BLUE yields a cross section of

$$\sigma_{\text{t-ch.}} = \boxed{67.2 \pm 6.1 \text{ pb}} = 67.2 \pm 3.7 \text{ (stat.)} \pm 3.0 \text{ (syst.)} \pm 3.5 \text{ (theor.)} \pm 1.5 \text{ (lum.) pb}$$

- with a relative uncertainty of 9.1%
- Published in TOP-011-021 (arXiv:1209.4533), submitted to JHEP



Combination - Estimation of $|V_{tb}|$

- Under the assumption that $|V_{tb}|^2 \gg |V_{td}|^2 + |V_{ts}|^2$ and $|V_{tb}| = 1$ for $\sigma^{\text{th}}_{t\text{-ch.}}$
- One can extract $|V_{tb}|$ from the cross section measurement

$$V = \begin{pmatrix} V_{ud} & V_{us} & V_{ub} \\ V_{cd} & V_{cs} & V_{cb} \\ V_{td} & V_{ts} & V_{tb} \end{pmatrix}$$

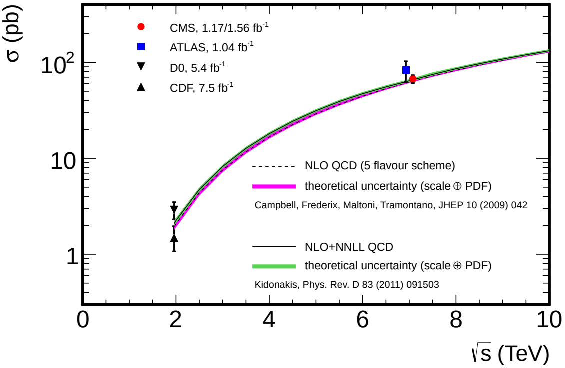
$$|f_{L_V} V_{\text{tb}}| = \sqrt{\frac{\sigma_{t\text{-ch.}}}{\sigma^{\text{th}}_{t\text{-ch.}}}} = 1.020 \pm 0.046\,(\text{exp.}) \pm 0.017\,(\text{theor.})$$

- with a possible anomalous form factor f_L from BSM models
- Constraining $|V_{tb}|$ to the interval $[0, 1]$ and setting $f_L = 1$ yields: (Feldman Cousins)

$$0.92 < |V_{tb}| \leq 1 @ 95\%\ \text{CL}$$

Conclusion

- Measured single top t-channel cross section and $|V_{tb}|$ with neural network analysis in multiple channels at $\sqrt{s} = 7$ TeV
- Combination yields cross section with relative uncertainty $< 10\%$
- Most precise single top *t*-channel cross section measurement
- $|V_{tb}| \approx 1$ and $0.92 < |V_{tb}| \leq 1$ @ 95% CL



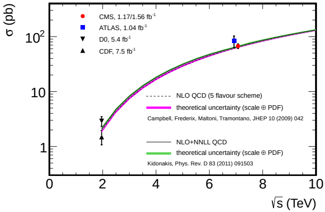
Conclusion and outlook

Conclusion:

- Measured single top t-channel cross section and $|V_{tb}|$ with neural network analysis in multiple channels at $\sqrt{s} = 7$ TeV
- Combination yields cross section with relative uncertainty $< 10\%$
- Most precise single top t-channel cross section measurement
- $|V_{tb}| \approx 1$ and $0.92 < |V_{tb}| \leq 1$ @ 95% CL

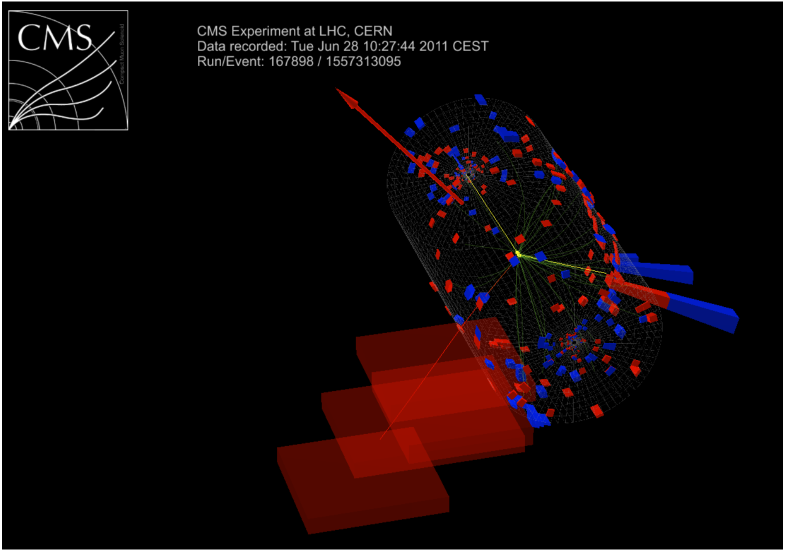
Outlook:

