

# Simulation and analysis of source related effects for KATRIN

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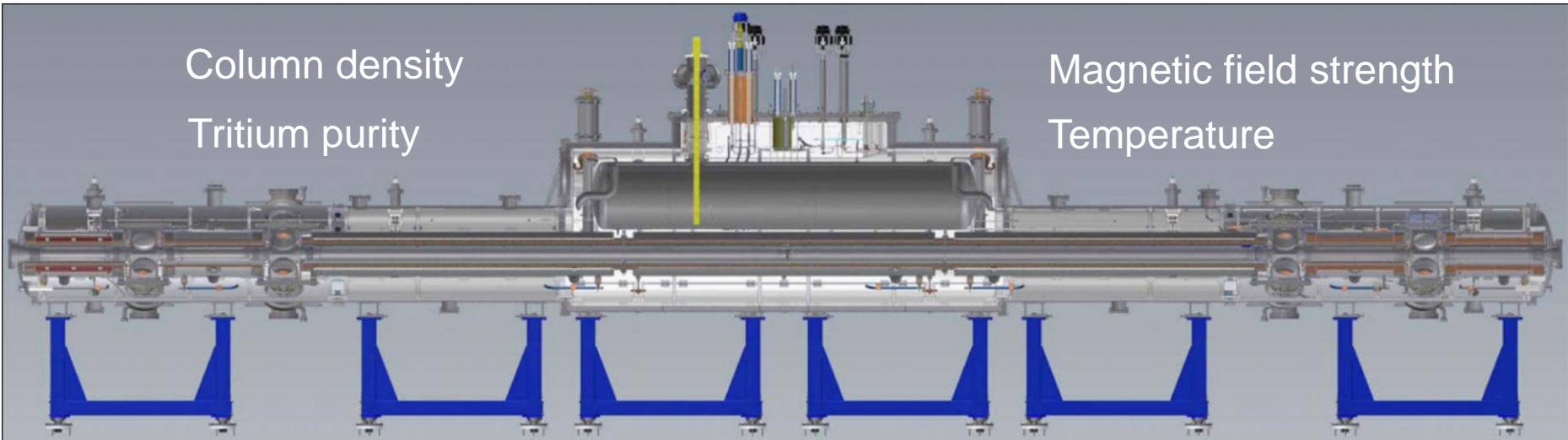
Institute of Experimental Nuclear Physics (IEKP)

Column density

Tritium purity

Magnetic field strength

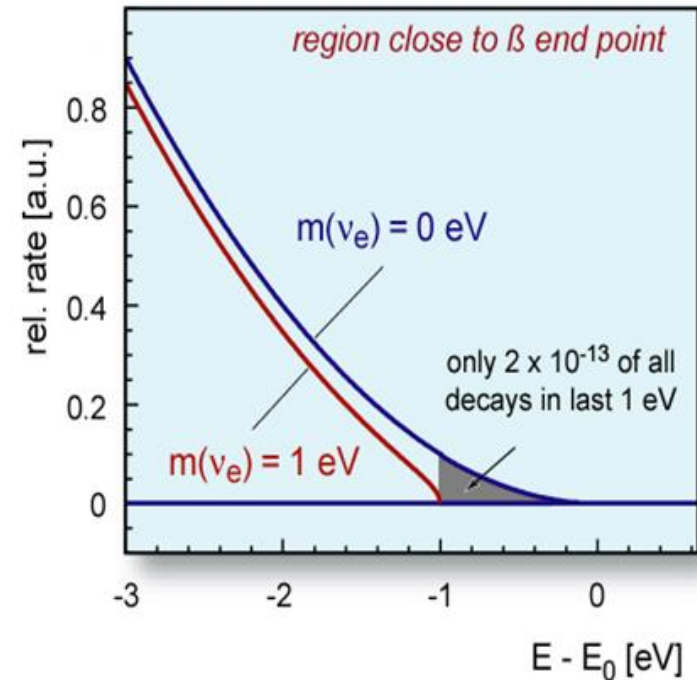
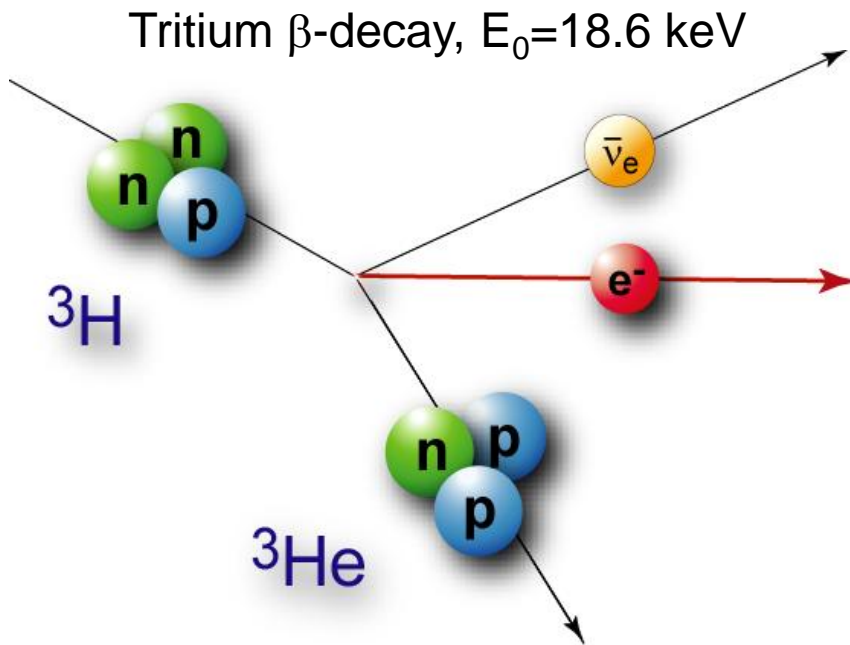
Temperature



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  - Temperature profile
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- Analysis
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    - Column density
    - Temperature
  - Profile likelihood
    - Tritium purity
- Summary and Outlook

# Direct determination of neutrino mass: $\beta$ -decay

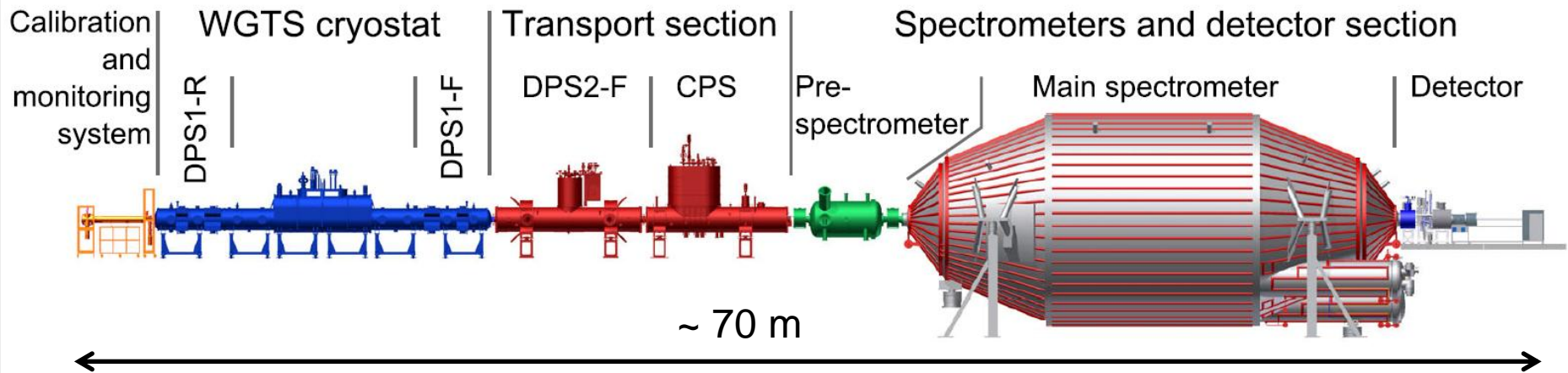


$$m_\nu^2 = \sum_i |U_{ei}|^2 \cdot m_i^2$$

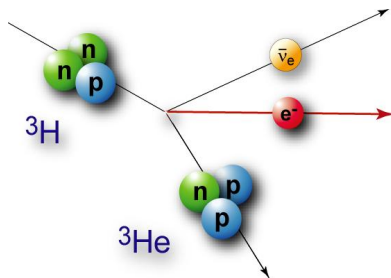
$$\frac{dN_i}{dE} \propto (E_0 - E) \sqrt{(E_0 - E)^2 - m_i^2 c^4}$$

