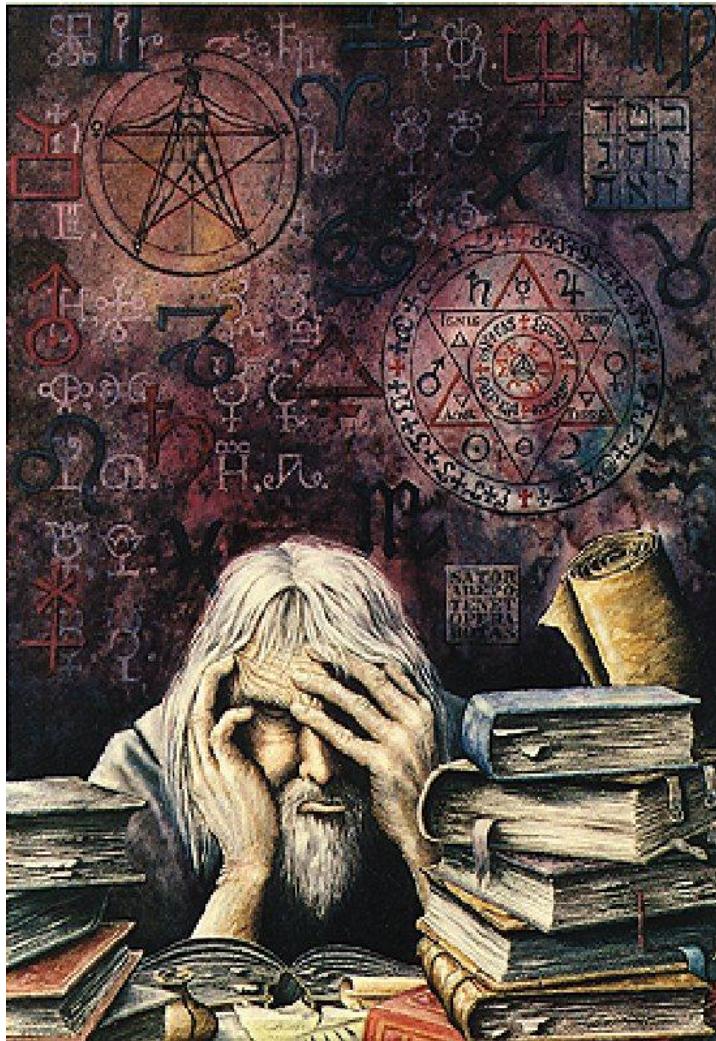


# Neutrino physics

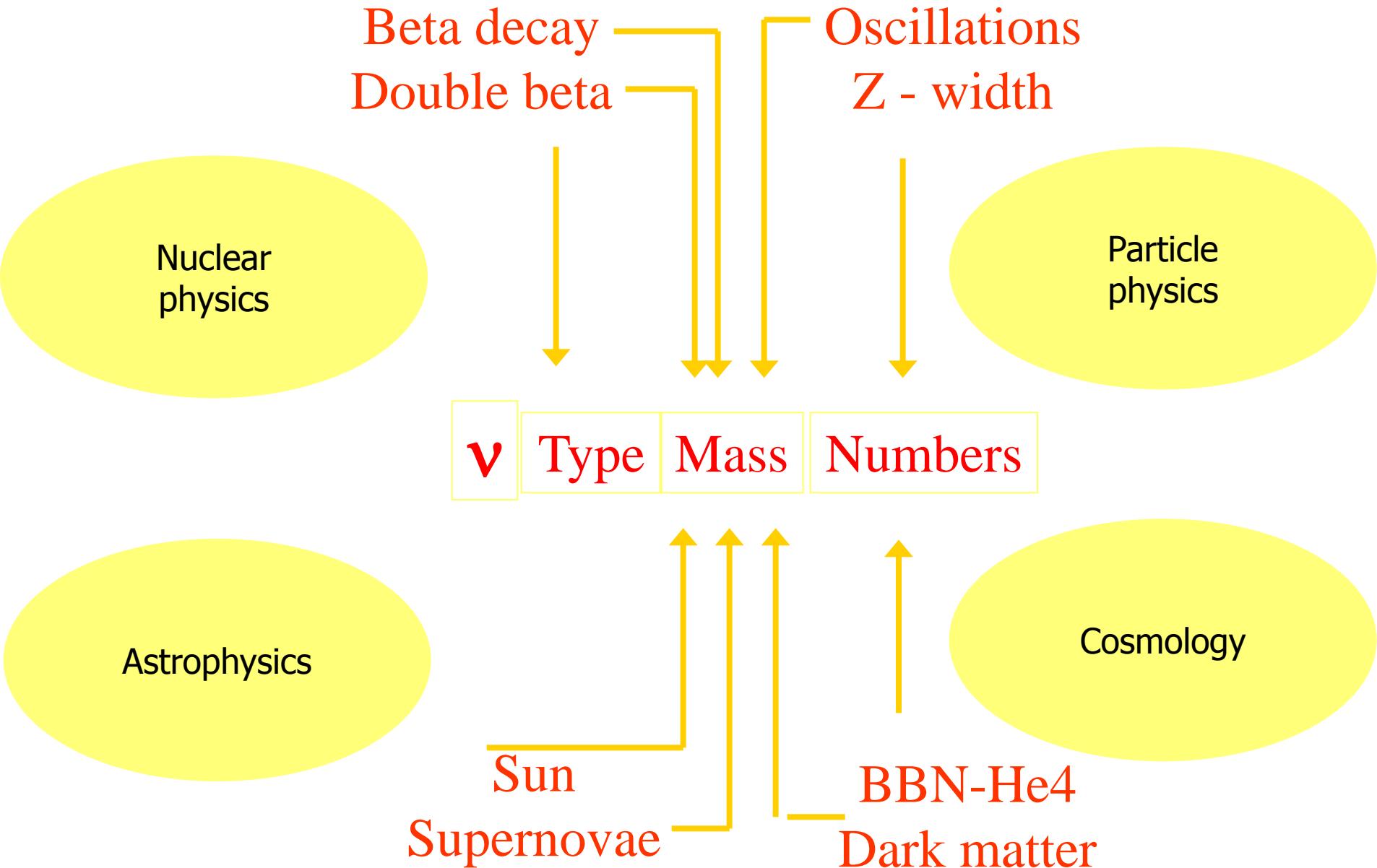
K.Zuber, Bad Liebenzell 5-7.10.2011

# Contents

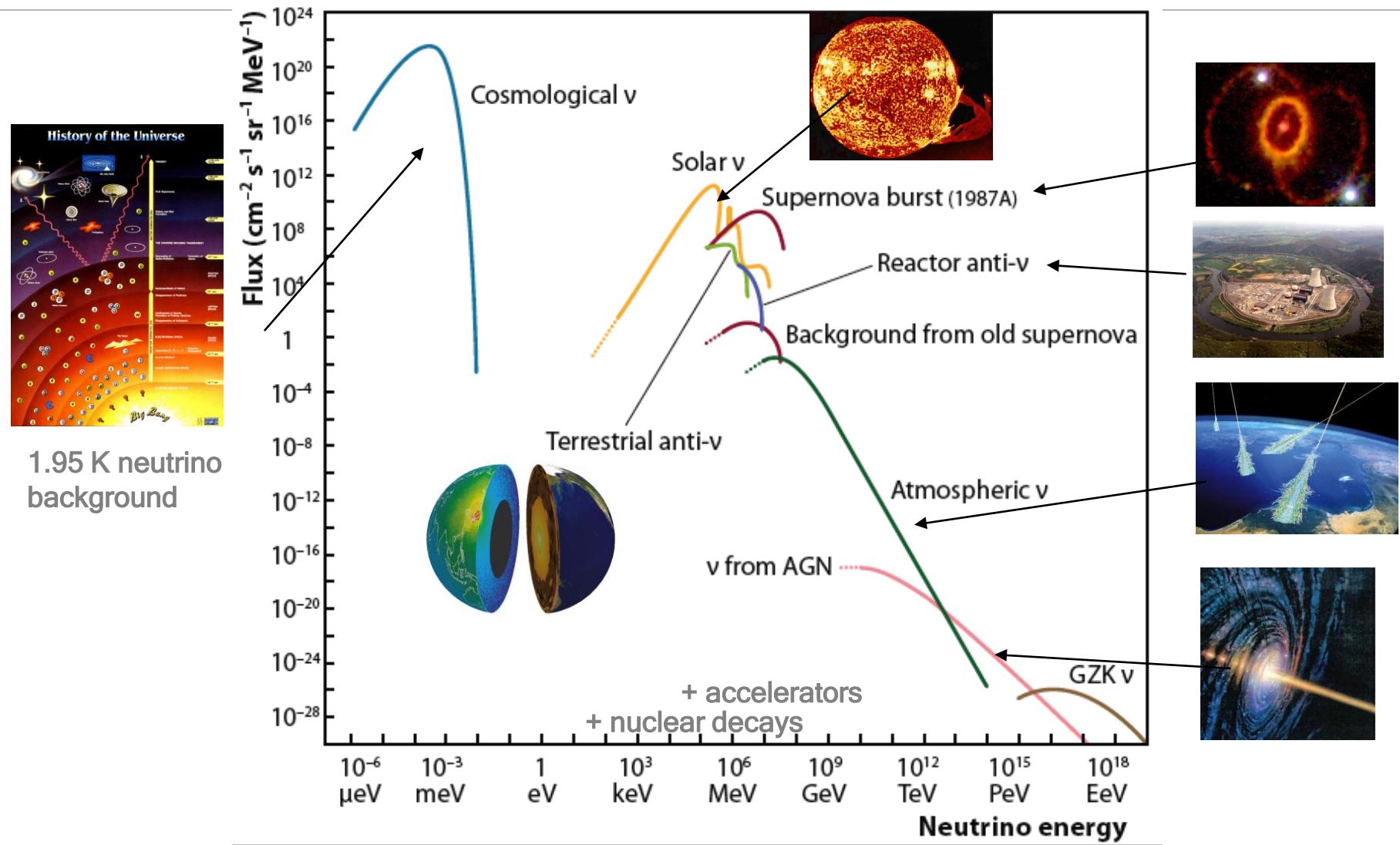


- ★ **Lecture 1:**  
Neutrinos in the Standard Model  
Evidence for neutrino masses  
(oscillations)
- ★ **Lecture 2:**  
Evidence for neutrino masses (c'td)  
Absolute neutrino mass  
measurements  
(beta, double beta decay)
- ★ **Lecture 3:**  
What's next? Future activities  
Things to do

# Neutrino Physics



# Universal neutrino spectrum



# Standard Model

Group theoretical structure:

$$SU(3) \otimes SU(2) \otimes U(1)$$

↑

↑

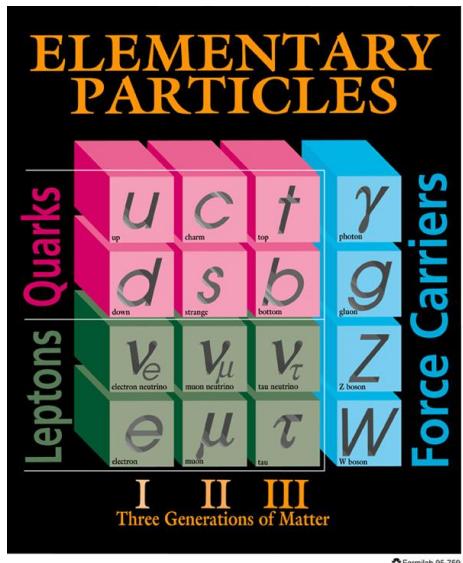
↑

QCD

Weak isospin

Hypercharge

$$Q = I_3 + \frac{Y}{2}$$



W-exchange

$$\uparrow \begin{pmatrix} u \\ d' \end{pmatrix}_L \quad \begin{pmatrix} c \\ s' \end{pmatrix}_L \quad \begin{pmatrix} t \\ b' \end{pmatrix}_L \quad \begin{pmatrix} e \\ \nu_e \end{pmatrix}_L \quad \begin{pmatrix} \mu \\ \nu_\mu \end{pmatrix}_L \quad \begin{pmatrix} \tau \\ \nu_\tau \end{pmatrix}_L$$

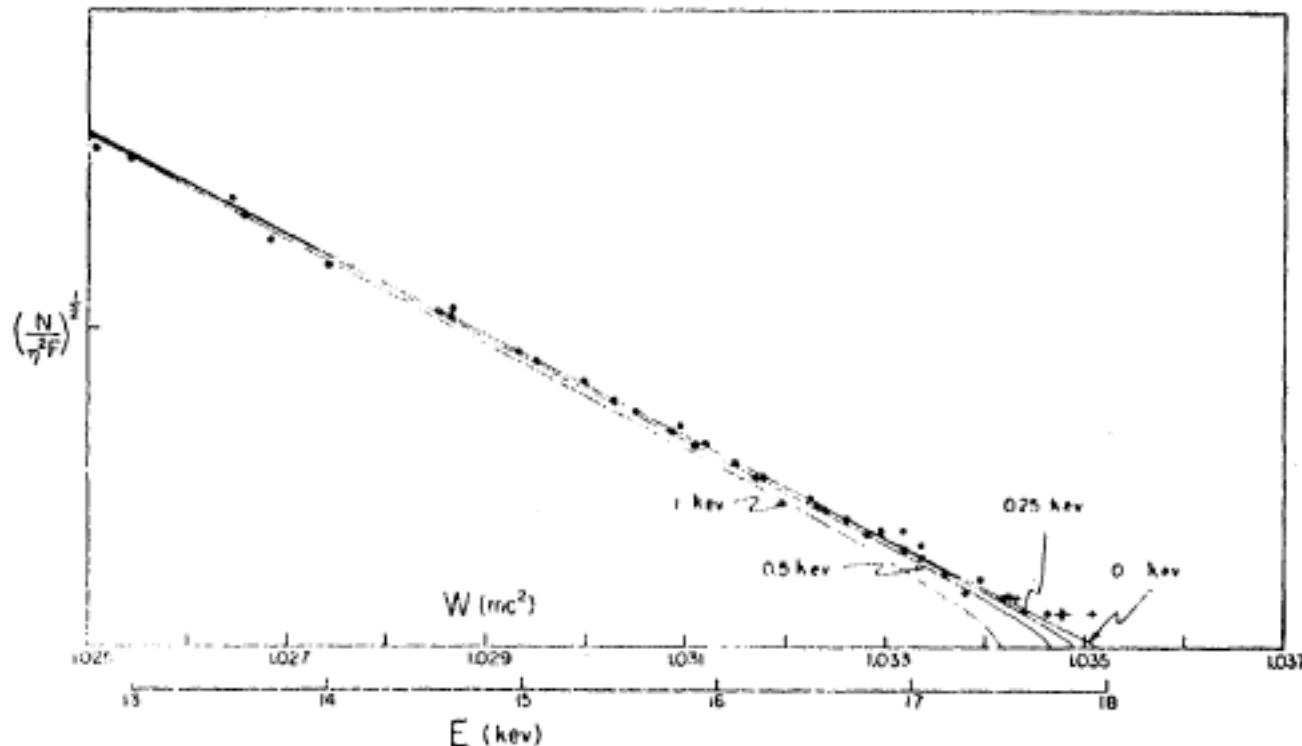
$$u_R \quad d_R \quad s_R \quad c_R \quad b_R \quad t_R \quad e_R \quad \mu_R \quad \tau_R.$$

Singlet states because there are no right handed weak charged currents

All particles are massless

# Neutrino masses in SM

1952: Beta decay limit of  $m < 250$  eV → Assumption for SM: Massless



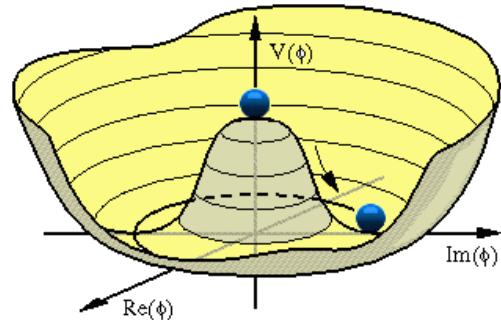
Langer, Moffat, Phys. Rev. 88, 689 (1952)

Why is the neutrino mass so small???