- Already recorded 15/fb at $\sqrt{s} = 8$ TeV this year
- Detailed studies of theory possible:
  - Differential measurement in top p_T and η
  - Polarization of top quarks
  - . . .

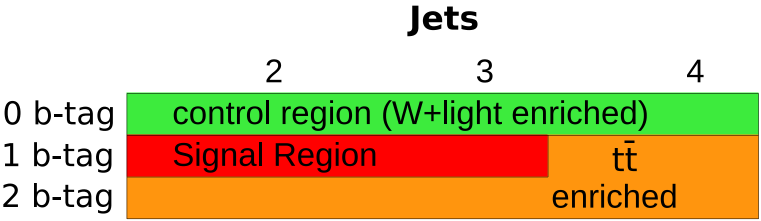


Backup

Event Display - 3D view



Analysis regions



- Events without *b*-tag:
  (W+light enriched) control region of input variables
- Events with $\geq$ 2 b-tags:
  Estimation of top quark pair production and constraint of systematic effects

Systematic effects

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Table: Sources of uncertainty on the cross section measurement.

		Uncertainty source	NN	BDT	$\eta_{j'}$
Marginalised (NN, BDT)	Experimental uncert.	Statistical	−6.1/+5.5%	−4.7/+5.4%	±8.5%
		Limited MC data	−1.7/+2.3%	±3.1%	±0.9%
		Jet energy scale	−0.3/+1.9%	±0.6%	−3.9/+4.1%
		Jet energy resolution	−0.3/+0.6%	±0.1%	−0.7/+1.2%
		b tagging	−2.7/+3.1%	±1.6%	±3.1%
		Muon trigger + reco.	−2.2/+2.3%	±1.9%	−1.5/+1.7%
		Electron trigger + reco.	−0.6/+0.7%	±1.2%	−0.8/+0.9%
		Hadronic trigger	−1.3/+1.2%	±1.5%	±3.0%
		Pileup	−1.0/+0.9%	±0.4%	−0.3/+0.2%
		MET modeling	−0.0/+0.2%	±0.2%	±0.5%
	Backg. rates	W+jets	−2.0/+3.0%	−3.5/+2.5%	±5.9%
		light flavor (u, d, s, g)	−0.2/+0.3%	±0.4%	n/a
		heavy flavor (b, c)	−1.9/+2.9%	−3.5/+2.5%	n/a
		$t\bar{t}$	−0.9/+0.8%	±1.0%	±3.3%
		QCD, muon	±0.8%	±1.7%	±0.9%
		QCD, electron	±0.4%	±0.8%	−0.4/+0.3%
		s-, tW ch., dibosons, Z+jets	±0.3%	±0.6%	±0.5%
	Total marginalised uncertainty		−7.7/+7.9%	−7.7/+7.8%	n/a
Not marginalised		Luminosity	±2.2%		
	Theor. uncert.	Scale, tt	−3.3/+1.0%	±0.9%	−4.0/+2.1%
		Scale, W+jets	−2.8/+0.3%	−0.0/+3.4%	n/a
		Scale, *t*-, *s*-, tW channels	−0.4/+1.0%	±0.2%	−2.2/+2.3%
		Matching, $t\bar{t}$	±1.3%	±0.4%	±0.4%
		t-channel generator	±4.2%	±4.6%	±2.5%
		PDF	±1.3%	±1.3%	±2.5%
		Total theor. uncertainty	−6.3/+4.8%	−4.9/+5.9%	−5.6/+4.9%
Syst. + theor. + luminosity uncert.			−8.1/+7.8%	−8.1/+8.4%	±10.8%
Total (stat. + syst. + theor. + lum.)			−10.1/+9.5%	−9.4/+10.0%	±13.8%