Model independent approach: kinematics & energy conservation

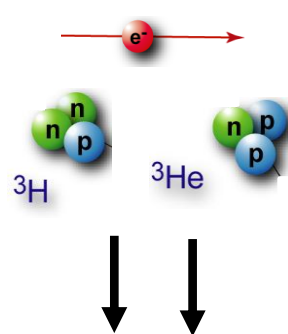
# The KATRIN experiment



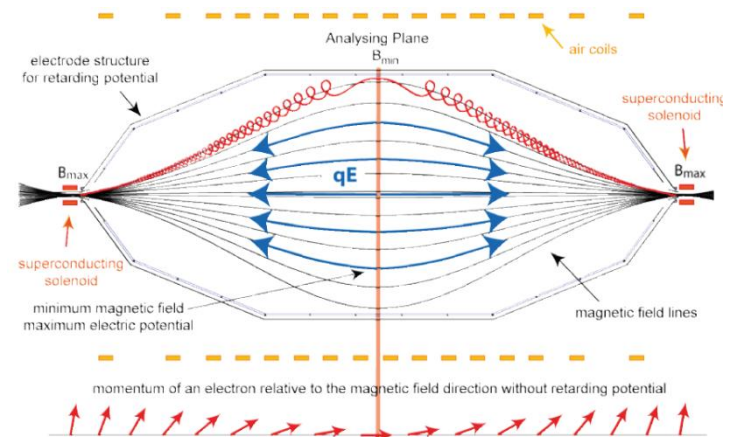
Strong  $\beta$ -source  
 $> 10^{11} \text{ e}^-/\text{s}$



Remove molecules and ions

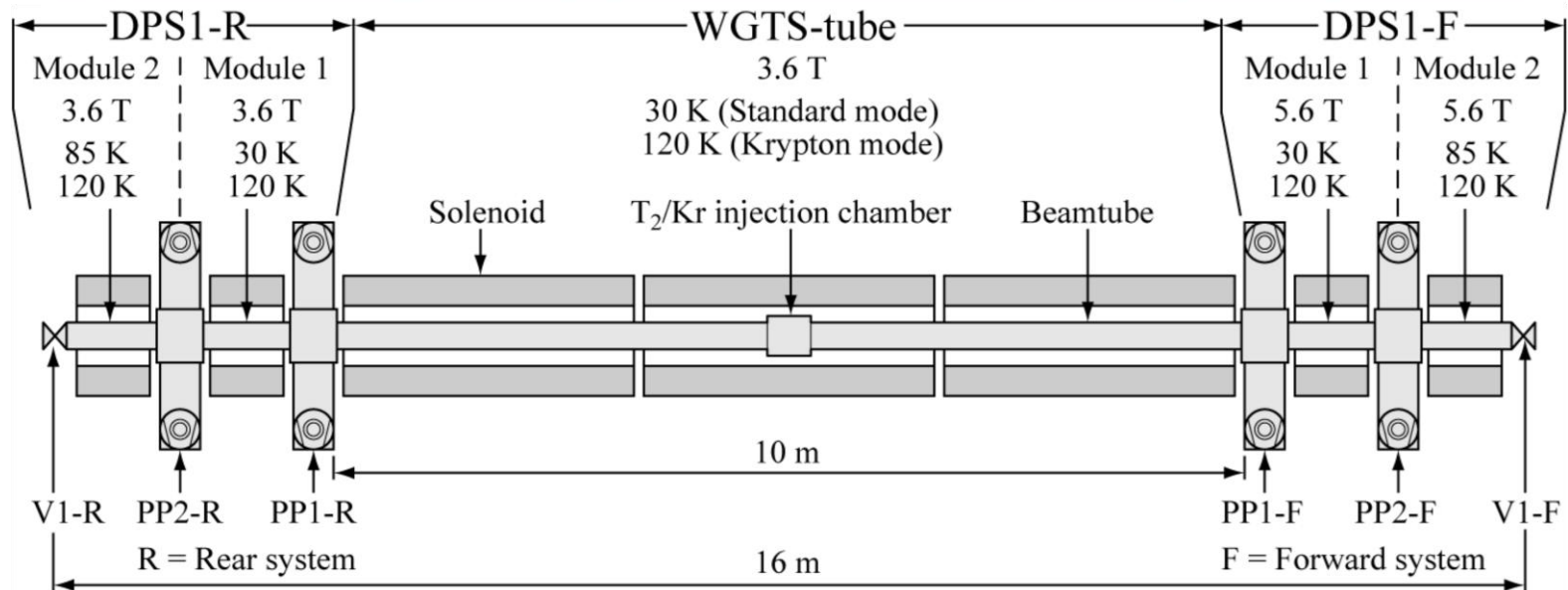
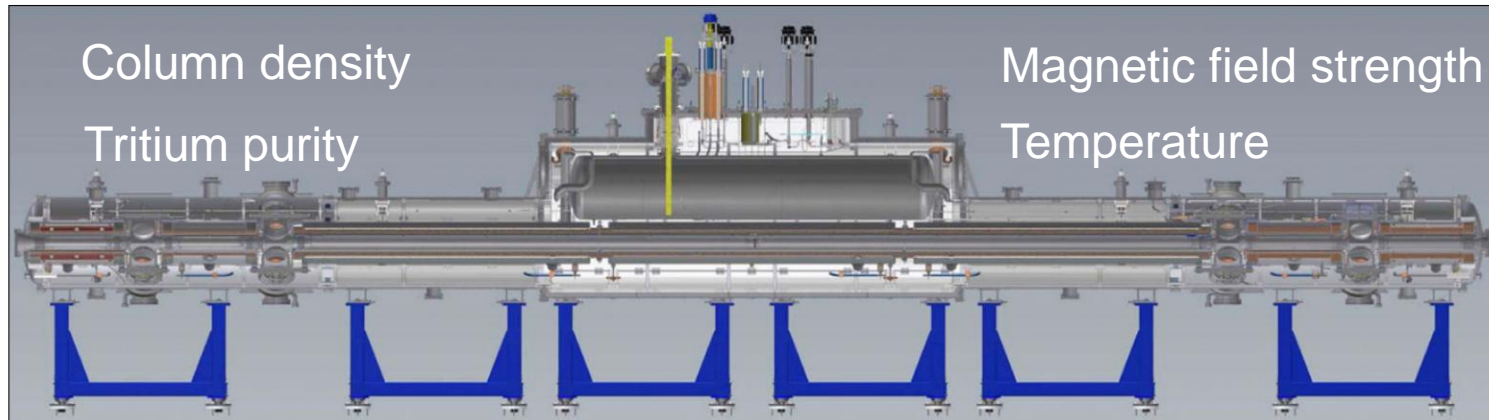