# Fermion masses in SM

In general: Masses via spontaneous symmetry breaking – Higgs Mechanism

Doublet of complex scalar fields



$$\phi = \begin{pmatrix} \phi^\dagger \\ \phi^0 \end{pmatrix}$$

Vacuum expectation value (VEV)

$$v = (\sqrt{2}G_F)^{-1/2} \approx 246 \text{ GeV}$$

Particle masses via coupling to Higgs VEV (for fermions = Yukawa- couplings)

$$\mathcal{L}_{\text{Yuk}} = -c_e \bar{e}_R \phi^\dagger \begin{pmatrix} \nu_{eL} \\ e_L \end{pmatrix} + h.c.$$

$$= -c_e \frac{v}{\sqrt{2}} \bar{e} e$$

m<sub>e</sub>

# Neutrino masses in SM

Easiest way: Include right-handed neutrino singlets in SM

$$\mathcal{L}_{\text{Yuk}} = -c_\nu \bar{\nu}_R \phi^\dagger \begin{pmatrix} \nu_{e_L} \\ e_L \end{pmatrix} + h.c.$$

$$= c_\nu \frac{\nu}{\sqrt{2}} \bar{\nu} \nu \quad \text{Why is neutrino so much lighter?}$$

$$\begin{pmatrix} u \\ d' \end{pmatrix}_L \quad \begin{pmatrix} c \\ s' \end{pmatrix}_L \quad \begin{pmatrix} t \\ b' \end{pmatrix}_L \quad \begin{pmatrix} e \\ \nu_e \end{pmatrix}_L \quad \begin{pmatrix} \mu \\ \nu_\mu \end{pmatrix}_L \quad \begin{pmatrix} \tau \\ \nu_\tau \end{pmatrix}_L$$

$$u_R \quad d_R \quad s_R \quad c_R \quad b_R \quad t_R \quad e_R \quad \mu_R \quad \tau_R.$$

$$\nu_{eR}; \nu_{\mu R}; \nu_{\tau R} \quad \text{More symmetric solution}$$

You have to explain why  $c_\nu$  is so much smaller than the other couplings

Neutrinos would be Dirac particles (4-state objects like the other fermions)

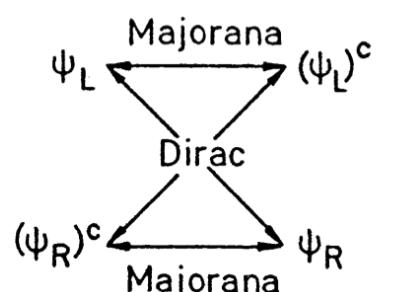
Is there a chance to generate neutrino masses without adding neutrino states?

# Neutrino masses

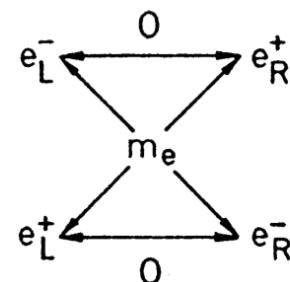
Option: Couple neutrino to its charge conjugate,  
consequence lepton number violation – Majorana neutrinos

Lorentz-scalars:

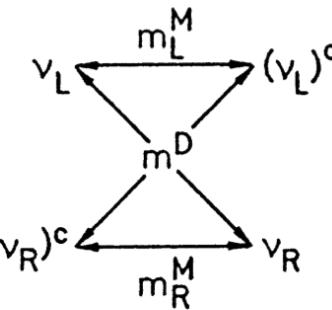
$$\bar{\Psi}\Psi, \bar{\Psi}^C\Psi^C, \bar{\Psi}^C\Psi, \bar{\Psi}\Psi^C$$



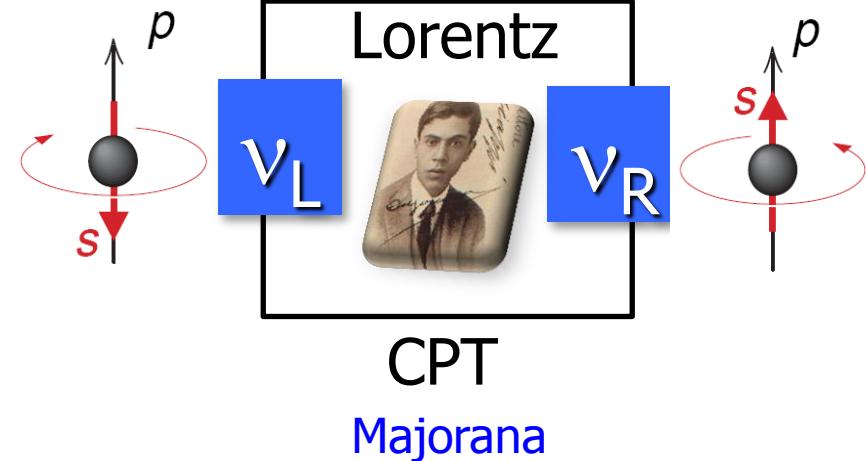
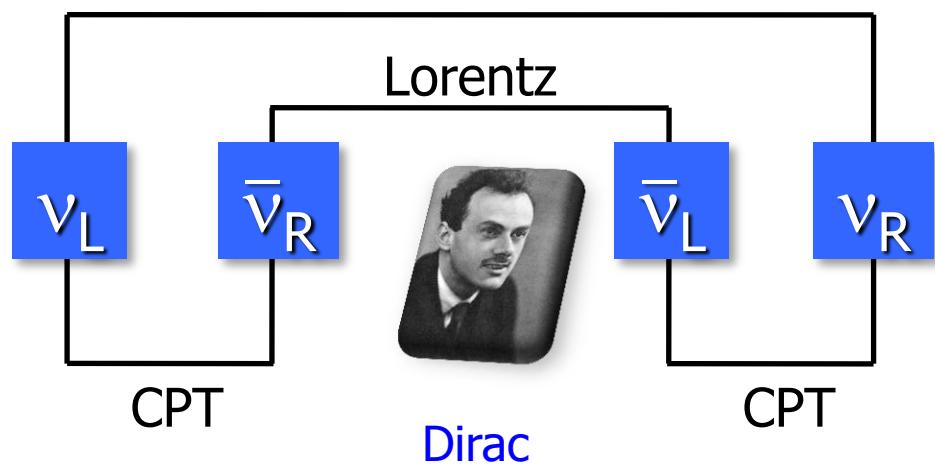
(a)



(b)



(c)



# Neutrino masses

Master equation  $2 \otimes 2 = 3 \oplus 1$

$$\Rightarrow (1, 0) \text{ or } (3, 2) \text{ or } (3, 0)$$

Why is neutrino so much lighter?

**See-saw mechanism**

a) Introduce fermion singlet  $N_R$  (type I)

$N_R$  with Majorana mass term

$$m_\nu \approx \frac{m_D^2}{M_R}$$

b) Introduce higgs triplet  $\Delta_L$  (type II)

Vev:  $v_T \ll v$

$$v_T = \frac{\mu v^2}{M_\Delta^2}$$

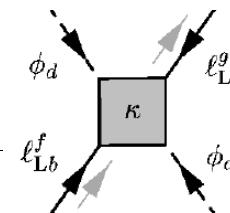
$$\begin{pmatrix} \Delta^0 \\ \Delta^- \\ \Delta^{--} \end{pmatrix}$$

c) Introduce fermion triplets  $\Sigma$  (type III)  
hyperchargeless

$$m_\nu \approx \frac{(m_D^\Sigma)^2}{M_\Sigma}$$



d) Higher dimensional operator



d=5

Weinberg 1979

$$\frac{1}{\Lambda} O_5 \rightarrow m_\nu = \frac{cv^2}{2\Lambda} \longrightarrow \Lambda \geq c \left( \frac{0.1eV}{m_\nu} \right) 10^{14} GeV$$

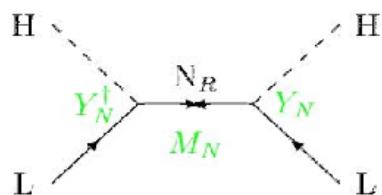
# Neutrino masses

## The 3 basic seesaw models

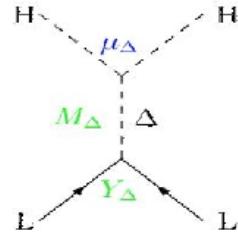


i.e. tree level ways to generate the dim 5 operator

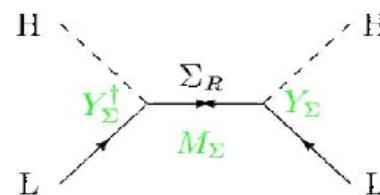
Right-handed singlet:  
(type-I seesaw)



Scalar triplet:  
(type-II seesaw)



Fermion triplet:  
(type-III seesaw)



$$m_\nu = Y_N^T \frac{1}{M_N} Y_N v^2$$

Minkowski; Gellman, Ramon, Slansky;  
Yanagida; Glashow; Mohapatra, Senjanovic

$$m_\nu = Y_\Delta \frac{\mu_\Delta}{M_\Delta^2} v^2$$

Magg, Wetterich; Lazarides, Shafi;  
Mohapatra, Senjanovic; Schechter, Valle

$$m_\nu = Y_\Sigma^T \frac{1}{M_\Sigma} Y_\Sigma v^2$$

Foot, Lew, He, Joshi; Ma, Ma, Roy; T.H., Lin,  
Notari, Papucci, Strumia; Bajc, Nemeseck,  
Senjanovic; Dorsner, Fileviez-Perez....

# Neutrino masses

What if neutrinos have a non-vanishing rest mass ?

→ Physics beyond the Standard Model

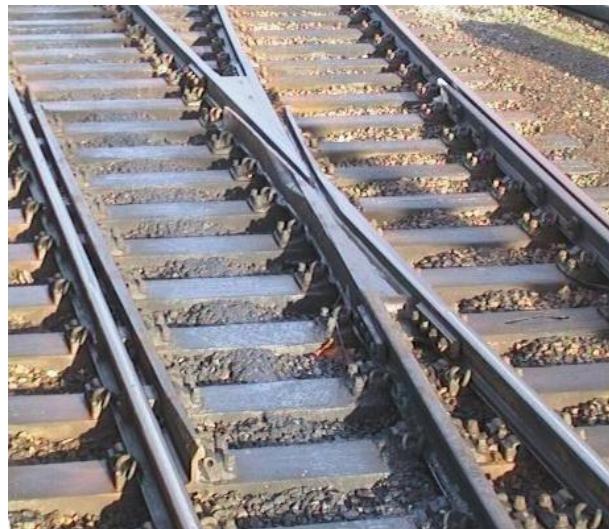
- Of course a lot of new things can be explored (absolute mass, magnetic moments, decays ...)
- Weak eigenstates could be different from mass eigenstates like in quark sector – neutrino mixing (PMNS matrix analog to CKM-matrix)

$$V = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23}-c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23}-s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23}-c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23}-s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix}$$

$$c=\cos \Theta, s=\sin \Theta$$

# The twofold way....

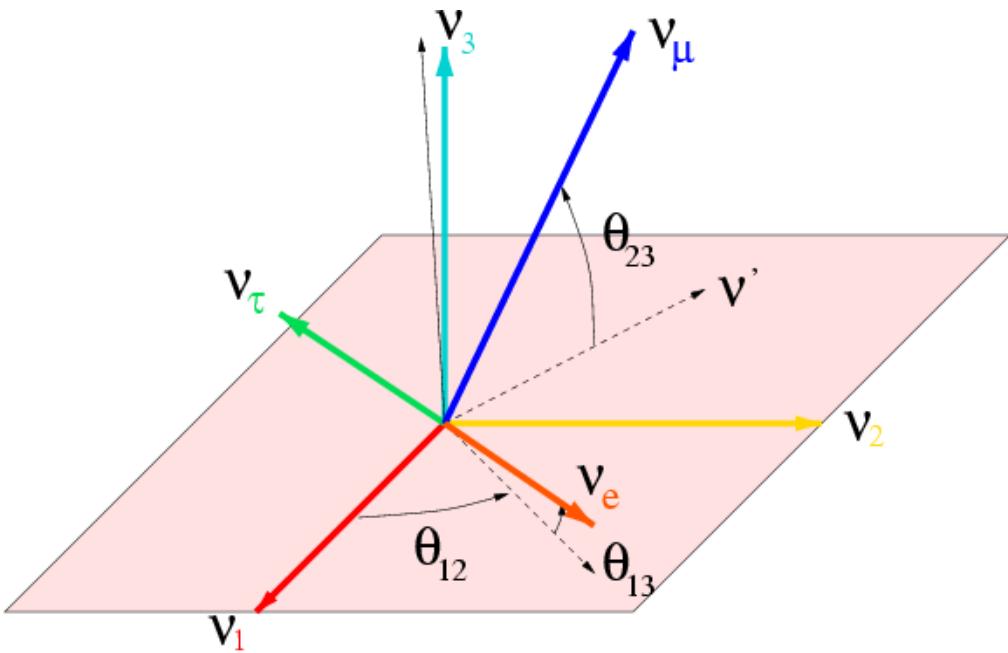
- ★ Precision determination of mixing matrix elements (PMNS), CP violation in lepton sector
- ★ Absolute neutrino mass measurement



See also lecture 2

# Neutrino mixing

*Known in the quark - sector for more than 40 years*



$$|v_i\rangle = \sum U_{\alpha i} |v_\alpha\rangle$$

*2 Flavour Scenario*

$$U = \begin{pmatrix} \cos \Theta & \sin \Theta \\ -\sin \Theta & \cos \Theta \end{pmatrix}$$

*3 Flavour Scenario*

$$U = \begin{pmatrix} \cos \theta_{12} & \sin \theta_{12} & 0 \\ -\sin \theta_{12} & \cos \theta_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} \cos \theta_{13} & 0 & \sin \theta_{13} e^{i\delta} \\ 0 & 1 & 0 \\ -\sin \theta_{13} e^{i\delta} & 0 & \cos \theta_{13} \end{pmatrix} \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos \theta_{23} & \sin \theta_{23} \\ 0 & -\sin \theta_{23} & \cos \theta_{23} \end{pmatrix}$$

# Neutrino mixing

E. Akhmedov, A. Smirnov, arXiv:1008.2077

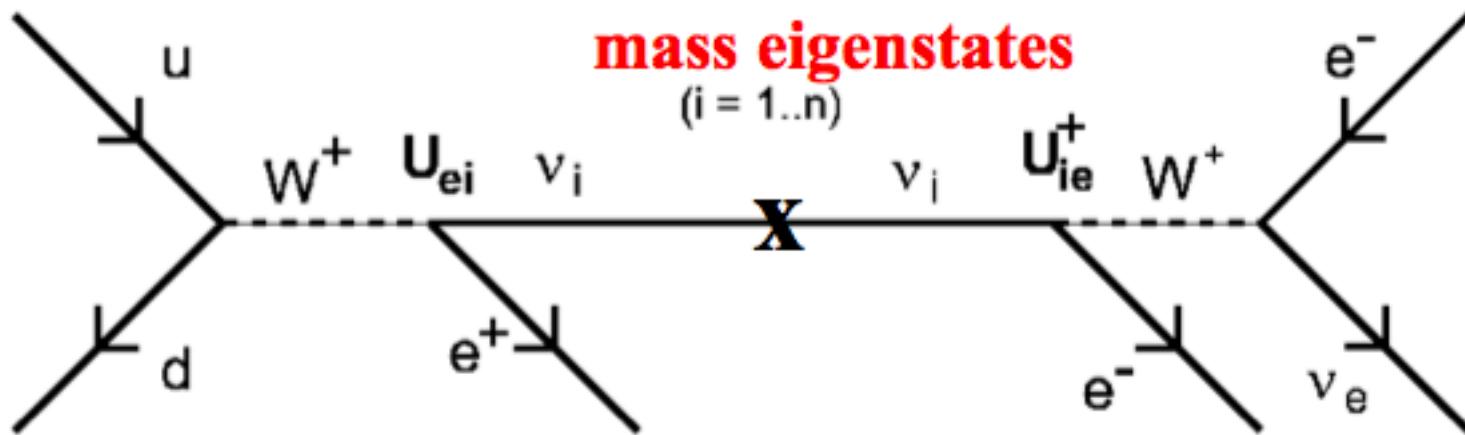
E. Akhmedov, J. Kopp, arXiv:1001.4815

E. Akhmedov, A. Smirnov, arXiv:0905.1903

## Production

## Propagation

## Detection



$p + p \rightarrow d + \nu_e + e^+$   
**solar fusion process  $\rightarrow \nu_e$**

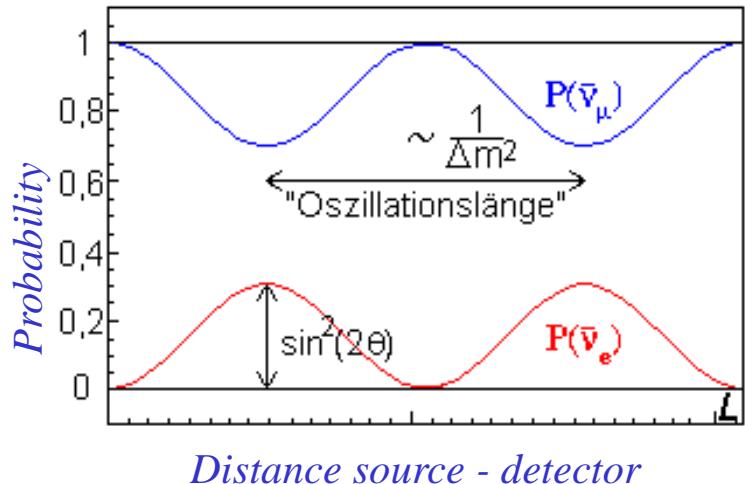
electron scattering  
 **$\rightarrow$  projection on  $\nu_e$**

$$A_{ee} = \sum |U_{ei}|^2 e^{ip_i x} = \dots$$

After M. Lindner

# Neutrino Mixing

*Oscillation probability:*



$$P(|\bar{\nu}_\alpha\rangle \rightarrow |\bar{\nu}_\beta\rangle) = \sin^2 2\Theta \sin^2(1.27 \Delta m^2 \frac{L}{E})$$

with

$$\Delta m^2 = m_2^2 - m_1^2$$

*Sensitivity*

$$\Delta m^2 \propto \frac{E}{L}$$

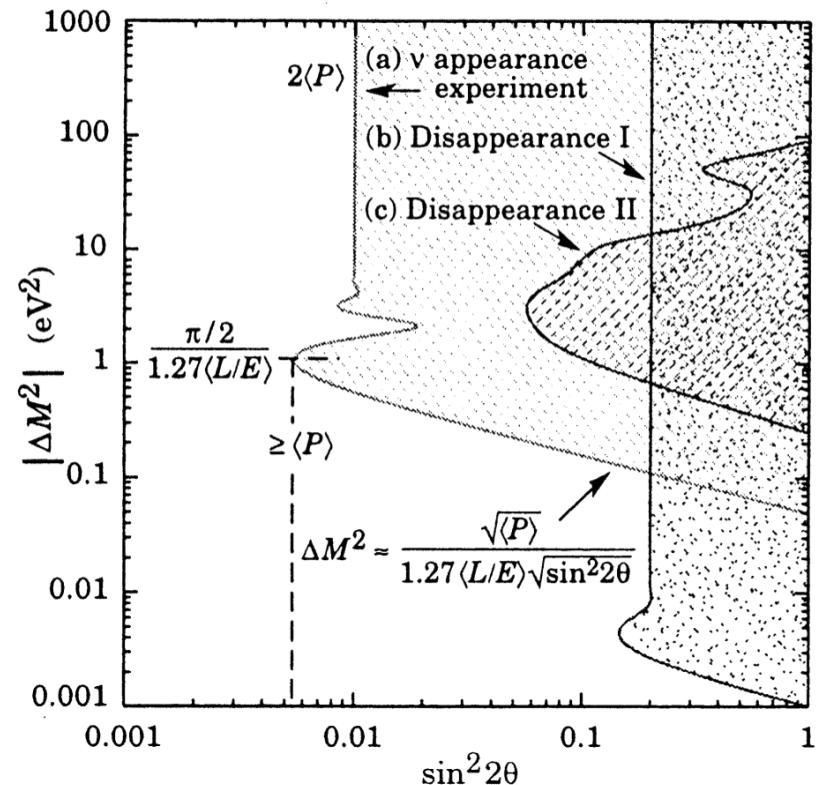
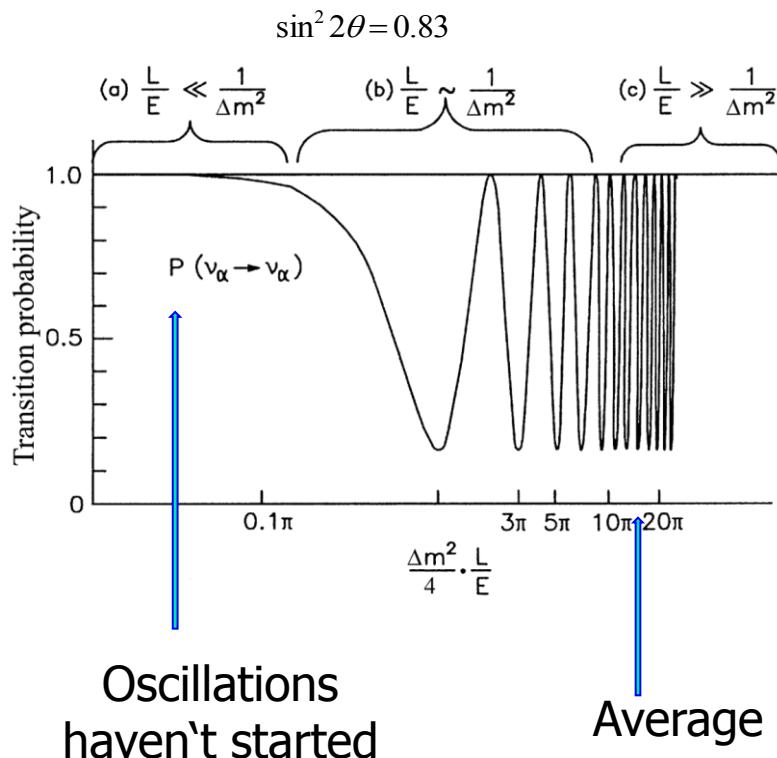
2 unknown Parameters:  $\sin^2 2\theta$ ,  $\Delta m^2$

No absolute neutrino mass measurement!

# Oscillation search

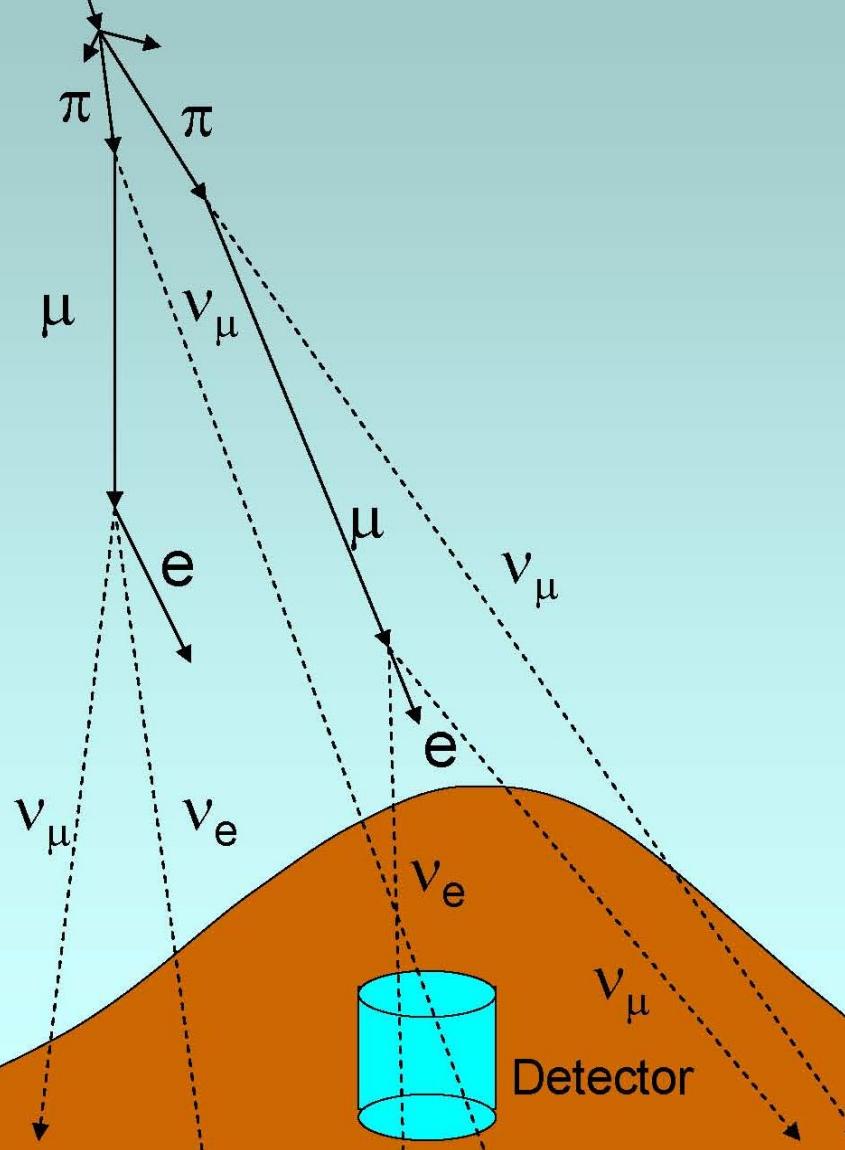
Disappearance: Reduction of original flavour

Appearance: New flavour not present at source



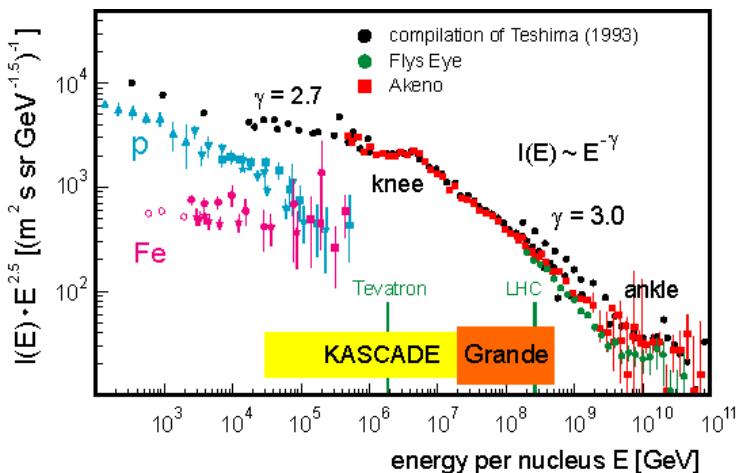
# Atmospheric neutrinos

Cosmic ray  
(p, He, ...)