Transmit  $\text{e}^-$  with  $E > qU$   
 $\Delta E = 0.93 \text{ eV}$



Count  $\text{e}^-$   
 $\sim 1 \text{ e}^-/\text{s}$

# Windowless Gaseous Tritium Source WGTS

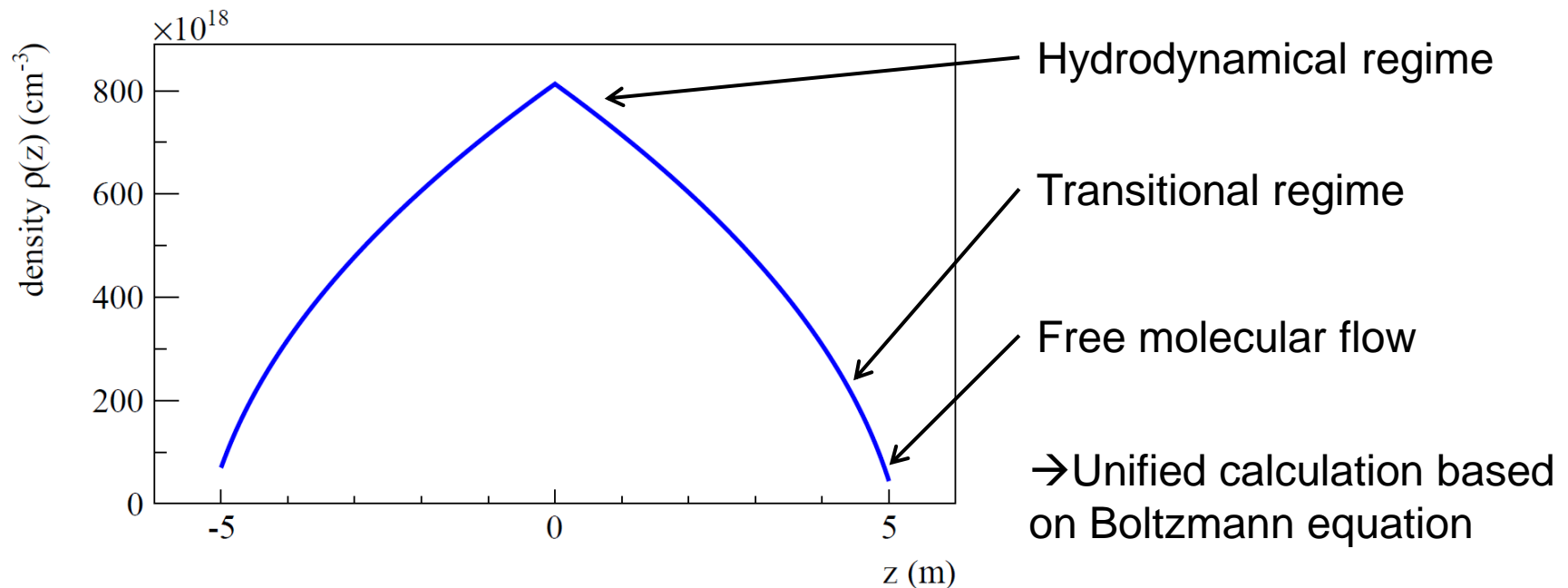
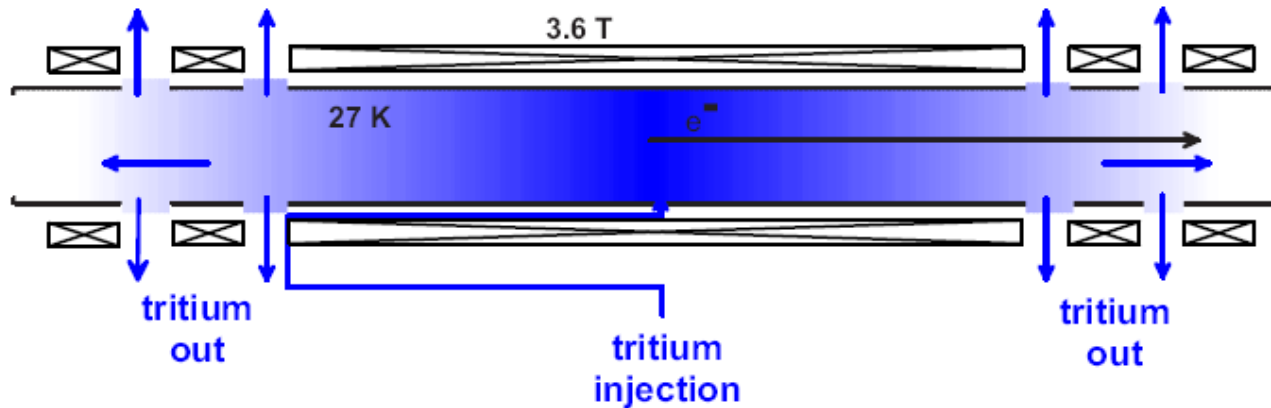


# Motivation: Systematic effects of the source

- KATRIN sensitivity:  $m_\nu < 200 \text{ meV}/c^2$  (90% C.L.)
- 3 years measurement time:  $\sigma_{\text{stat}} = 0.018 \text{ eV}^2/c^4$
- Systematic effects:  $\sigma_{\text{syst}} \leq 0.017 \text{ eV}^2/c^4$
  
- “4 out of 5 systematic effects are related with the WGTS”
  - Monitoring of the column density
  - Energy losses due to elastic/inelastic scattering
  - Magnetic field variations in the WGTS
  - Description of the final state distribution