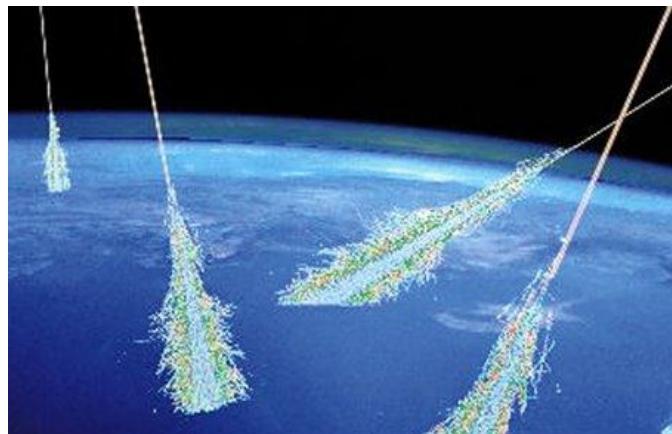


# Atmospheric neutrinos

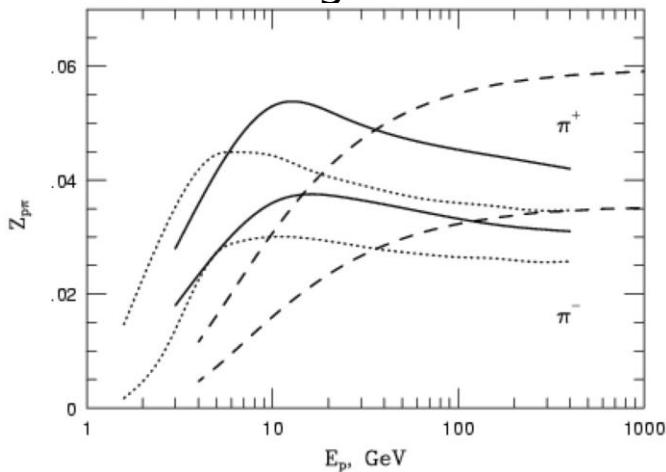
The beam



The target

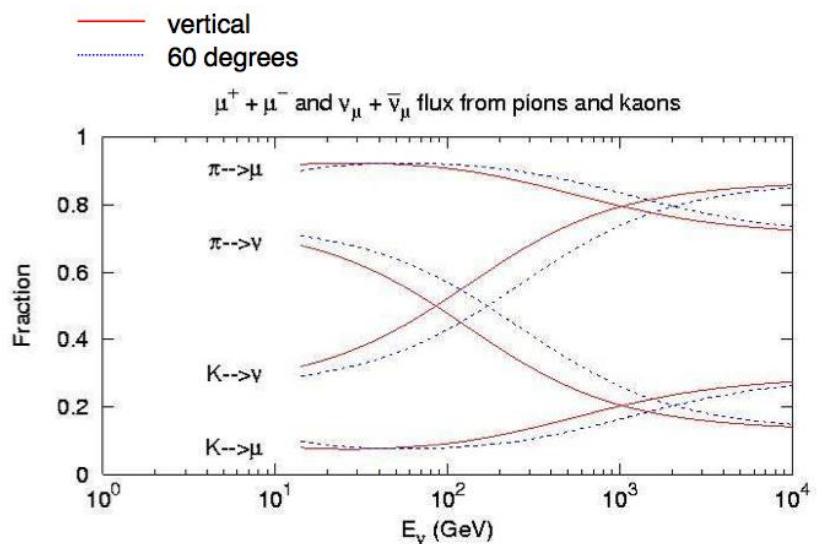


The ingredient

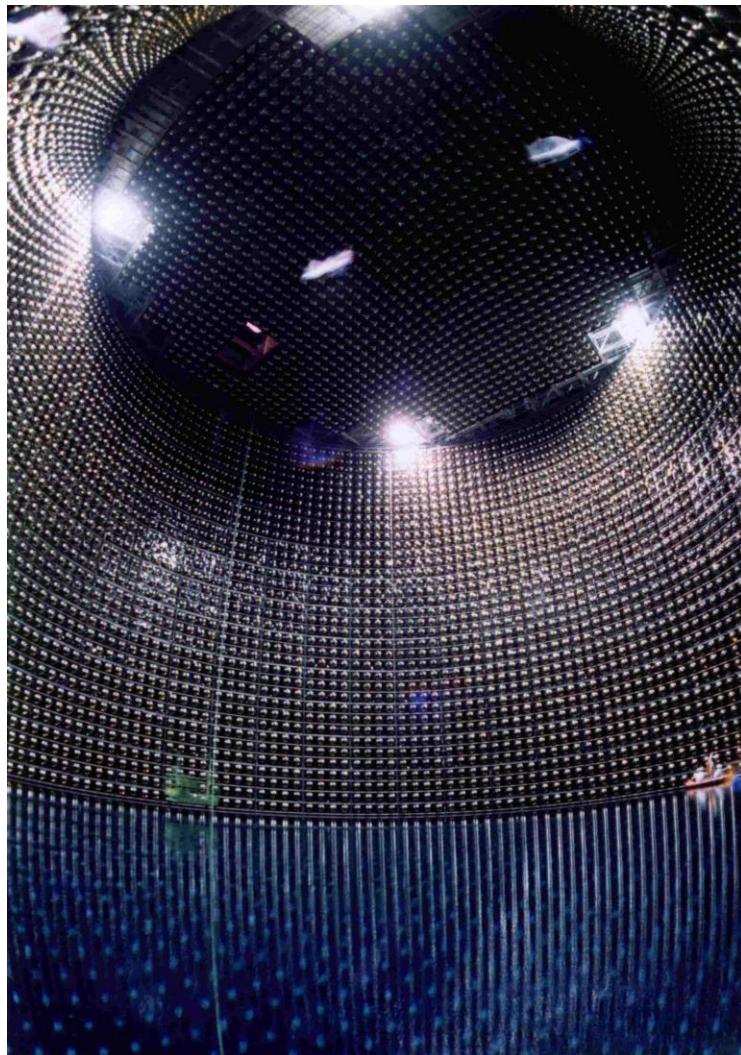
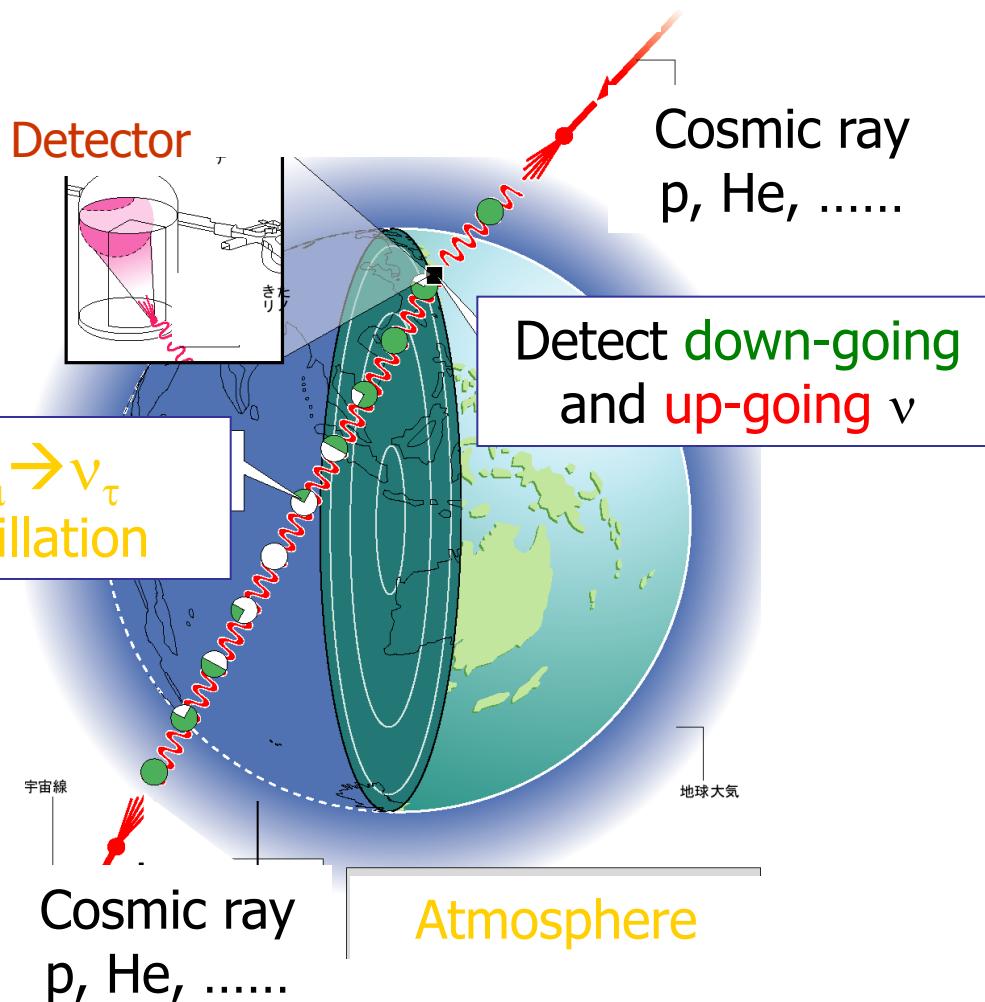


$$Z_{ab} \equiv \int \xi^{(\gamma-1)} F_{ab}(\xi) d\xi$$

The result



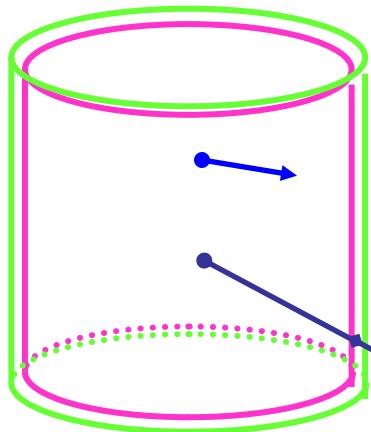
# Super-Kamiokande



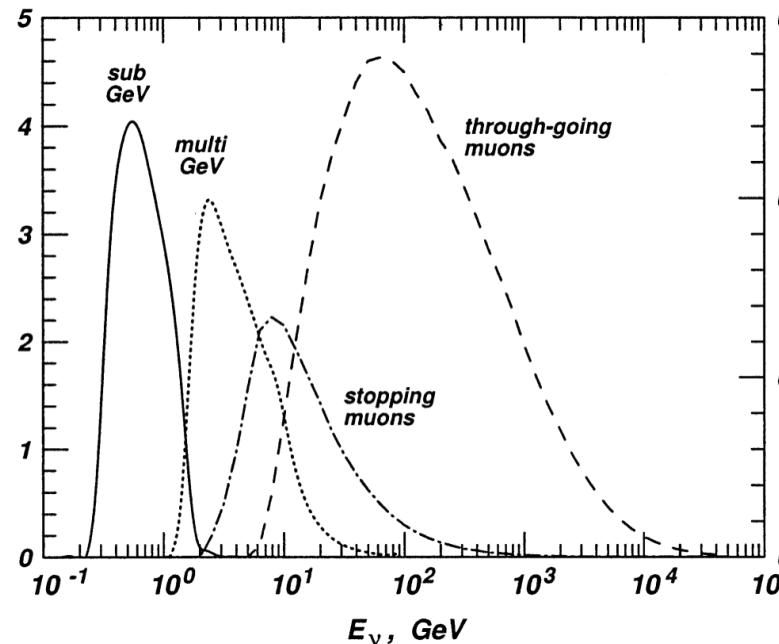
50 kt water Cerenkov detector

# Event classes

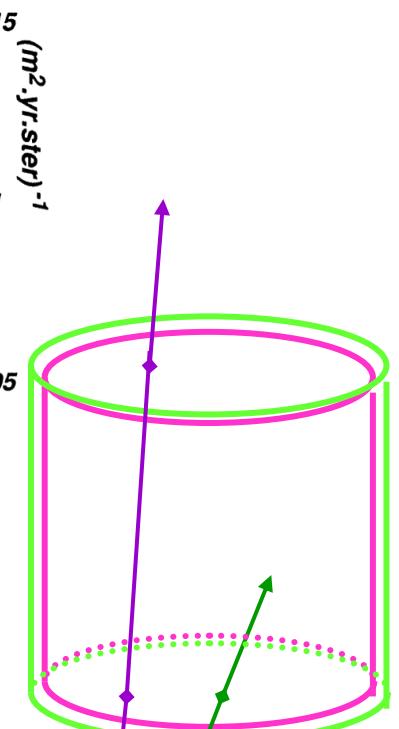
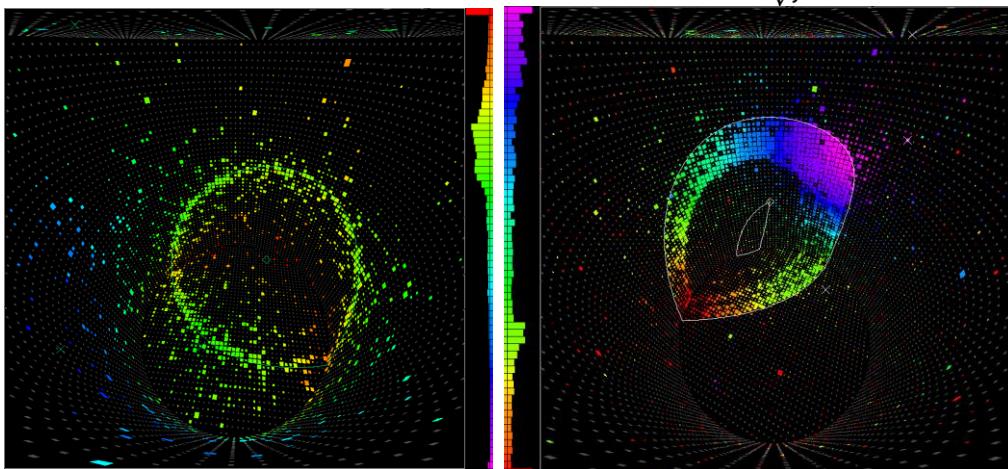
## Fully Contained (FC)



$dN/d\ln E, (\text{Kt.yr})^{-1}$

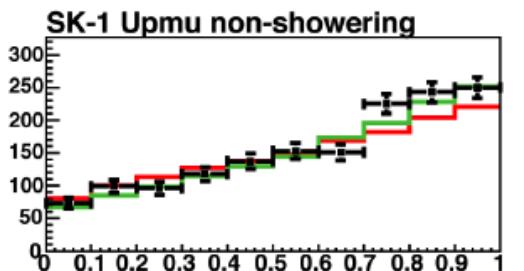
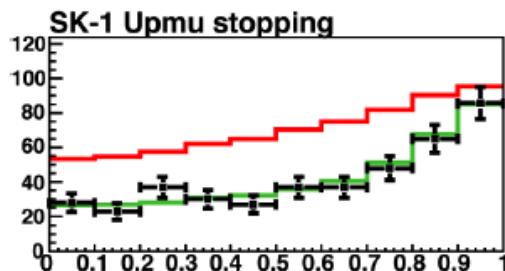
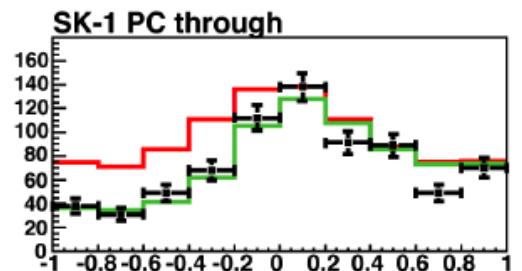
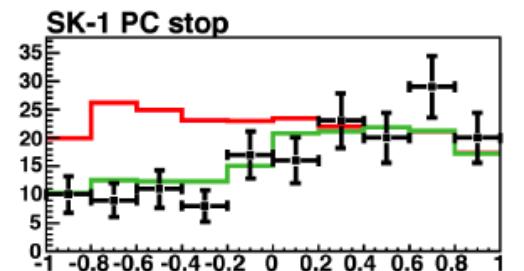
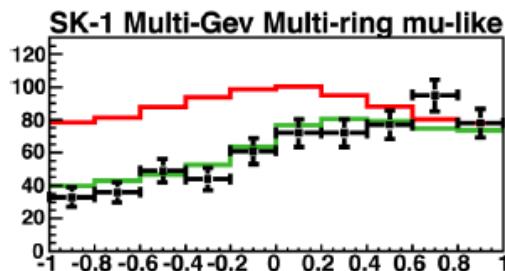
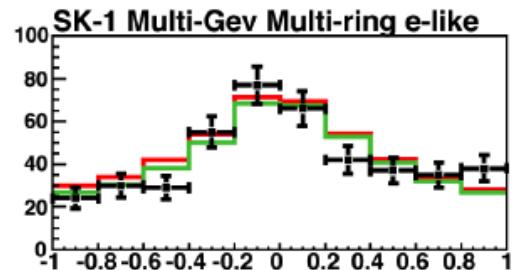
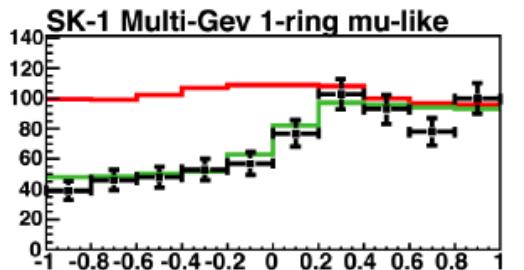
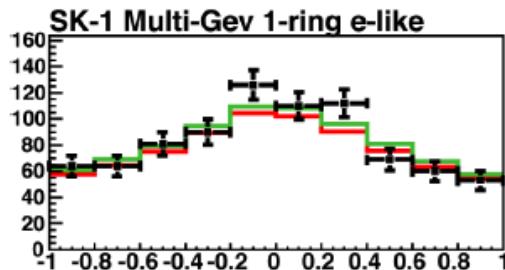
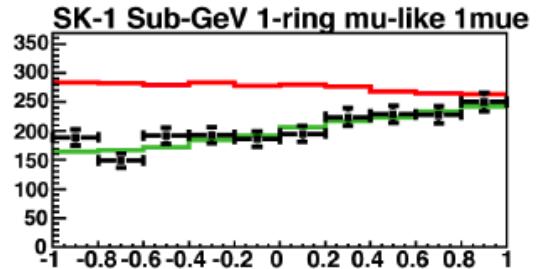
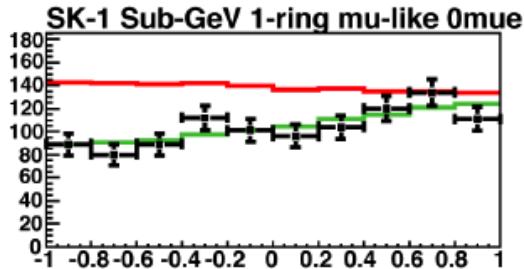
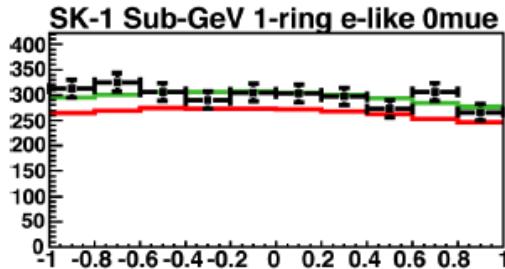


## Partially Contained (PC)



Stopping  $\mu$   
Through-going  $\mu$

# Zenith angle distribution

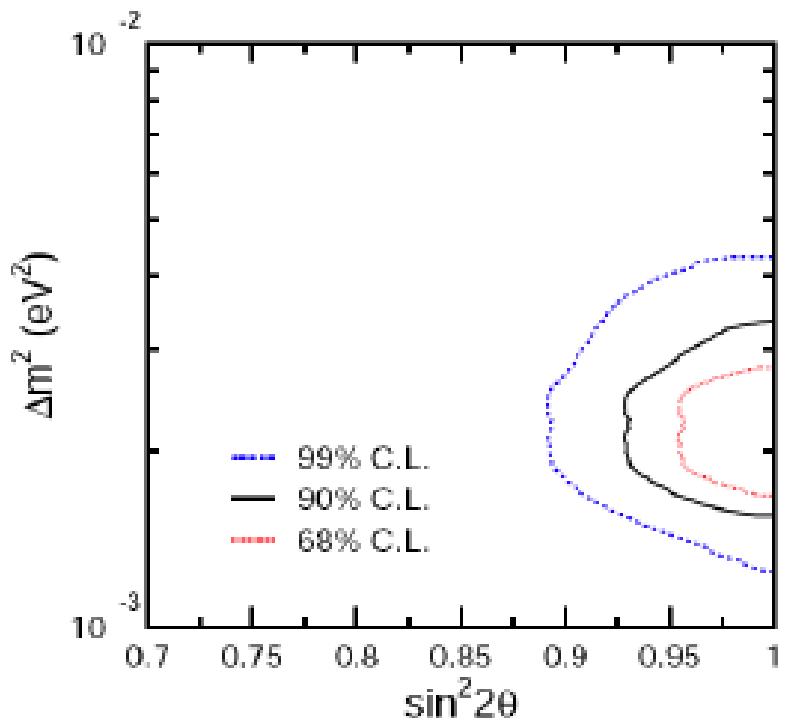


MC expectation

Oscillation fit

# Super-K atmospheric

Nowadays linked to  $\Theta_{23}$  and  $\Delta m^2_{23}$

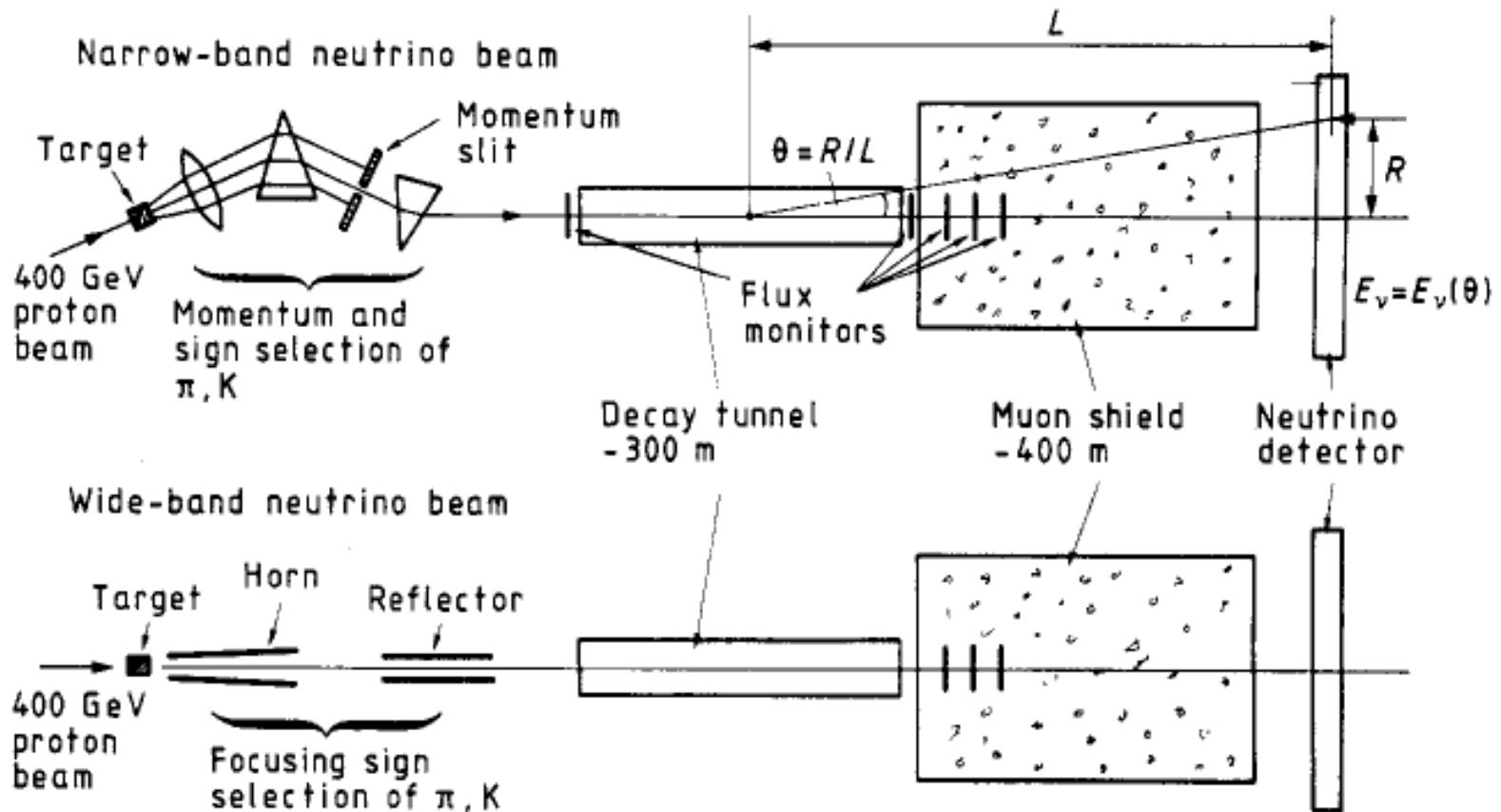


$$\sin^2 2\theta > 0.92(90\% CL)$$

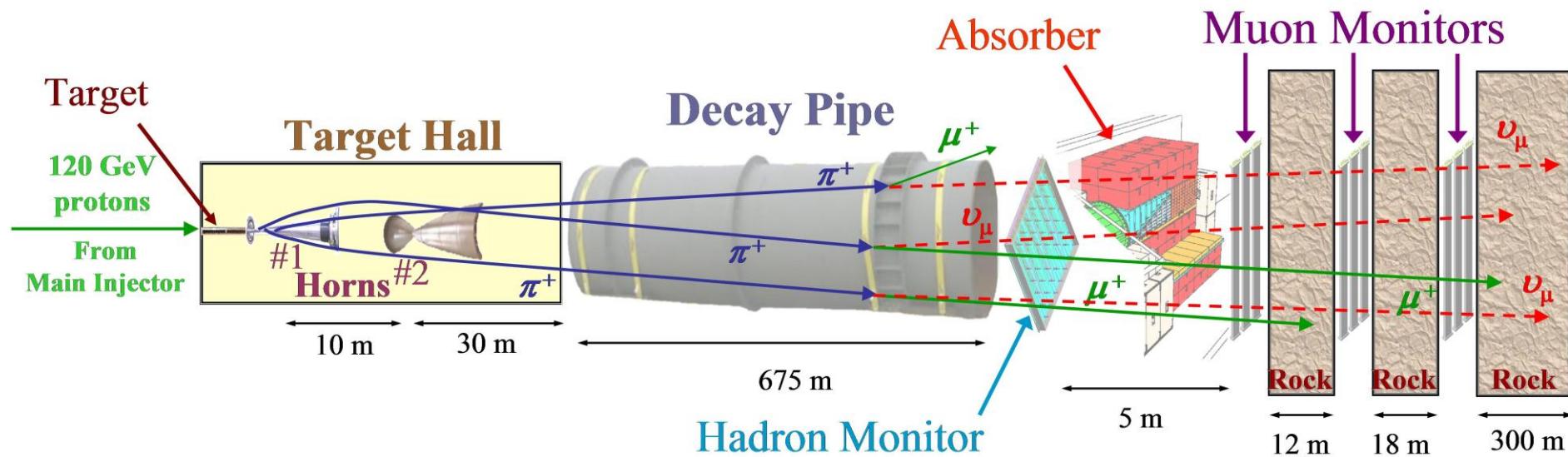
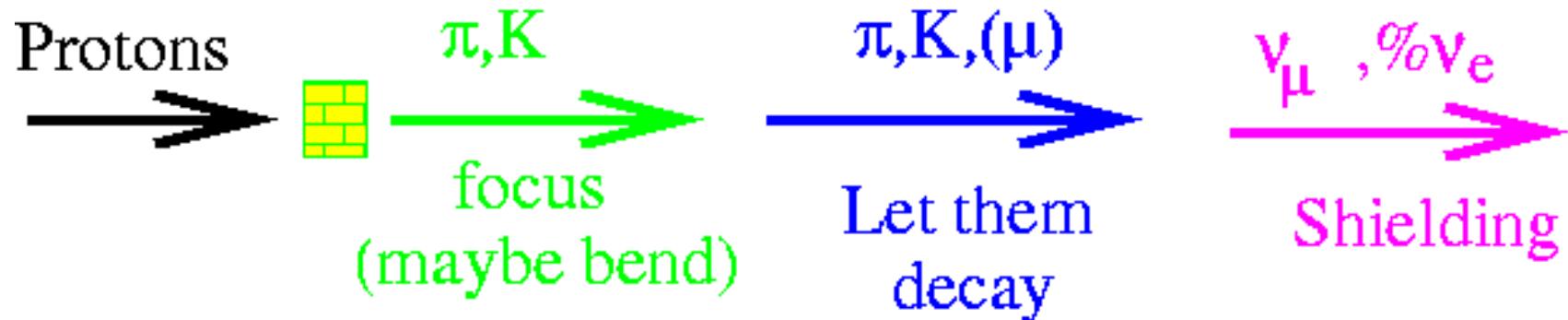
$$1.5 \times 10^{-3} < \Delta m^2 < 3.4 \times 10^{-3} \text{ eV}^2$$