# Simulation

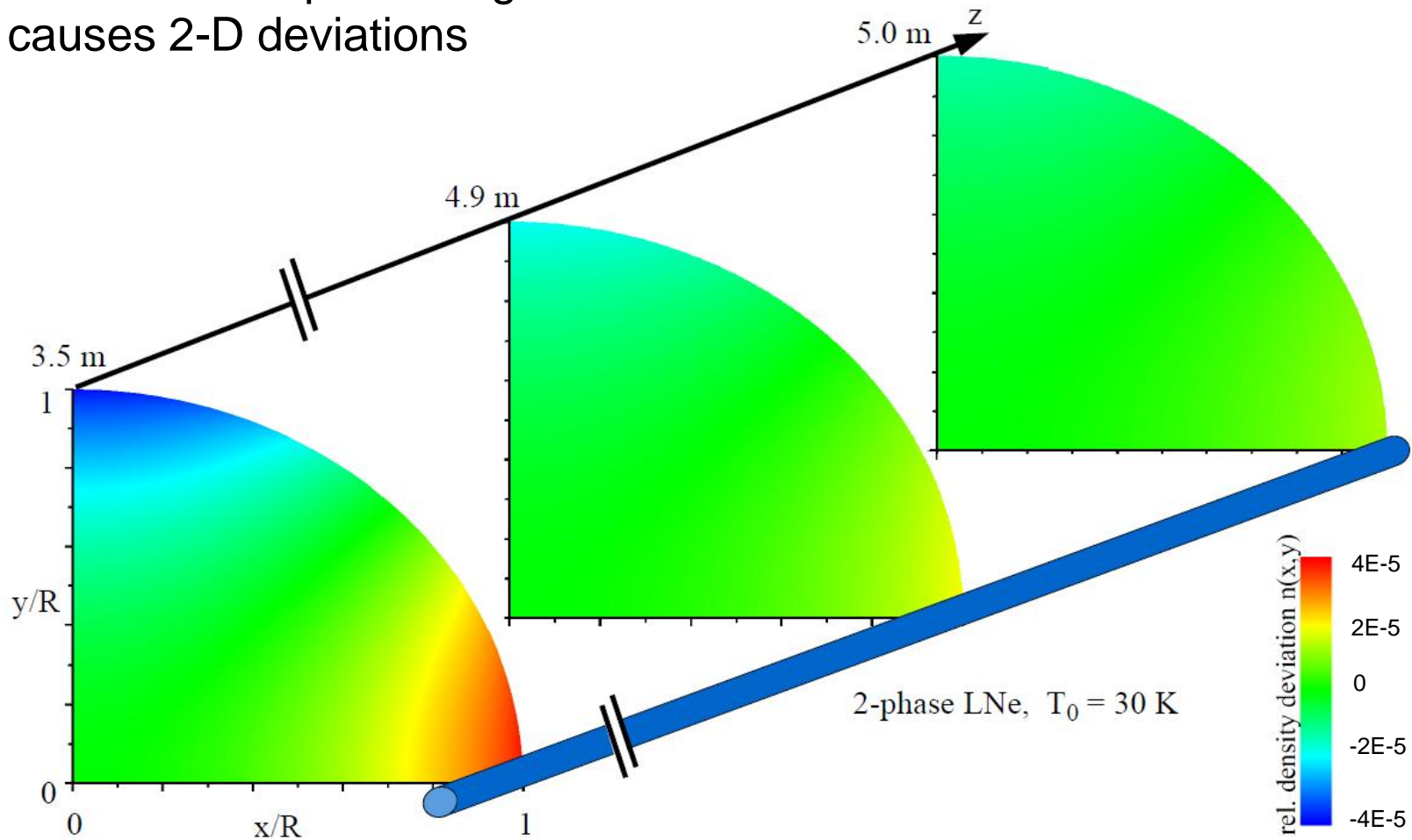
# 1-D density calculations



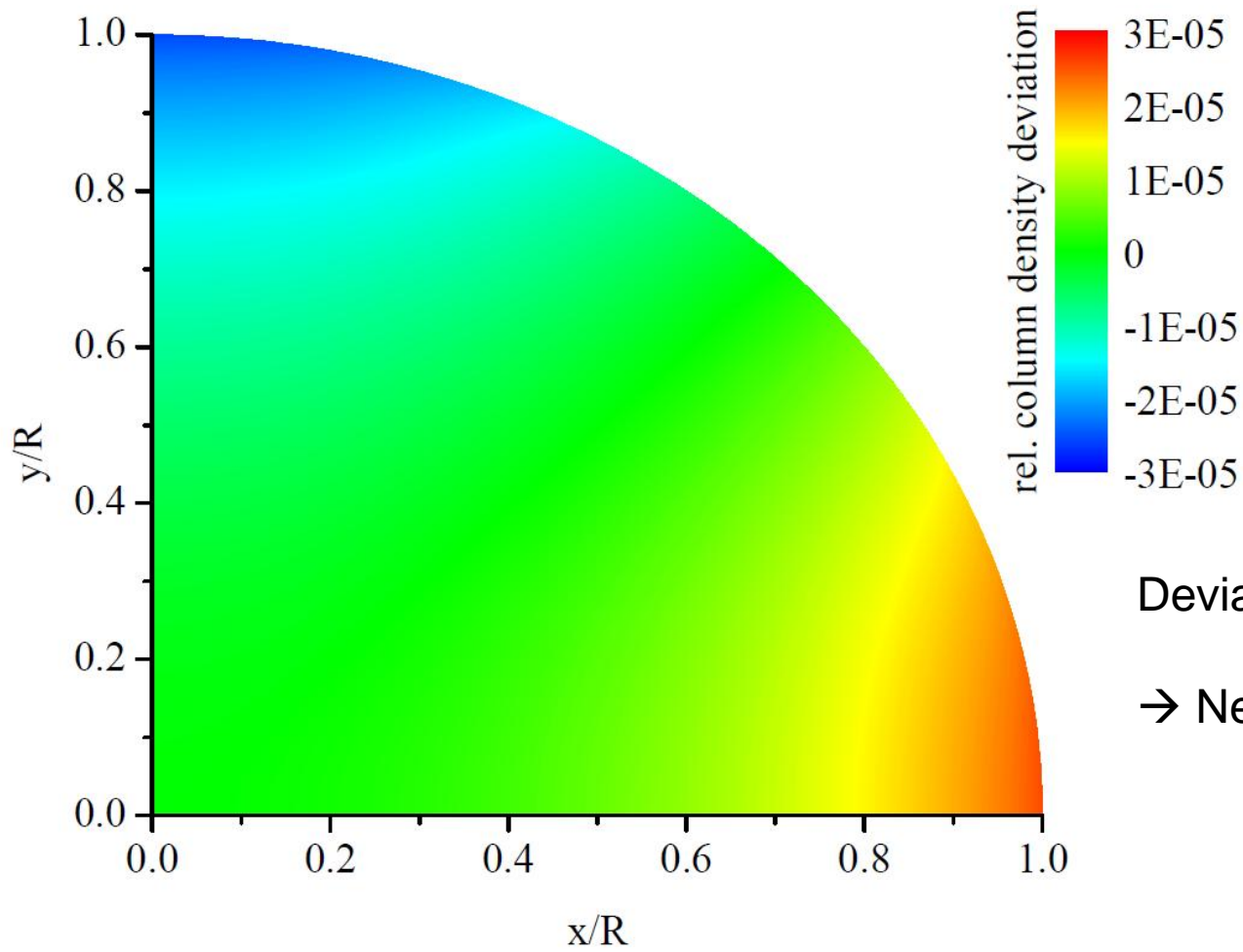


# 2-D / Pseudo-3-D density profile

- Azimuthal temperature gradient causes 2-D deviations



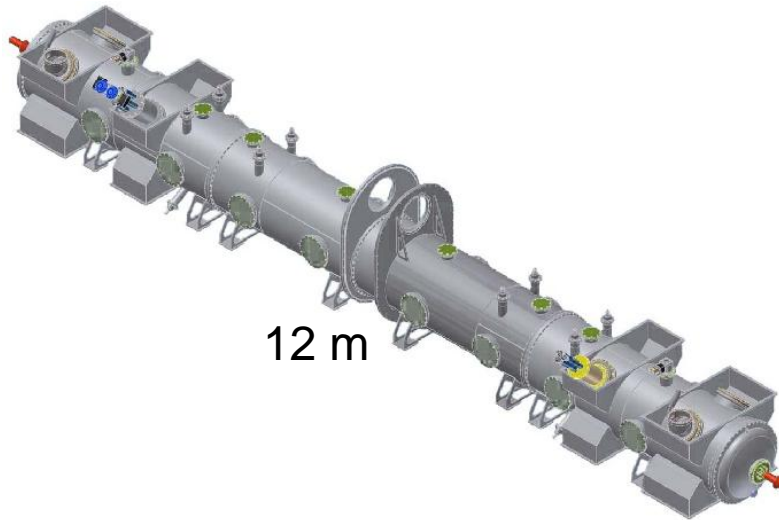
# Column density of the WGTS



Deviations on the  $10^{-5}$  level

→ Negligible for KATRIN

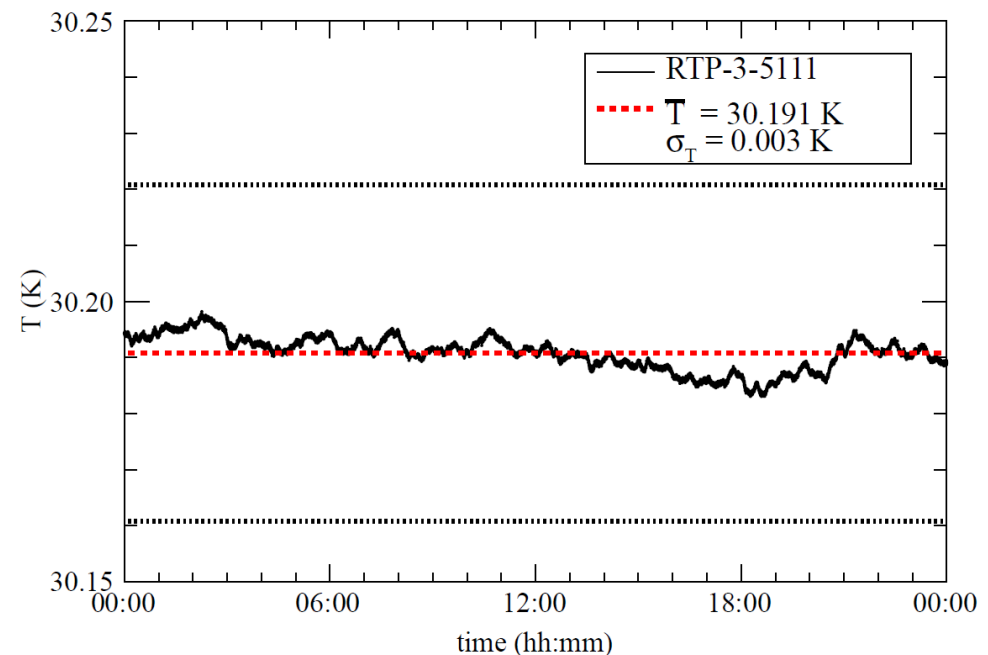
# Temperature profile (Measurement)



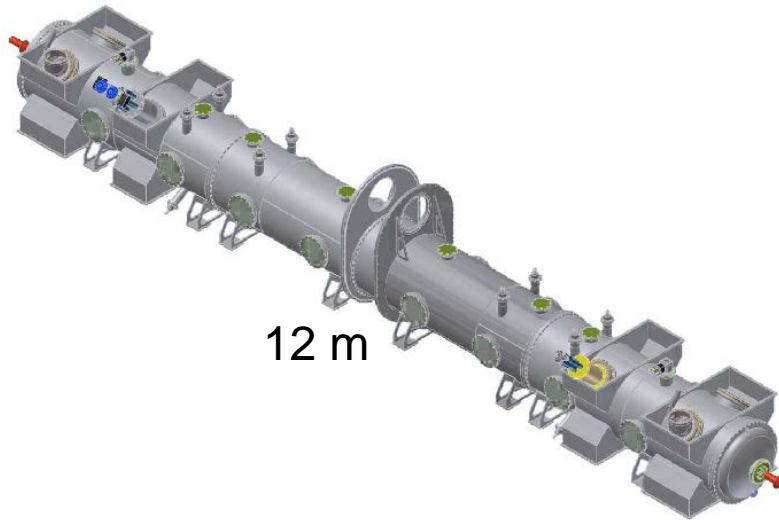
- „Demonstrator“ tests, 2011
- Original components, cryosystem
- Test of beam tube cooling
- No tritium circulation

- Temperature stability

$$\Delta T / T = 1 \cdot 10^{-4}$$



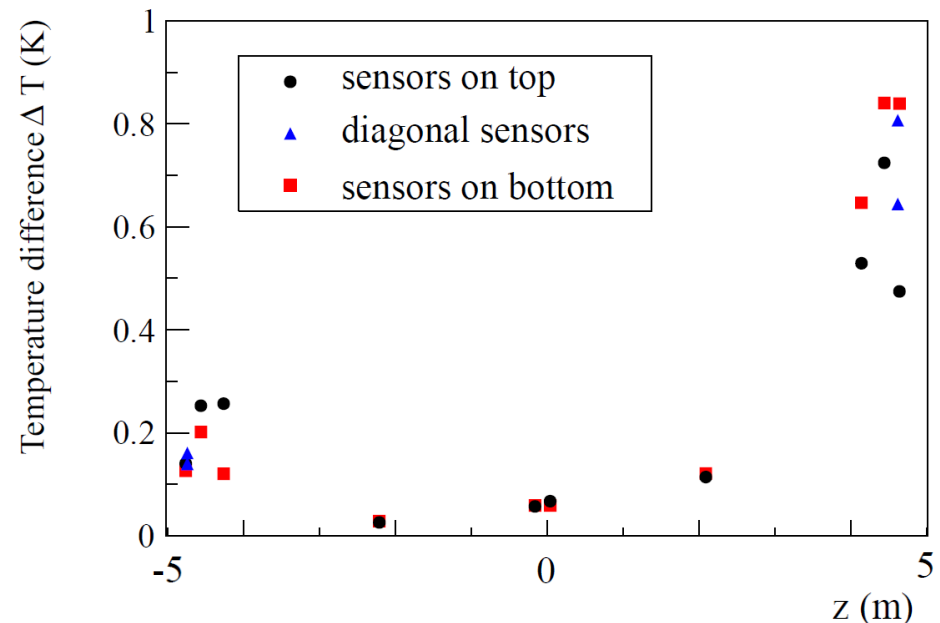
# Temperature profile (Measurement)