# Neutrino beams

NBB: monochromatic neutrinos, small flux  
 WB: energy spectrum of neutrinos, high flux

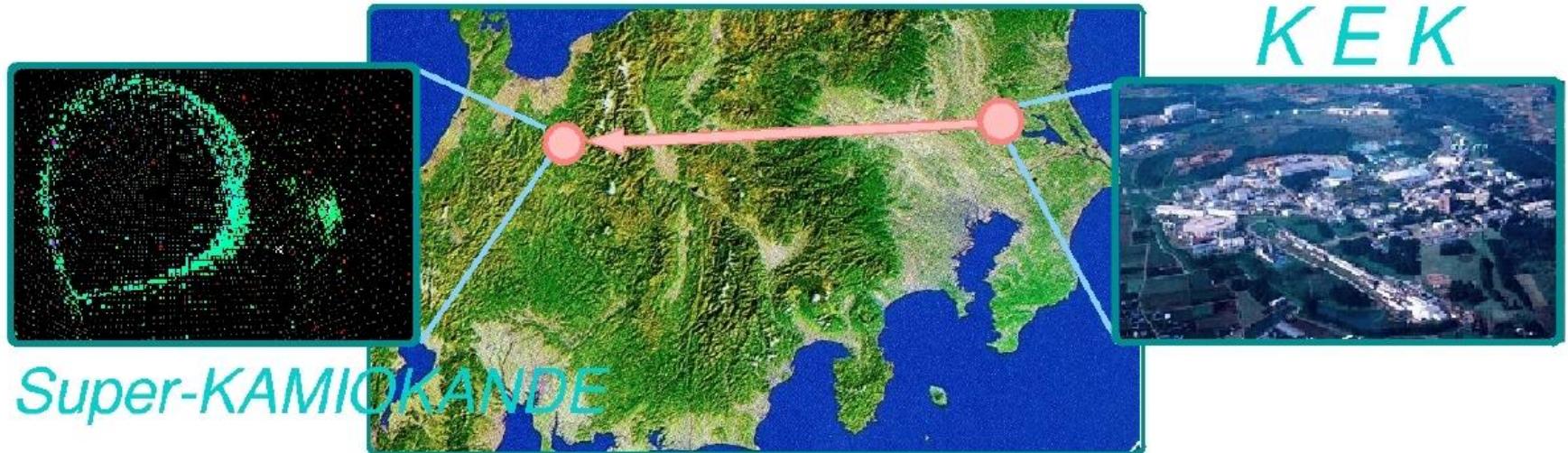


# Example beam (MINOS)



Major problem: Precise knowledge of neutrino energy spectrum at experiment

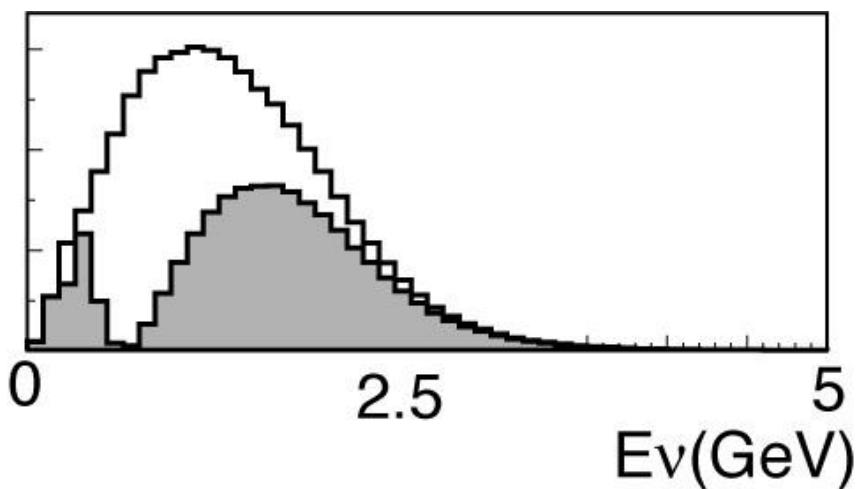
# K2K



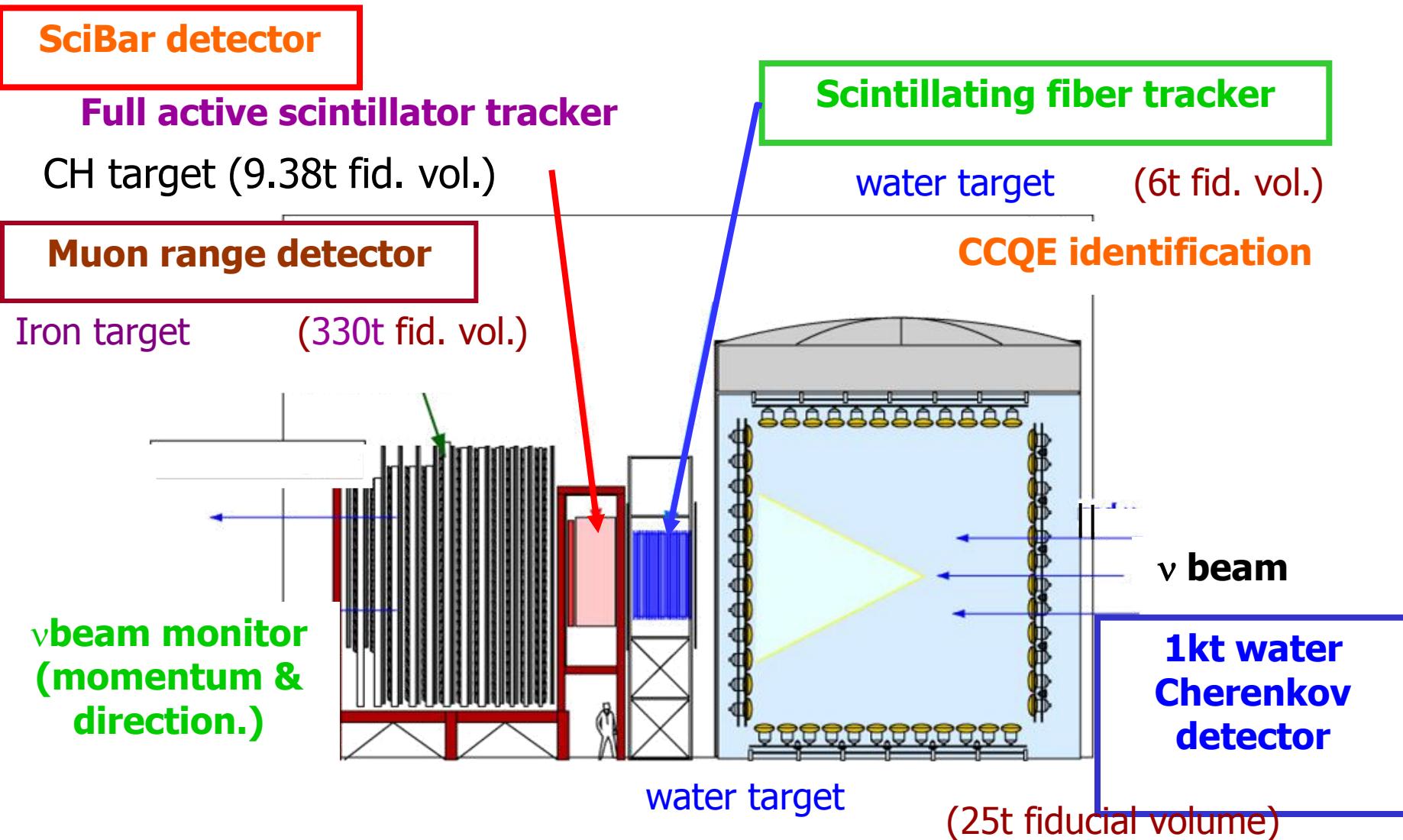
mean neutrino energy: 1.4 GeV

$\nu_\mu$  disappearance experiment

Baseline: 235 km



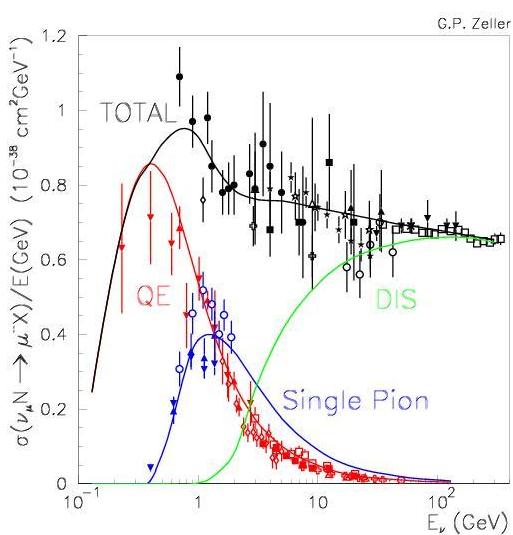
# K2K near neutrino detectors



# Neutrino-Nucleon QEL

- Form factors introduced since proton, neutron not elementary.
- Depend on vector and axial weak charges of the proton and neutron.
- Two hypotheses determine form factors: Conservation of Vector Current (CVC) and Partial Conservation of Axial Current (PCAC)

$$F_V(q^2) = \frac{F_V(0)}{(1 - q^2 / 0.71)^2} \quad F_A(q^2) = \frac{F_A(0)}{(1 - q^2 / 1.065)^2}$$



$$F_V(0) = 1$$

$$F_A(0) = g_A = -1.2573 \pm 0.028$$

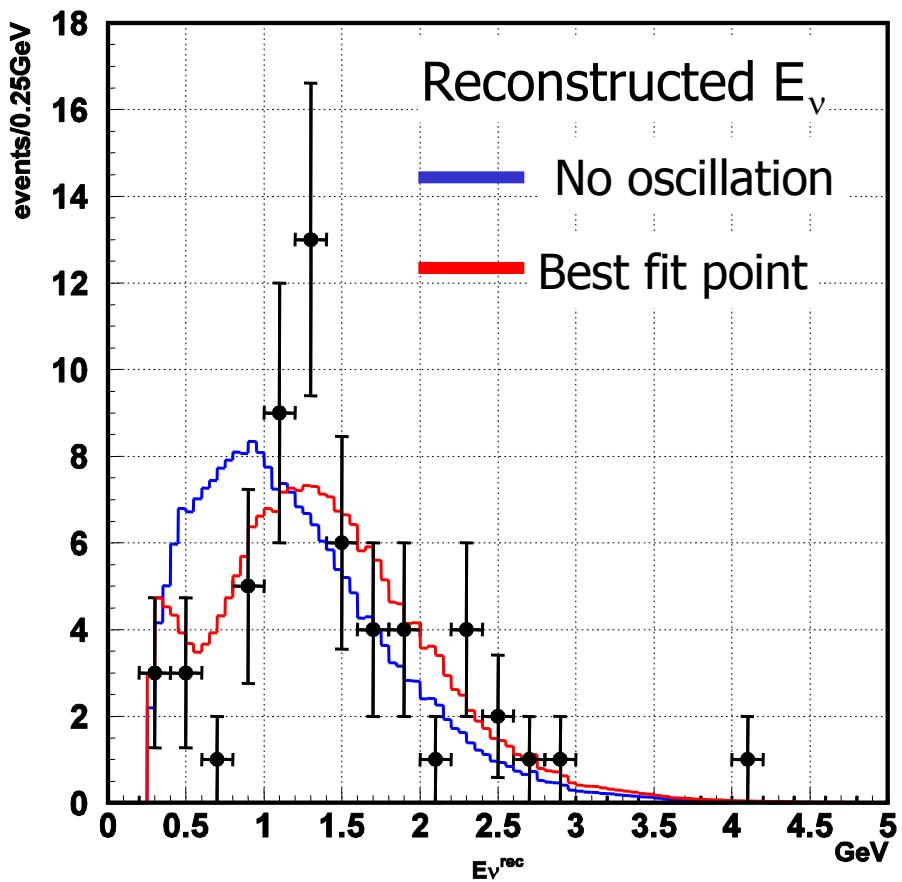
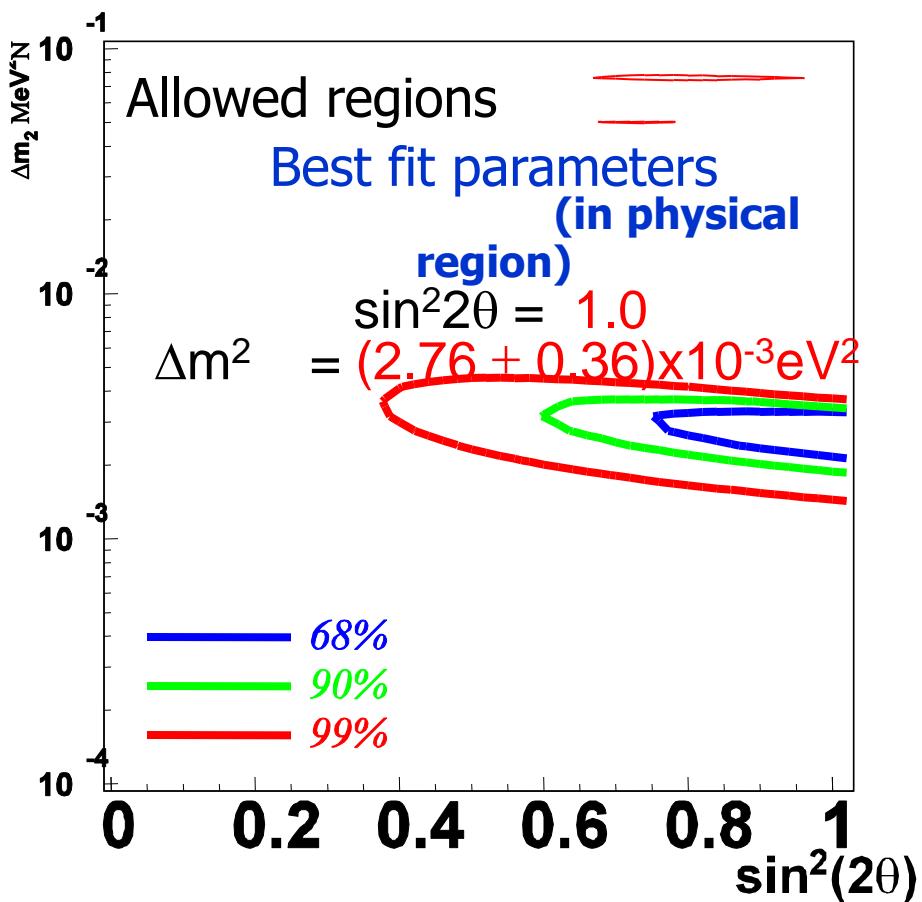
- For low energy ν ( $E_\nu \ll m_N$ ):

$$\sigma(\nu_e n) = \sigma(\bar{\nu}_e p) =$$

$$\begin{aligned} & \frac{(G_F \cos\theta_C)^2 E_\nu^2}{\pi} [F_V(0)^2 + 3F_A(0)^2] \\ & \approx 9.75 \times 10^{-42} \left( \frac{E_\nu}{10 \text{ MeV}} \right)^2 \text{ cm}^2 \end{aligned}$$

QE scattering crucial for next generation of neutrino oscillation experiments!!