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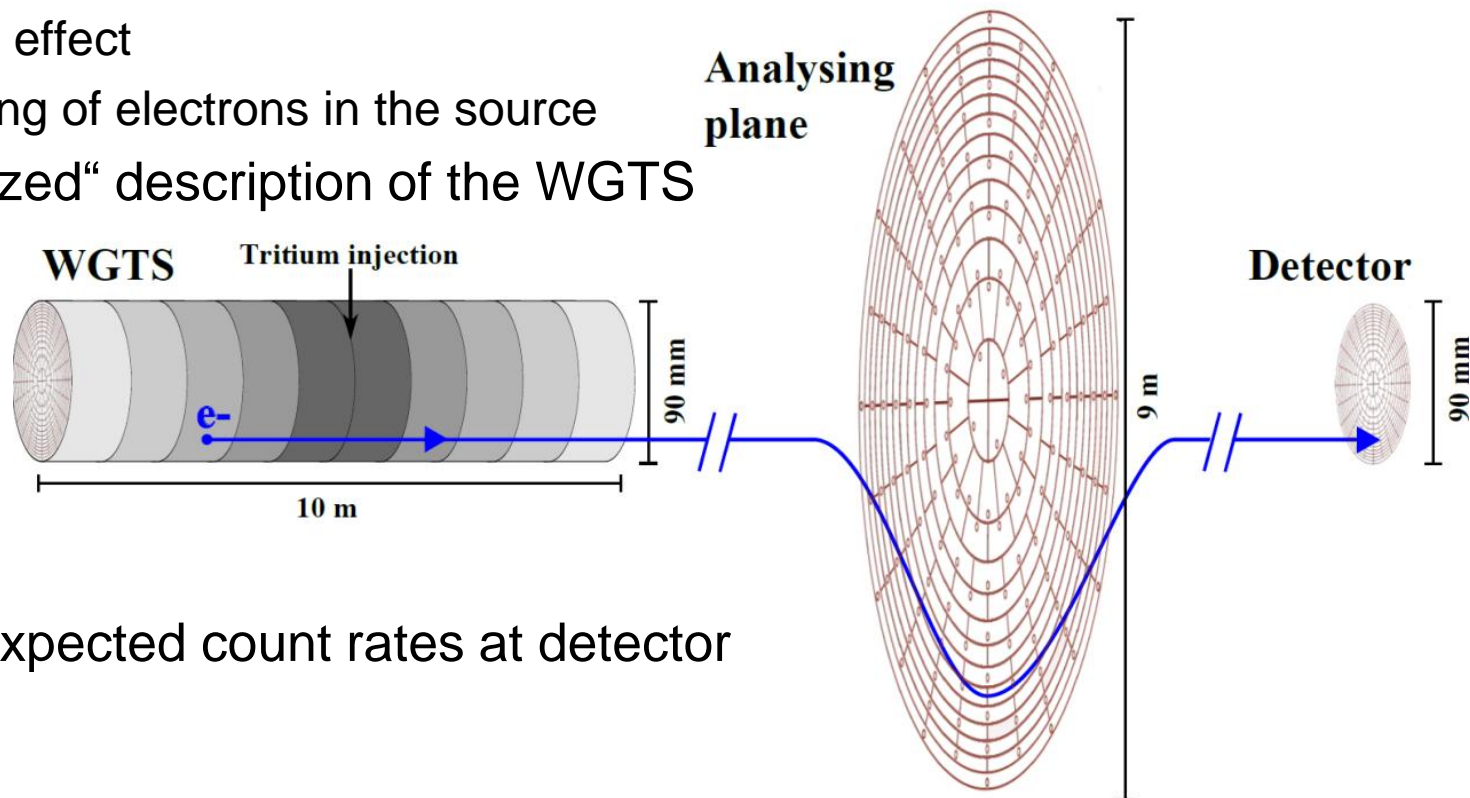
- Temperature gradient  $\sim 1$  K
- Increased thermal radiation
- Thermal conduction identified

→ Solved at assembly of the WGTS



# Source Spectrum Calculation

- Combine various models of source parameters
  - Gas dynamics
  - Magnetic field
  - Energy spectrum of  $T_2$
  - Doppler effect
  - Scattering of electrons in the source
- Use „voxelized“ description of the WGTS