# K2K results



# MINOS



5.4 kt magnetized iron spectrometer

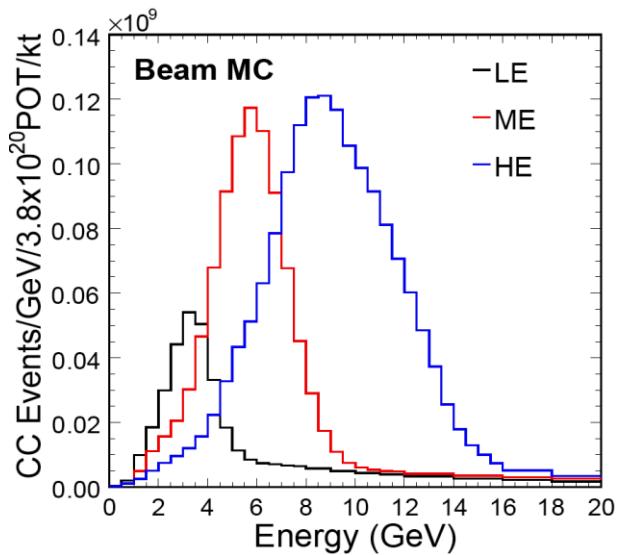
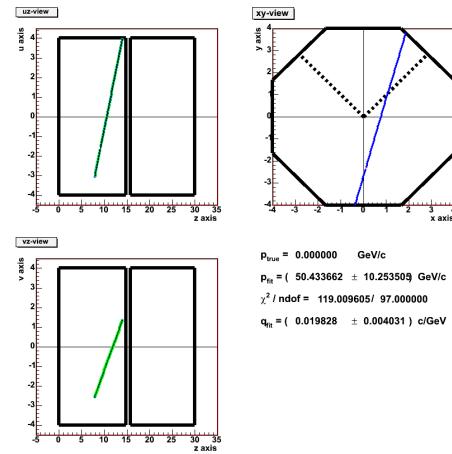


Disappearance experiment

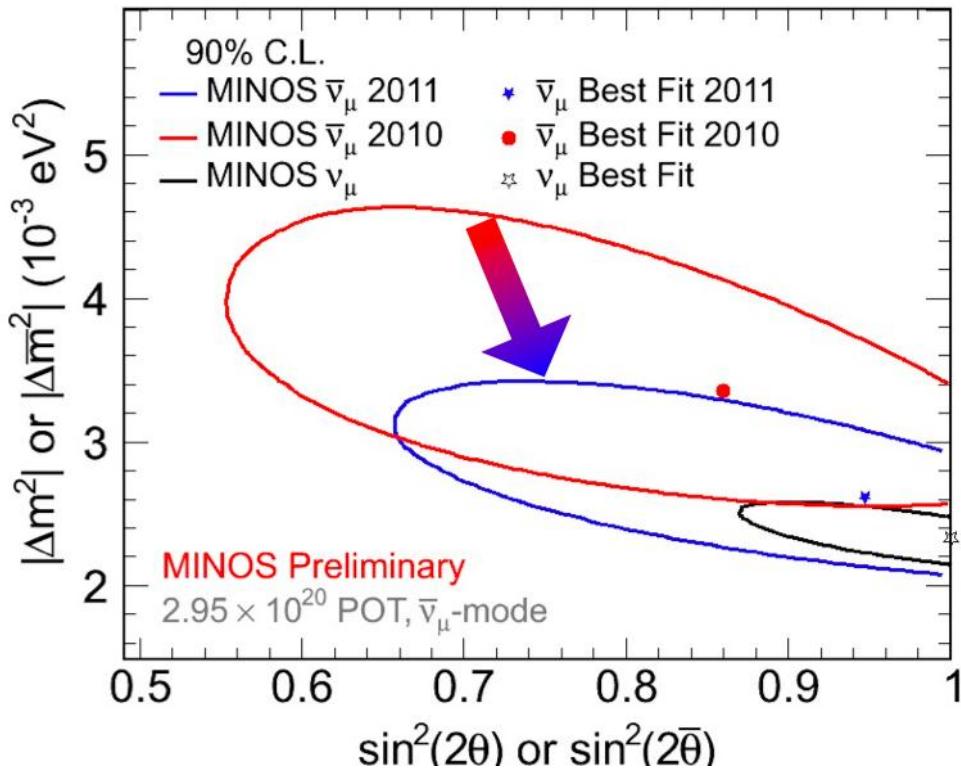
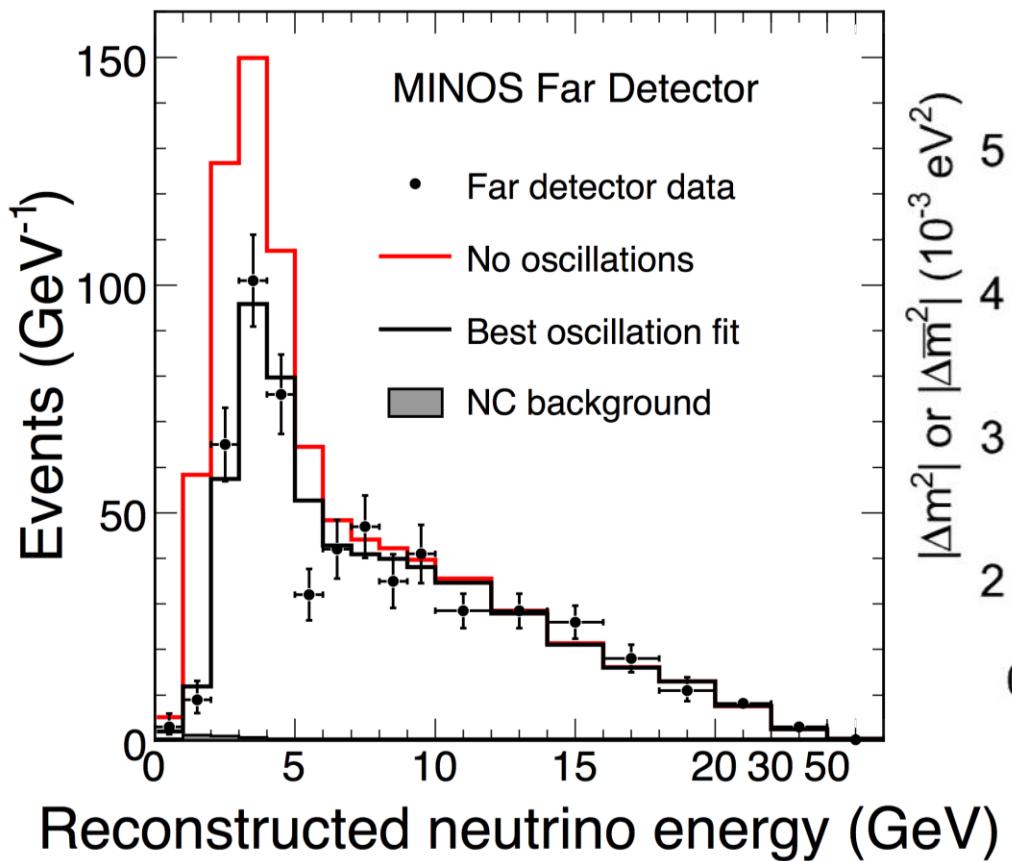
Baseline: 732 km



LE option chosen  
Neutrino and antineutrino runs

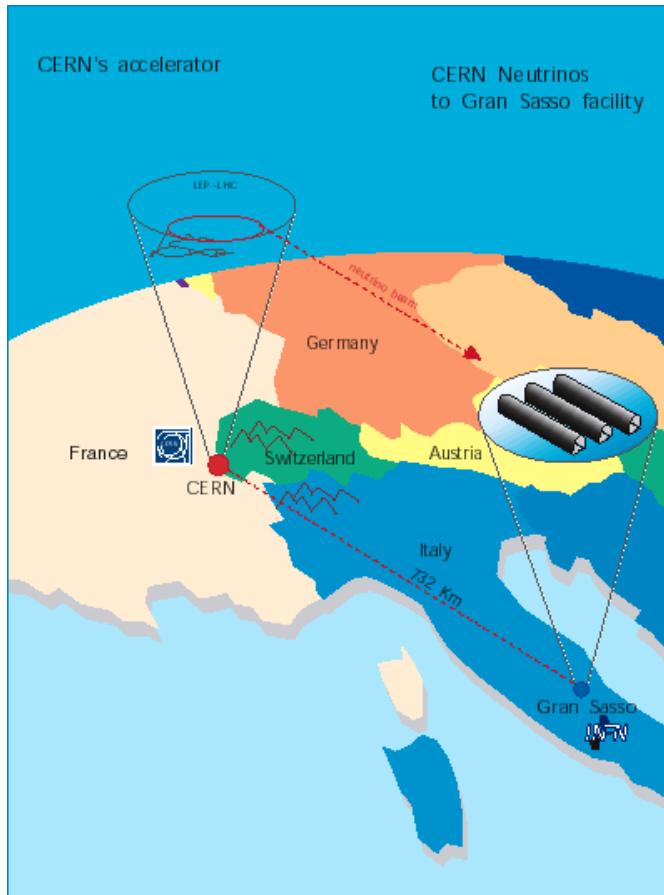


# MINOS + SuperK results

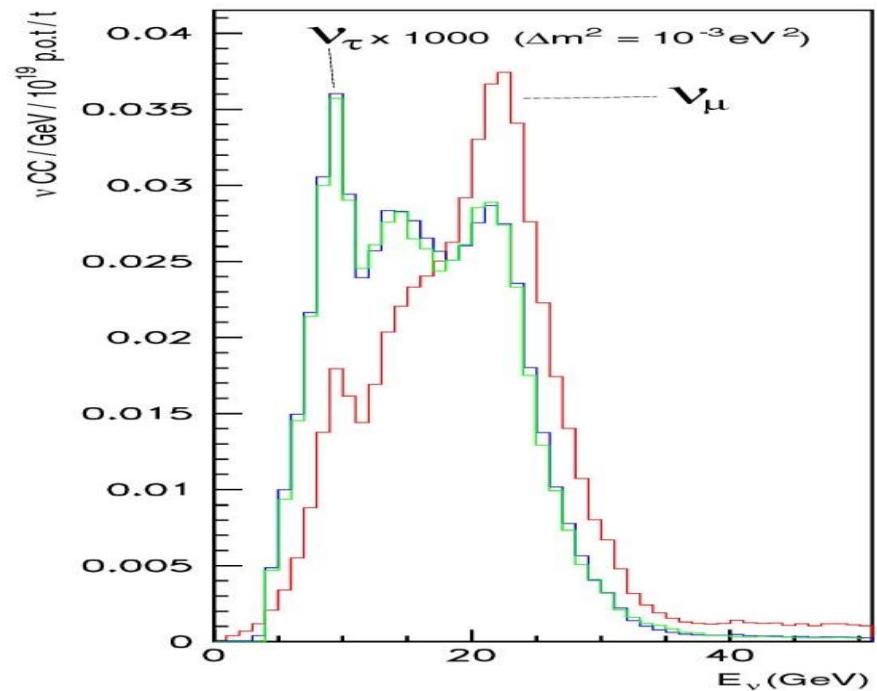
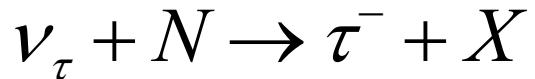


# CNGS

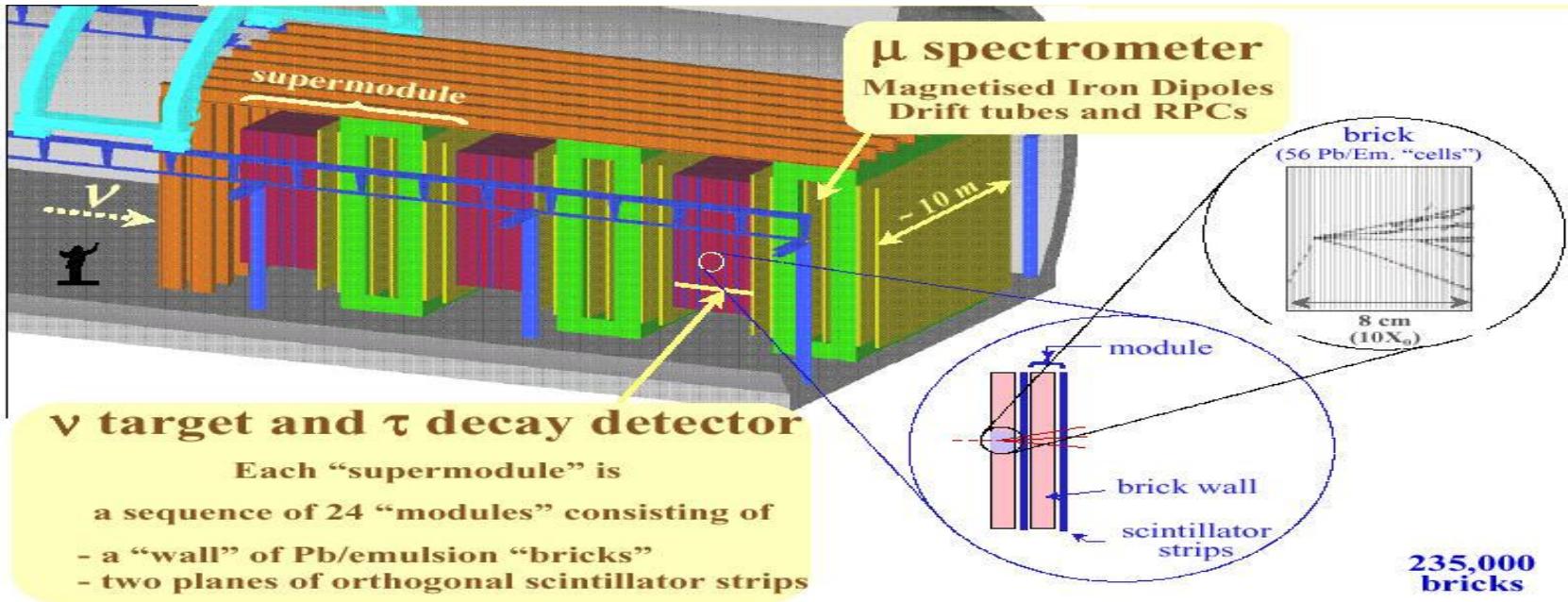
Same baseline as Fermilab – Soudan



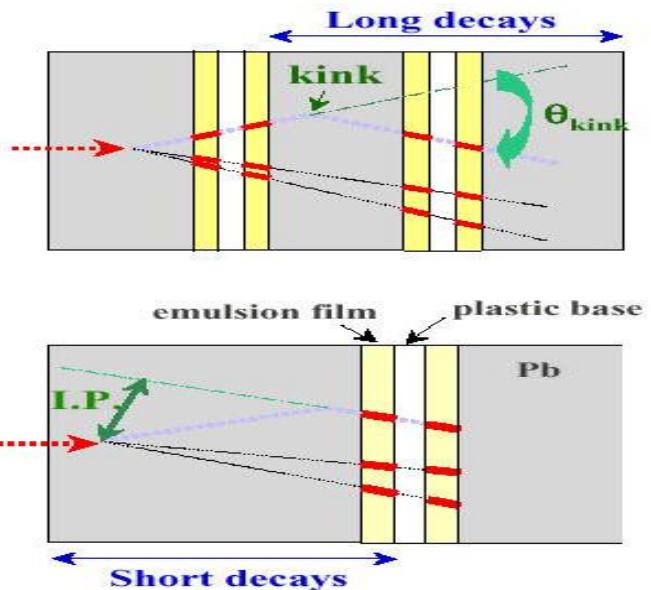
Beam optimised for detection  
Appearance experiment



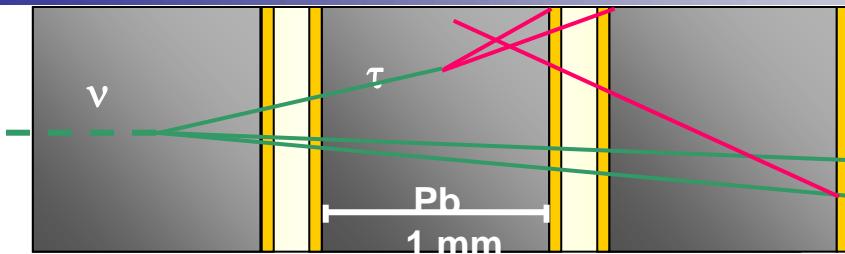
# OPERA



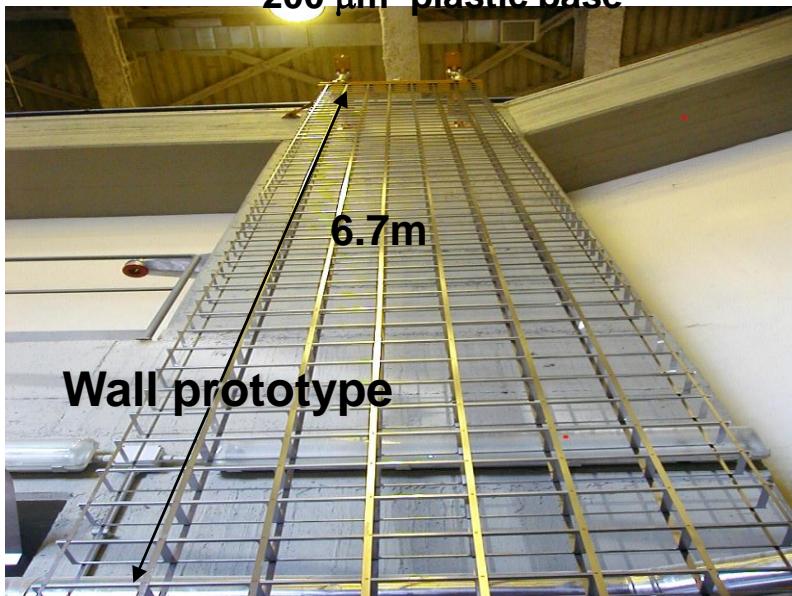
- Challenge: making a Fine-grained and massive detector to see kink when tau decays to something plus  $\nu_\tau$



# Lead-Emulsion Target

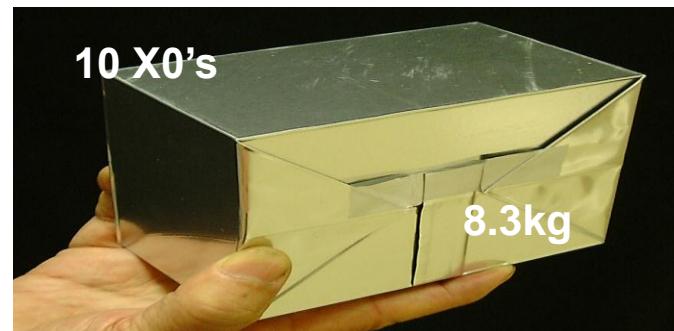
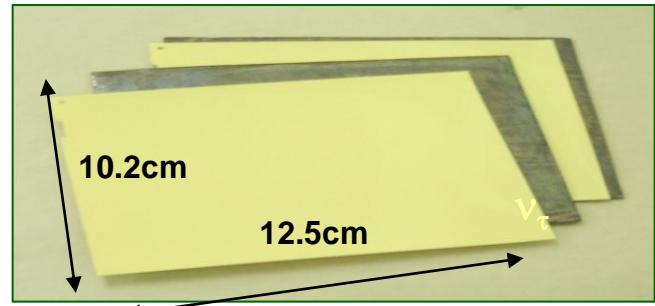


2 emulsion layers  
 $(44 \mu\text{m}$  thick)  
 glued onto a  
 $200 \mu\text{m}$  plastic base



Wall prototype

**52 x 64 bricks**



**BRICK: 57 emulsion foils +56 interleaved Pb plates**

**Emulsion films (Fuji)**  
 production rate  $\sim 8,000 \text{m}^2/\text{month}$   
 $(206,336 \text{ brick} \Leftrightarrow \sim 150,000 \text{m}^2)$

**Lead plates (Pb + 2.5% Sb)**  
 requirements:  
 low radioactivity level, emulsion compatibility,  
 constant and uniform thickness

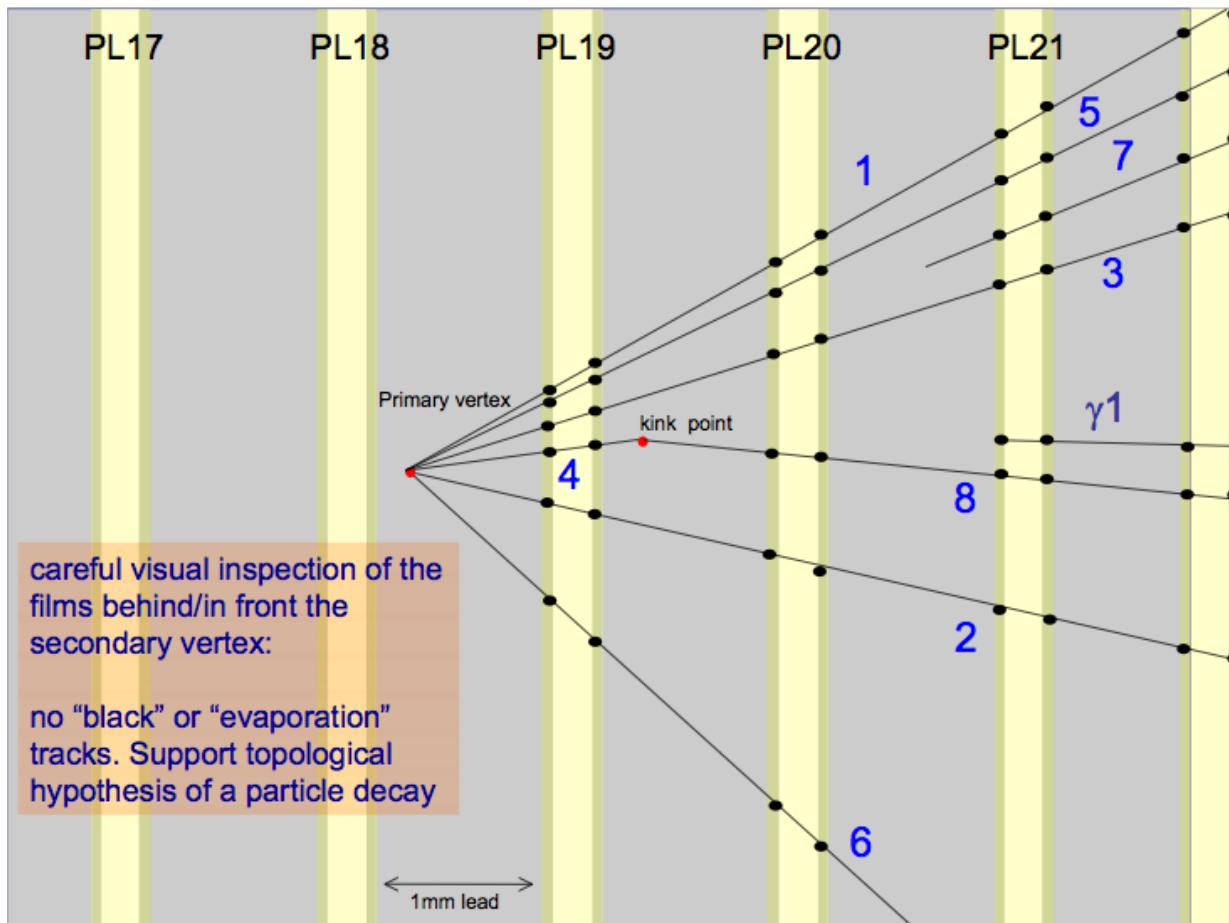
# OPERA - Expectation

$\tau$ decay channels	Signal		Background
	$\Delta m^2 = 2.5 \times 10^{-3}$ eV <sup>2</sup>	$\Delta m^2 = 3.0 \times 10^{-3}$ eV <sup>2</sup>	
$\tau \rightarrow \mu$	2,9	4,2	0,17
$\tau \rightarrow e$	3,5	5,0	0,17
$\tau \rightarrow h$	3,1	4,4	0,24
$\tau \rightarrow 3h$	0,9	1,3	0,17
ALL	10,4	14,9	0,75

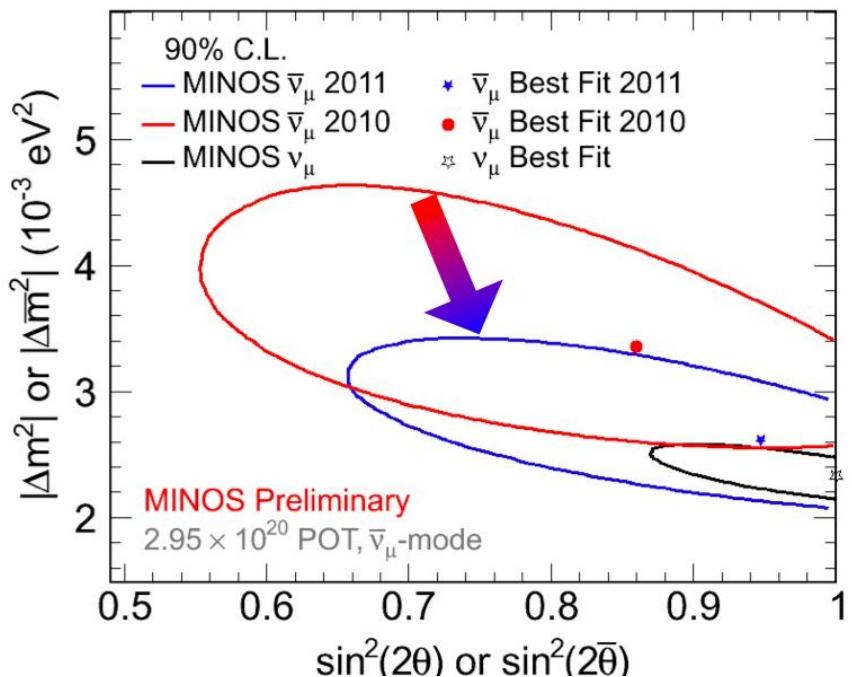
There should be 1 event in the data by now...

# OPERA- 1st event

First candidate event observed



# Summary so far



- ★ Neutrinos have a non-vanishing rest mass
- ★ Observed in atmospheric neutrinos, confirmed by accelerators
- ★ Best fit is maximal mixing and

$$\begin{aligned} |\Delta m^2| &= (2.32 \pm 0.10) \times 10^{-3} \text{ eV}^2 \\ &(90\% \text{ C.L.}) \\ \sin^2 2\theta &= 1 \end{aligned}$$