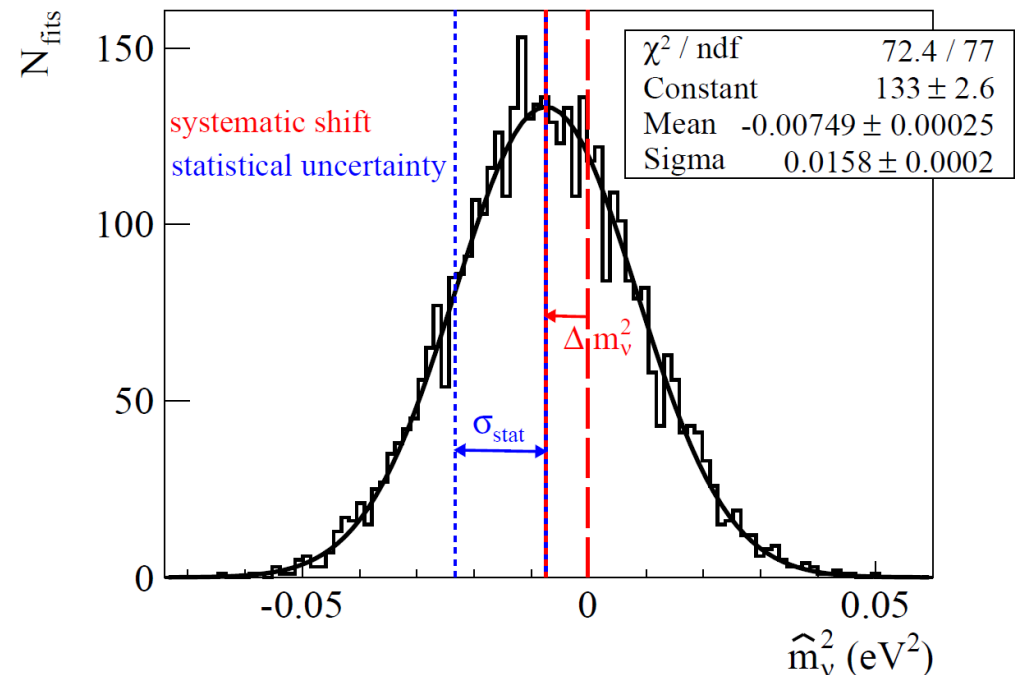


- Calculate expected count rates at detector

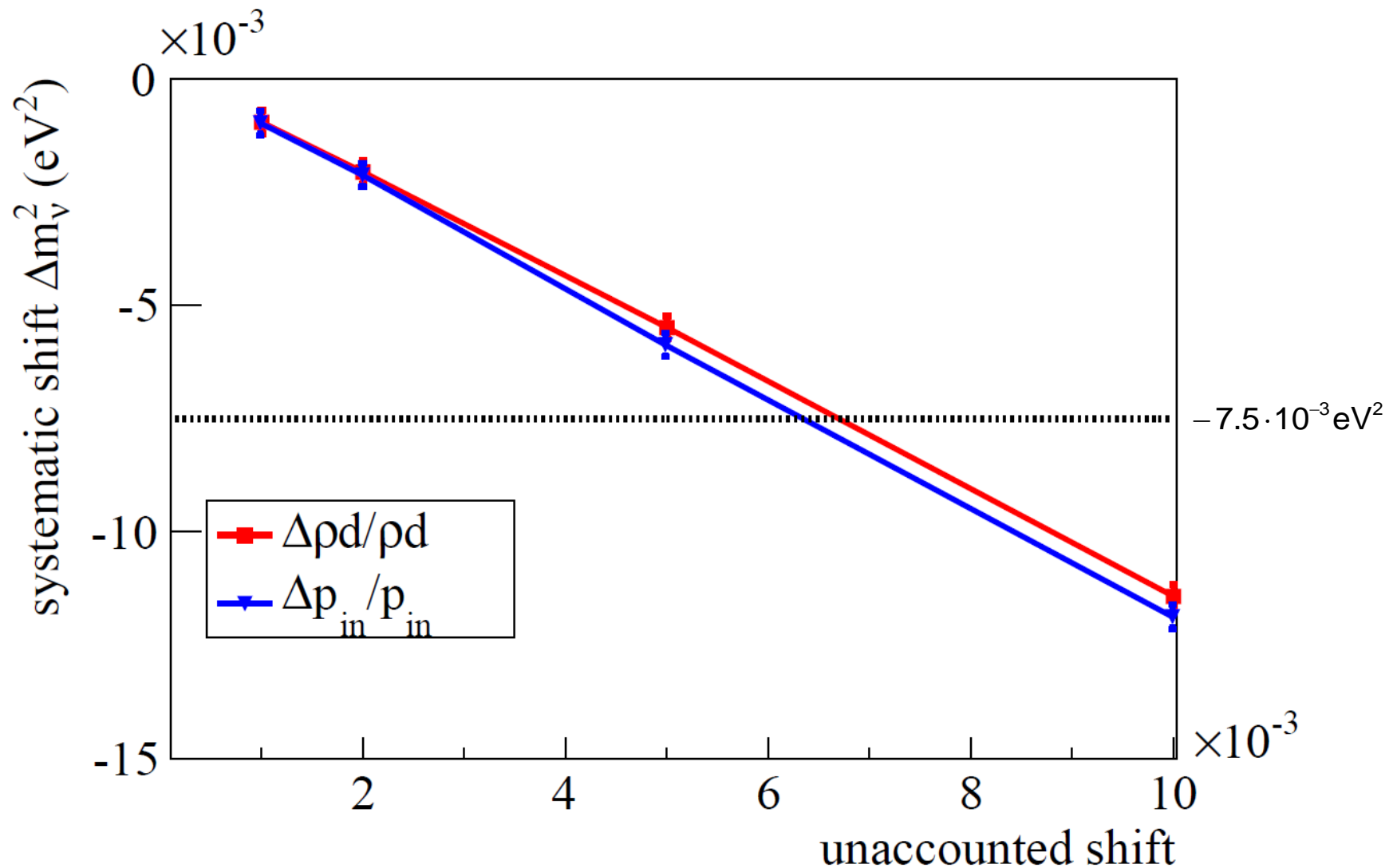
# Analysis

# Variance of ML estimator: MC method

- Simulate a „measured spectrum“ of KATRIN
- Use slightly different source parameters as “theoretical spectrum”
- Fit and store best fit value  $\hat{m}_\nu^2$
- Repeat e.g. 4000 times
- Read off systematic shift  $\Delta m_\nu^2$  and statistical uncertainty  $\sigma_{\text{stat}}$

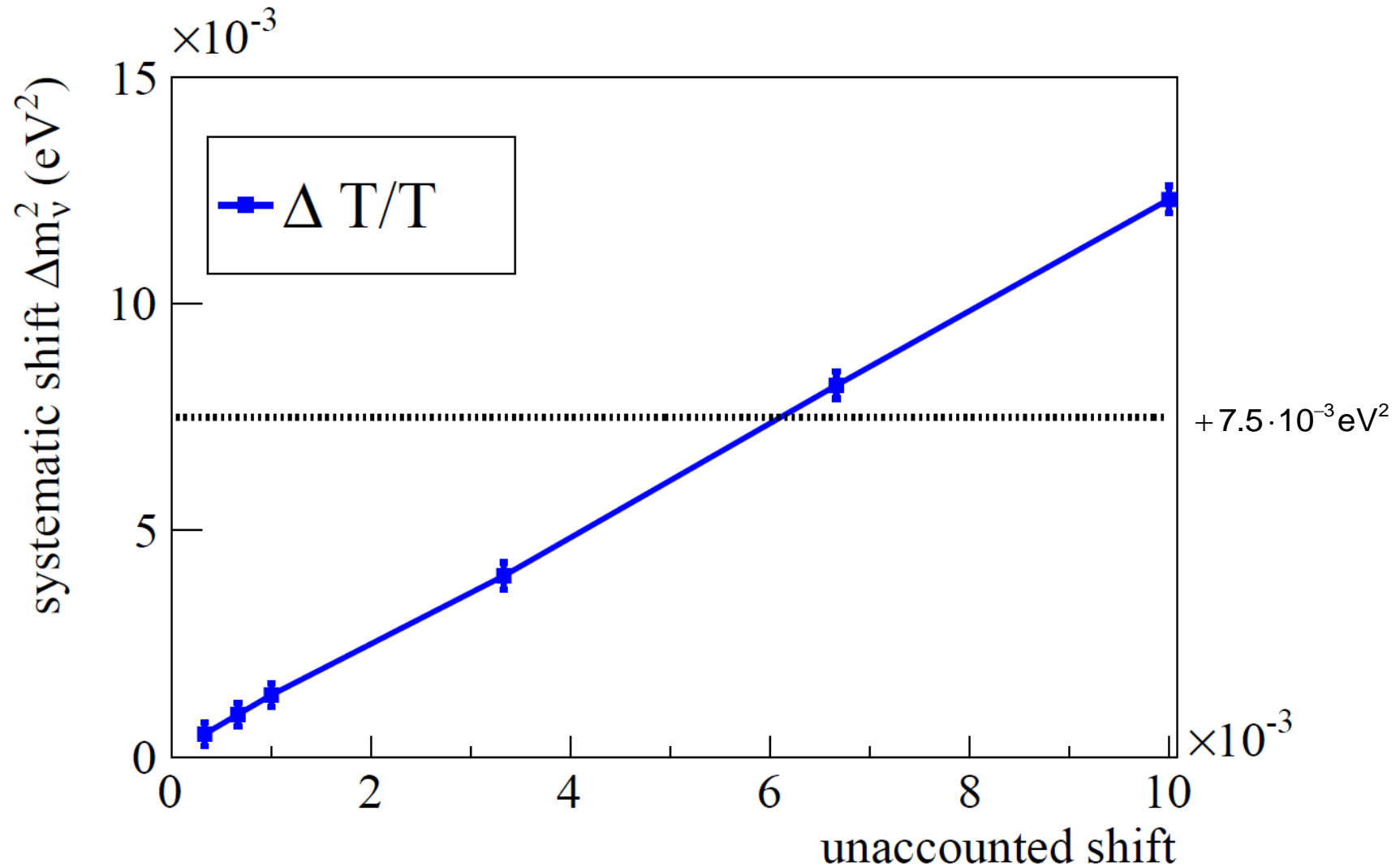


# Systematic influence of the column density





# Systematic influence of the source temperature

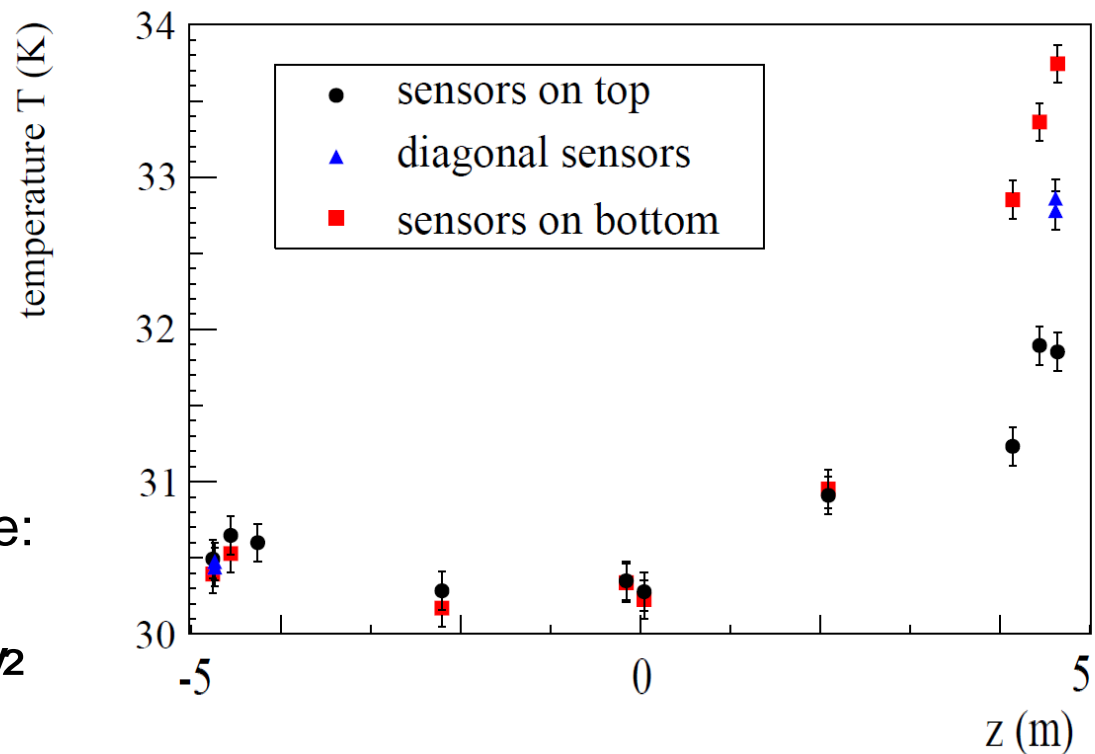


# Influence of the temperature profile

- Increased temperature gradient >3K due to additional thermal radiation

- Neglect temperature profile:

$$\Delta m_\nu^2 = (1.0 \pm 2.3) \cdot 10^{-4} \text{ eV}^2$$



# Requirements & Achievements

source of syst. uncertainty	requirements	syst. shift $\Delta m_\nu^2$ ( $10^{-3}$ eV <sup>2</sup> )	achievements
variations of			
column density	$\Delta \rho d / \rho d < 2 \cdot 10^{-3}$	$< 1.5$	
injection pressure	$\Delta p_{\text{in}} / p_{\text{in}} < 2 \cdot 10^{-3}$		$1.3 \cdot 10^{-4}$
exit pressure	$\Delta p_{\text{ex}} / p_{\text{ex}} < 0.06$		$1 \cdot 10^{-4}$
temperature	$\Delta T / T < 2 \cdot 10^{-3}$		$5 \cdot 10^{-5}$
tritium purity	$\Delta \epsilon_T / \epsilon_T < 2 \cdot 10^{-3}$		$1 \cdot 10^{-3}$
WGTS magnetic field	$\Delta B_S / B_S < 2 \cdot 10^{-3}$	$< 2$	
WGTS potential	$\Delta U < 10$ mV	$< 1.2$	

Experimental achievements reported in [arXiv:1205.5421](https://arxiv.org/abs/1205.5421)

# Profile likelihood & Systematics

- Include systematics into analysis
- W. Rolke et al., “Limits and confidence intervals in the presence of nuisance parameters”, Nucl. Instr. Meth. A 551 (2005)

- At KATRIN

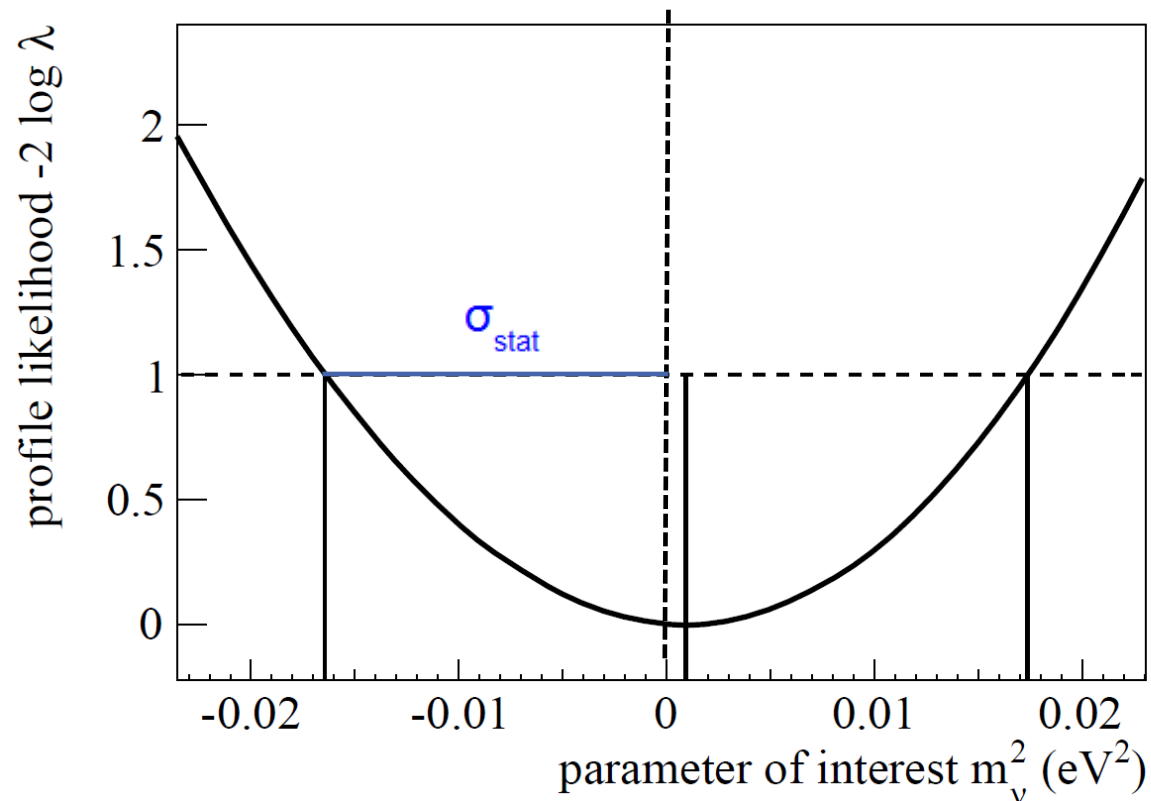
- Parameter of interest :  $m_\nu^2$

- Nuisance parameters:  $\vec{\theta} = \{\rho d, \varepsilon_T, \dots\}$

- Profile likelihood: 
$$\lambda(m_{\nu,0}^2 | \vec{X}) = \frac{\sup \{L(m_{\nu,0}^2, \vec{\theta} | \vec{X}); \vec{\theta}\}}{\sup \{L(m_\nu^2, \vec{\theta} | \vec{X}); m_\nu^2, \vec{\theta}\}}$$

# Profile likelihood

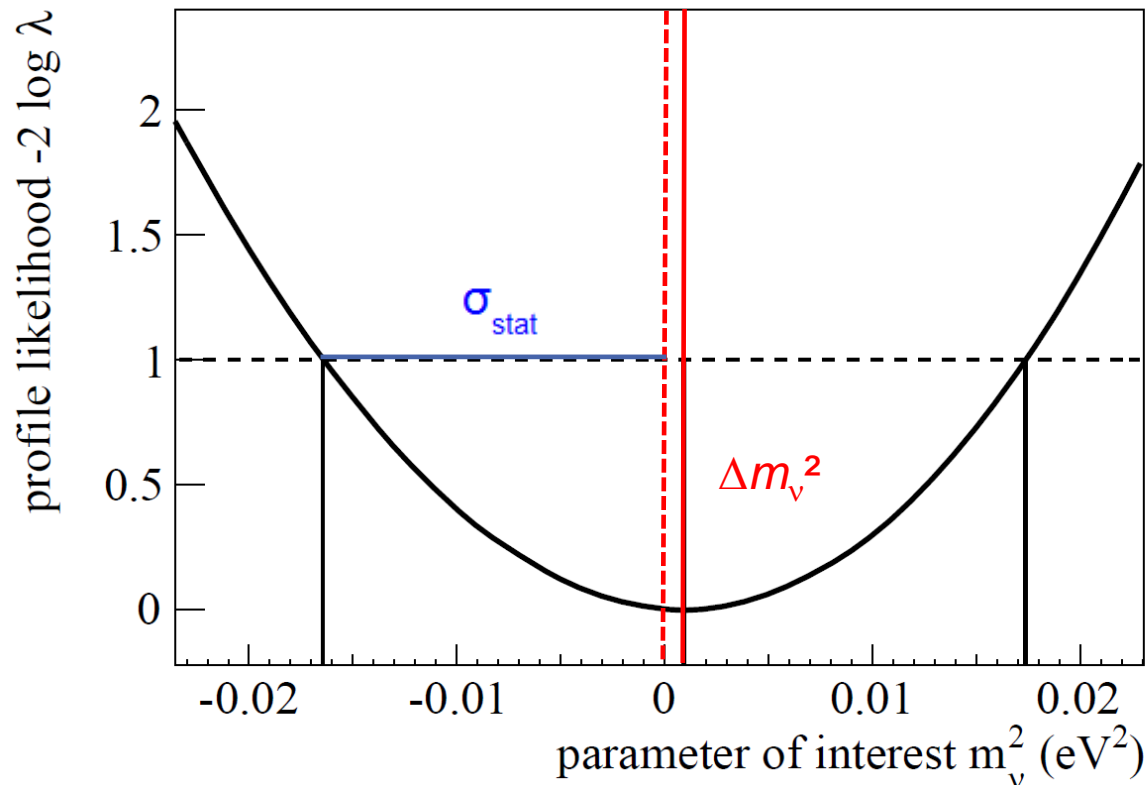
$$-\log L(\vec{X} | m_\nu^2, \vec{\theta}) = -\sum_i p(X_i | m_\nu^2, \vec{\theta})$$



# Profile likelihood with constraints (pull method)

Constraint, e.g. external measurement  $\bar{\varepsilon}_T$  of tritium purity

$$-\log L(\vec{X} | m_\nu^2, \vec{\theta}) = -\sum_i p(X_i | m_\nu^2, \vec{\theta}) - \frac{(\varepsilon_T - \bar{\varepsilon}_T)^2}{2\sigma^2}$$



# Summary

- „4 out of 5 systematic uncertainties are related with the WGTS“
- Simulation with detailed source model
  - Density profile
  - Temperature profile
  - Spectrum Calculation
- Analysis
  - MC methods
  - Profile likelihood to include systematics
- Results
  - Requirements on source parameters validated
  - Experimental achievements e.g. „Demonstrator“ measurements

# Outlook

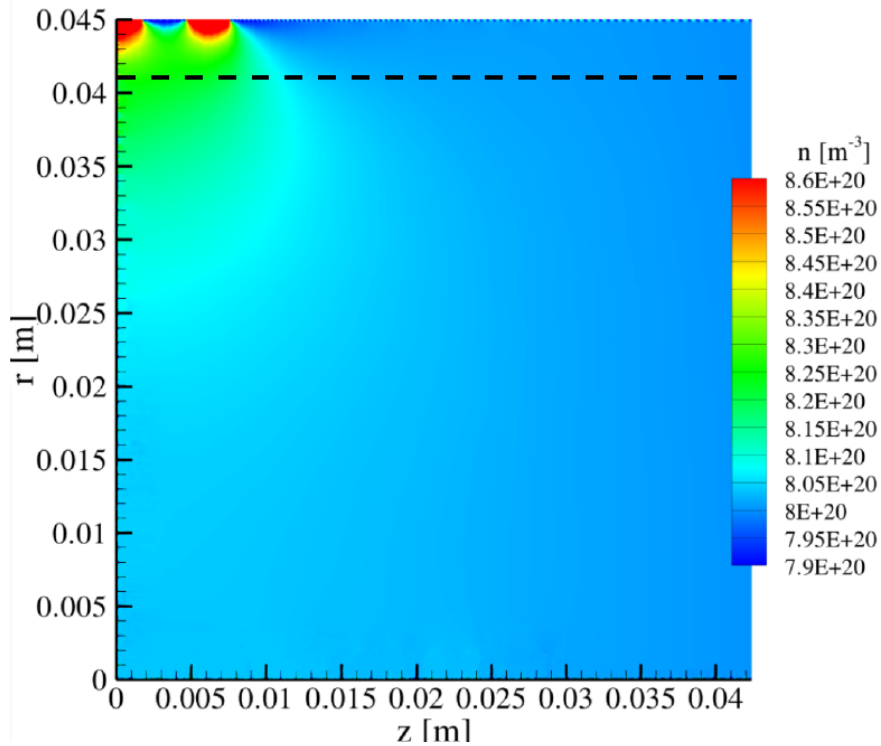
- Full 3-D gasdynamics simulation of pumping chambers
- Use provided analysis routines to investigate further systematic effects

# Backup

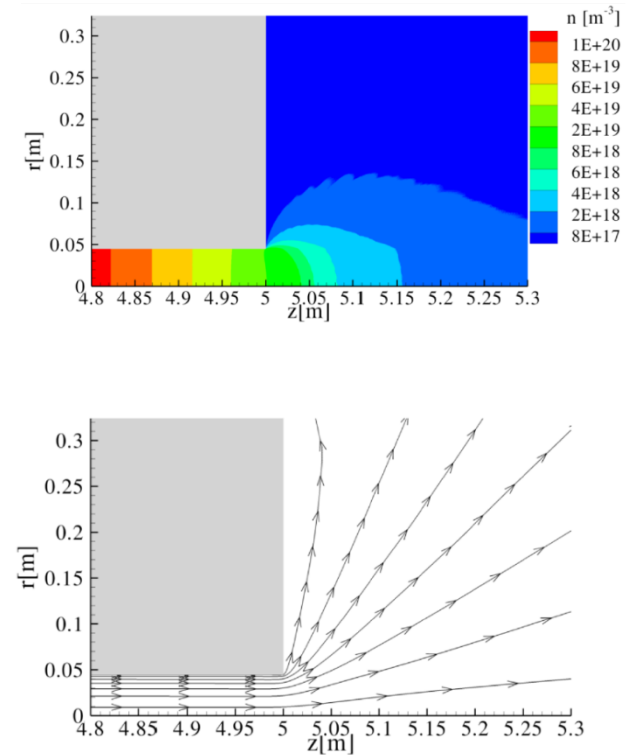


# 2-D density calculations

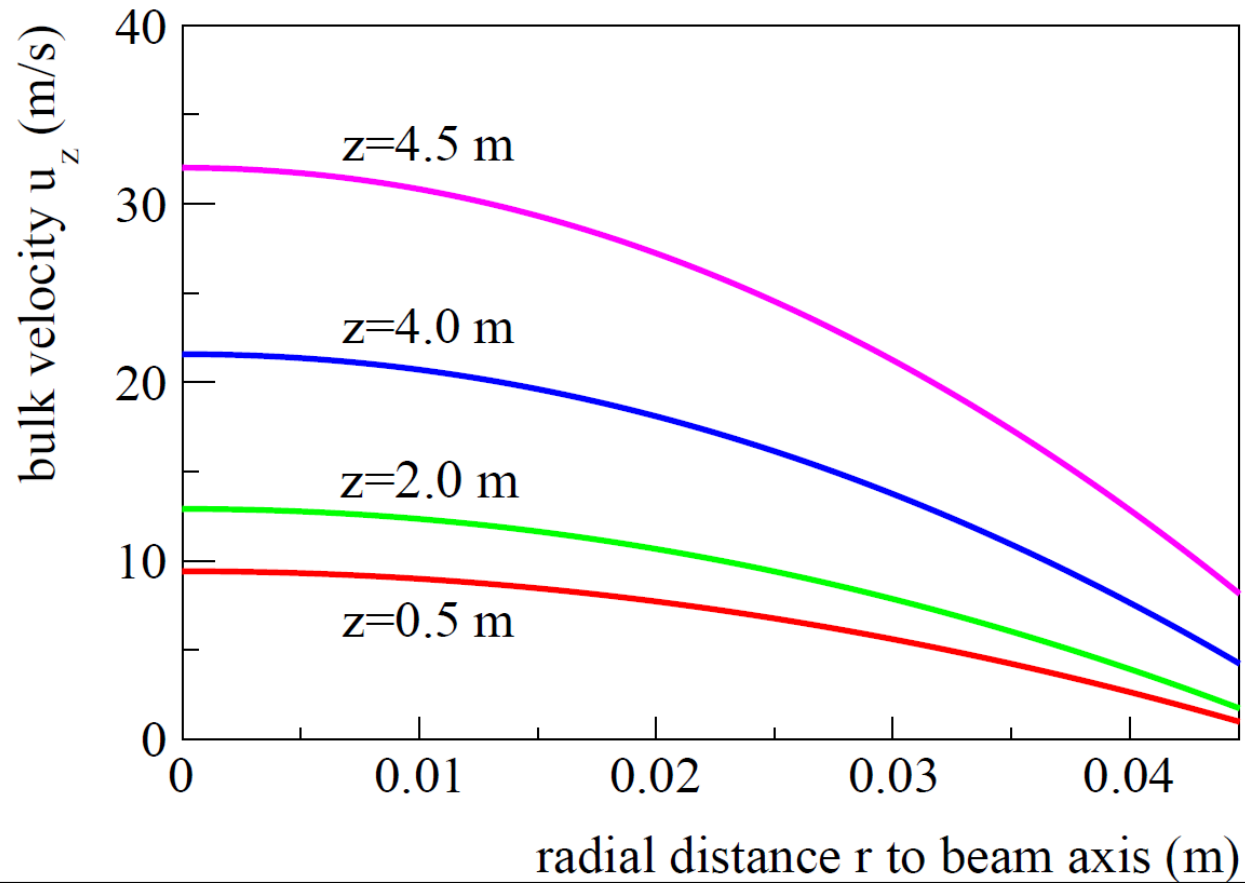
## Injection region



## Pumping chamber



# Bulk velocity in the WGTS



# Systematic influence of the tritium purity

